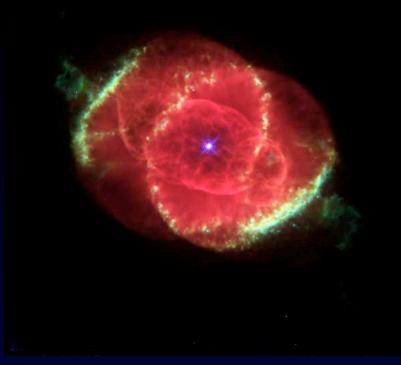
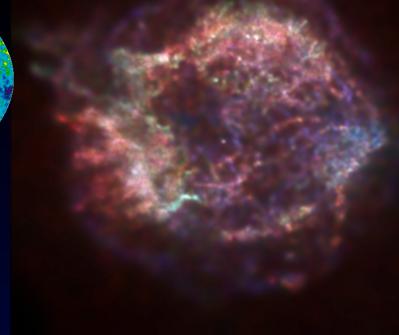
NGC6543 (Harrington & Borkowski /NASA)



SNR Cassiopeia A (Hughes et al/Chandra/NASA)



# Nuclear Physics for Astrophysics

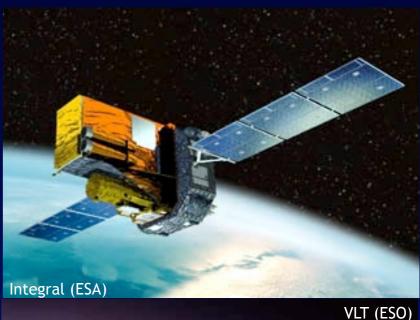
WMAP (NASA)

Stardust (JPL-Caltech/NASA)



### W. Raphael Hix

ORNL Physics Division and UTK Department of Physics & Astronomy



# Lecture Schedule

- Nuclear Physics for Astrophysics
   How do we learn about cosmic nuclear evolution
   What nuclear data are needed
- 2. Lives of Stars
- 3. Supernovae
- 4. Stellar Afterlife

Explore the beauty of the night sky



Explore the beauty of the night sky

# Understand our place in the Cosmos

Explore the beauty of the night sky

Understand our place in the Cosmos

Investigate physics inaccessible to terrestrial experiment

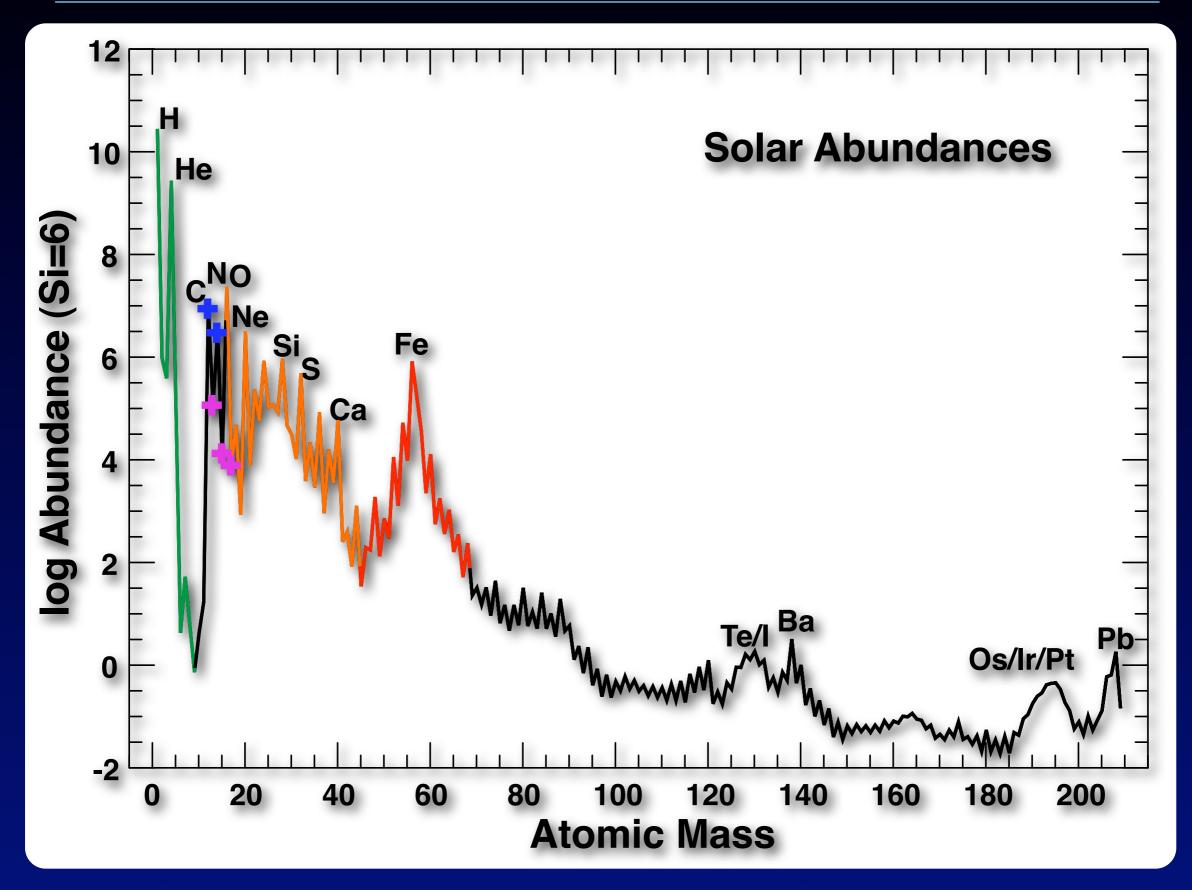
Explore the beauty of the night sky

Understand our place in the Cosmos

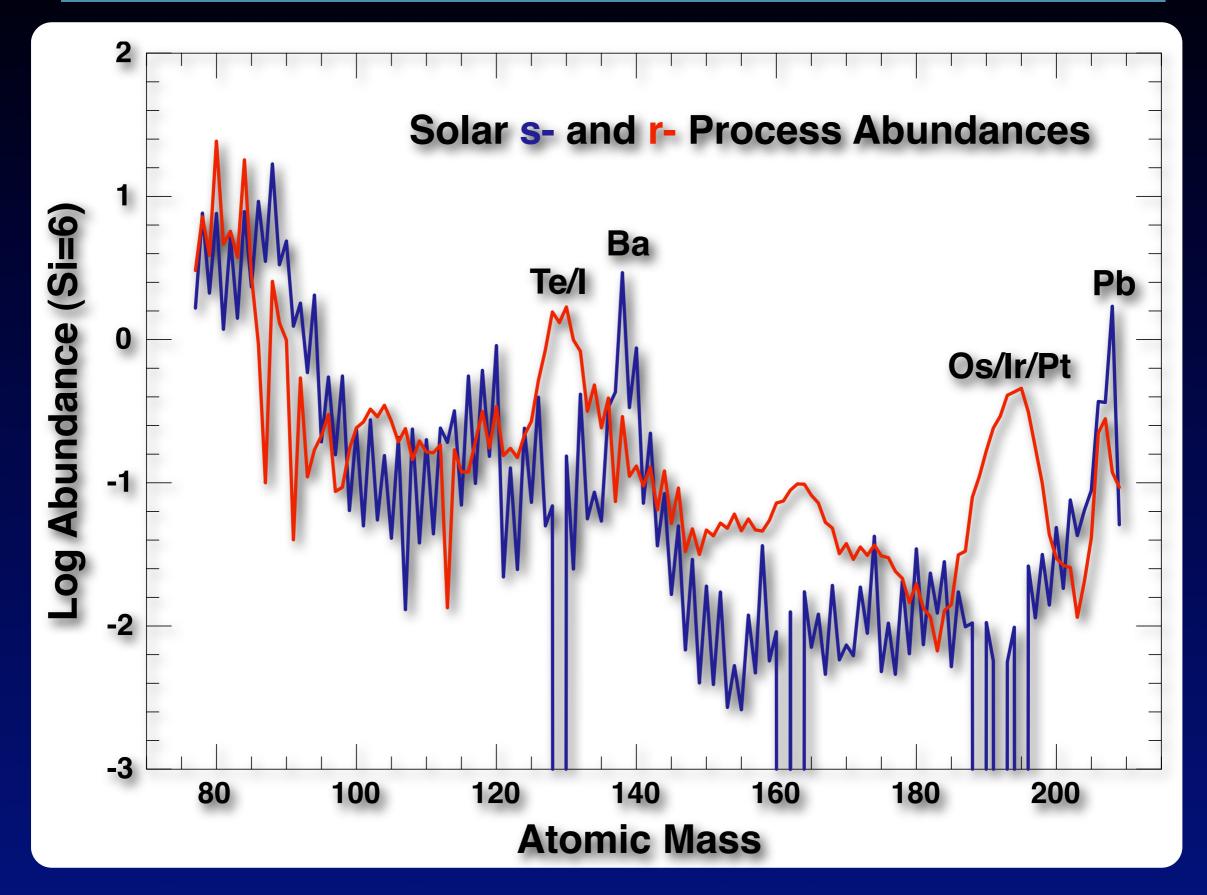
Investigate physics inaccessible to terrestrial experiment

Explain our ORIGINS, how we came to be from stardust.

# Of what are we made?

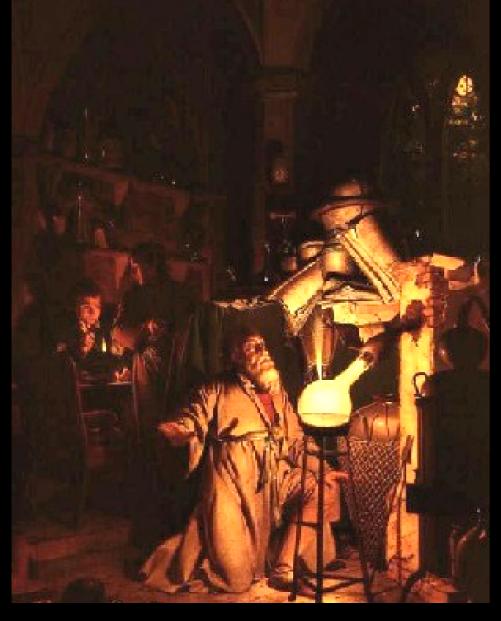


# Of what are we made?



# 2 Essential Questions of Nuclear Astrophysics

How do nuclei get made? When? Where? Is it an ongoing process? How does making nuclei affect the stellar environment? Quiescent or Explosive? Exothermic or Endothermic?



# Alchemy

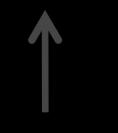
sought to transmute common elements into rare elements (to get rich) ...



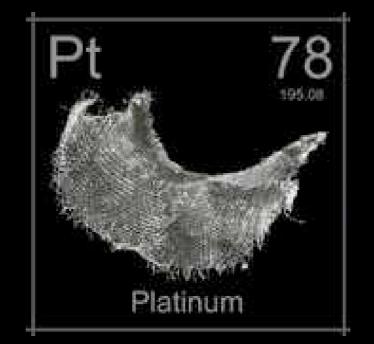


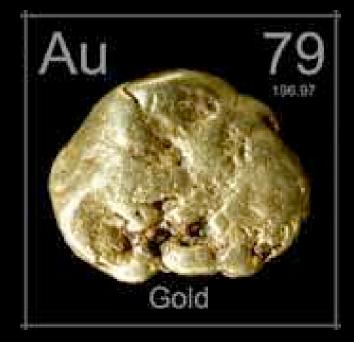










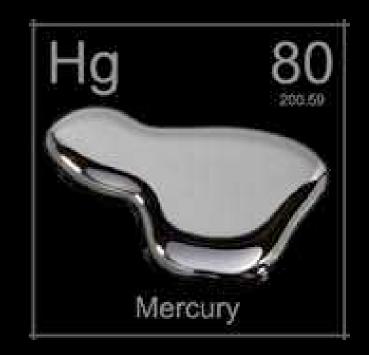


What sequences of thermonuclear reactions transmute nuclei in stars?

Where do they occur?

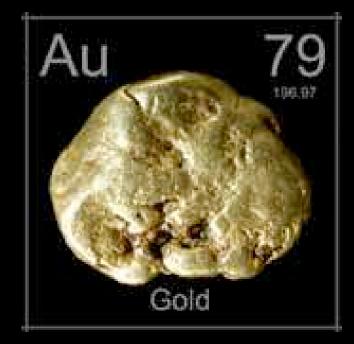








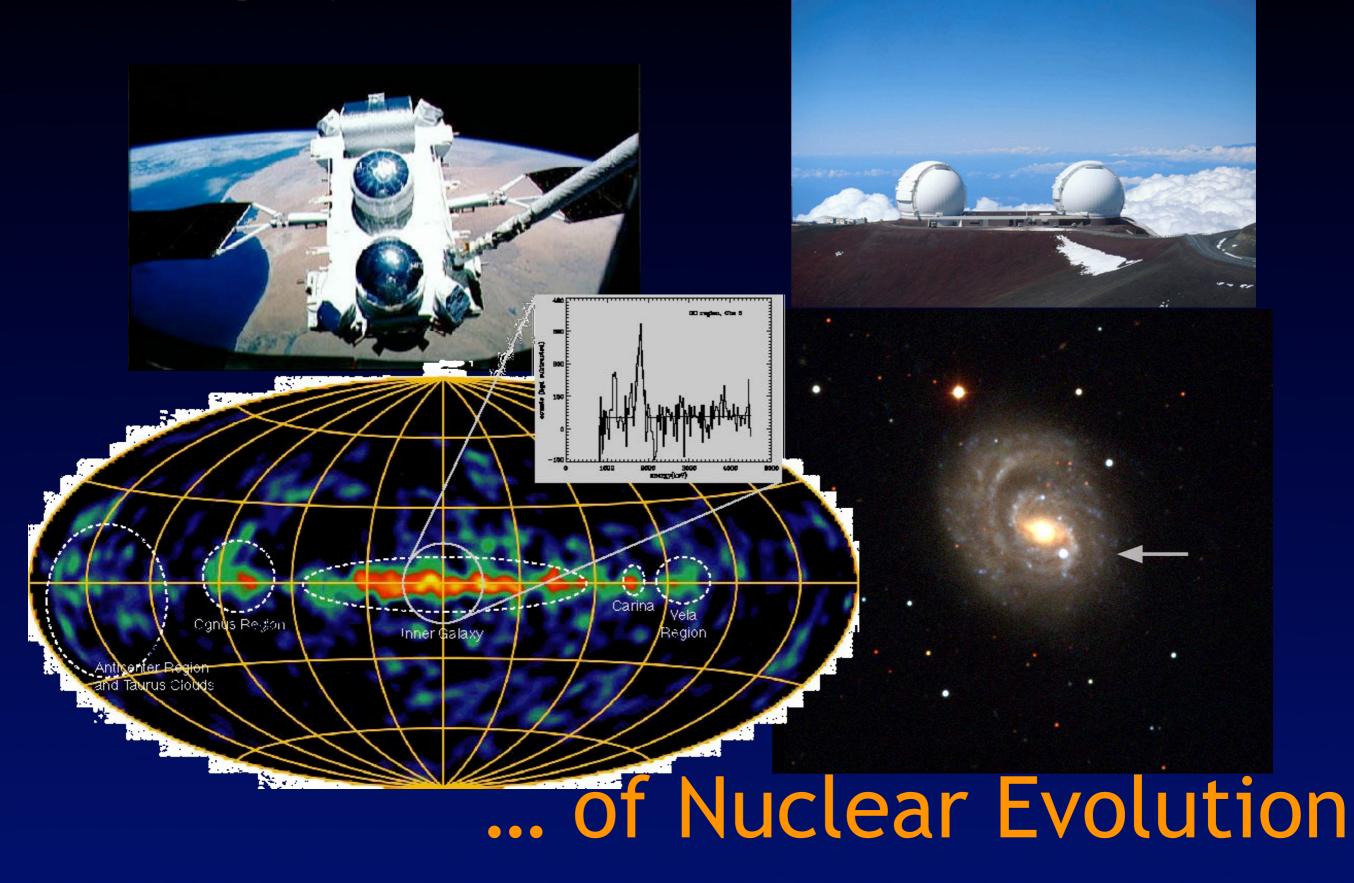




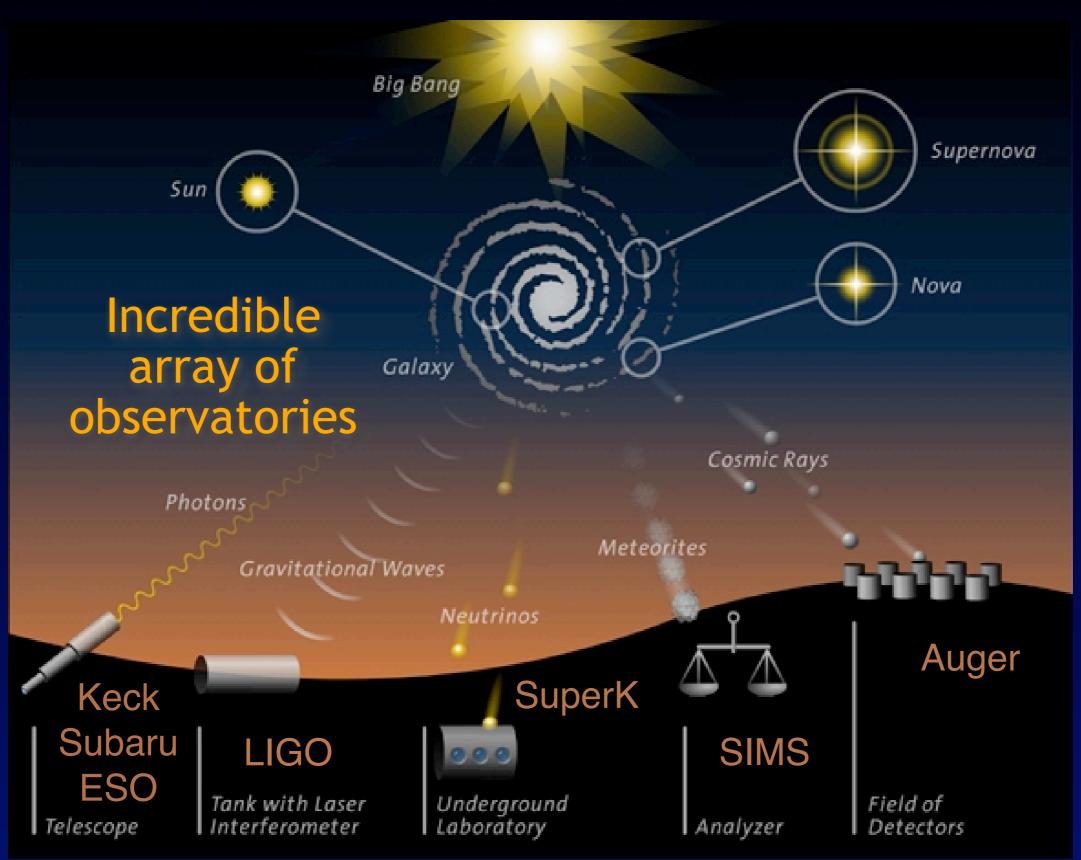
#### **Processes and Sites** 126 Stable nuclei Alpha-decay 82 Known nuclei Fission ubsequent beta-decay 50 Protons rp-process Terra Incognita 82 28 20 50 $(\beta^{-}\nu)$ Ne-Na C CNO - (n,γ) 28 Neutrons 20 $(\beta^+ \nu, EC)$

Understanding Origins means understanding processes that transmute nuclei and the sites where these processes occur.

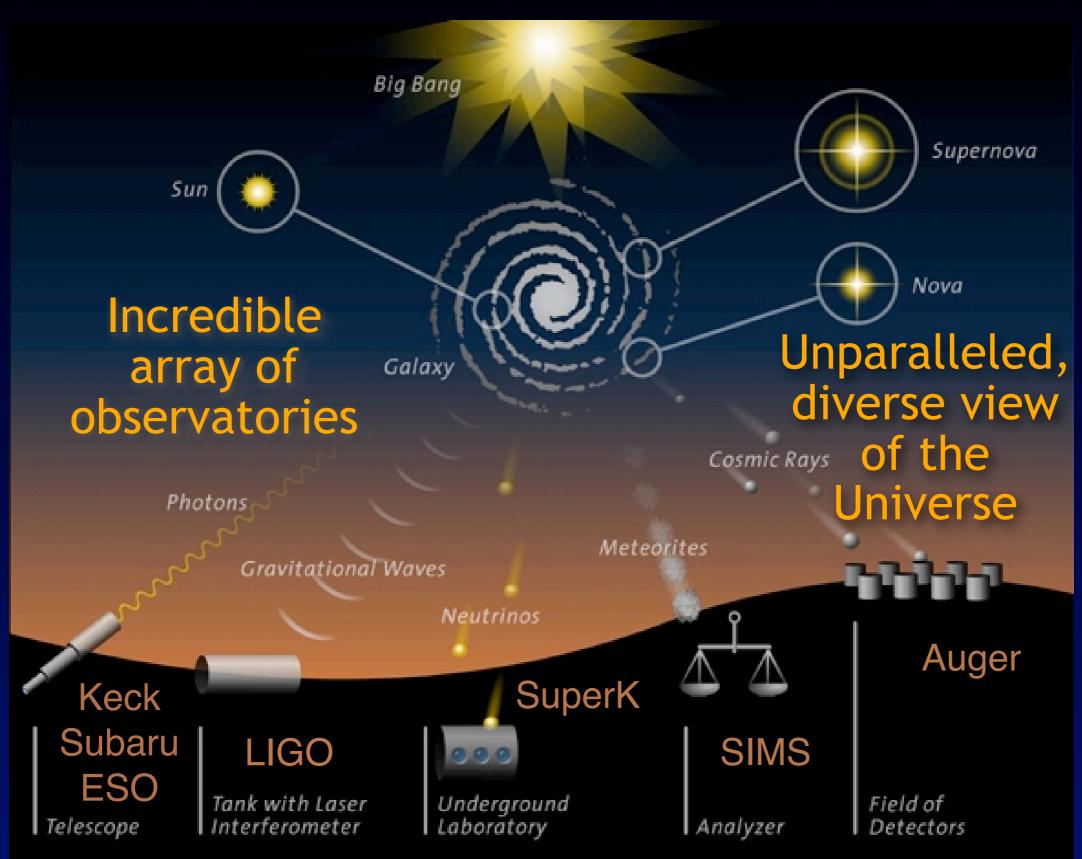
# Astrophysical Observations...



# Golden Age of Observation

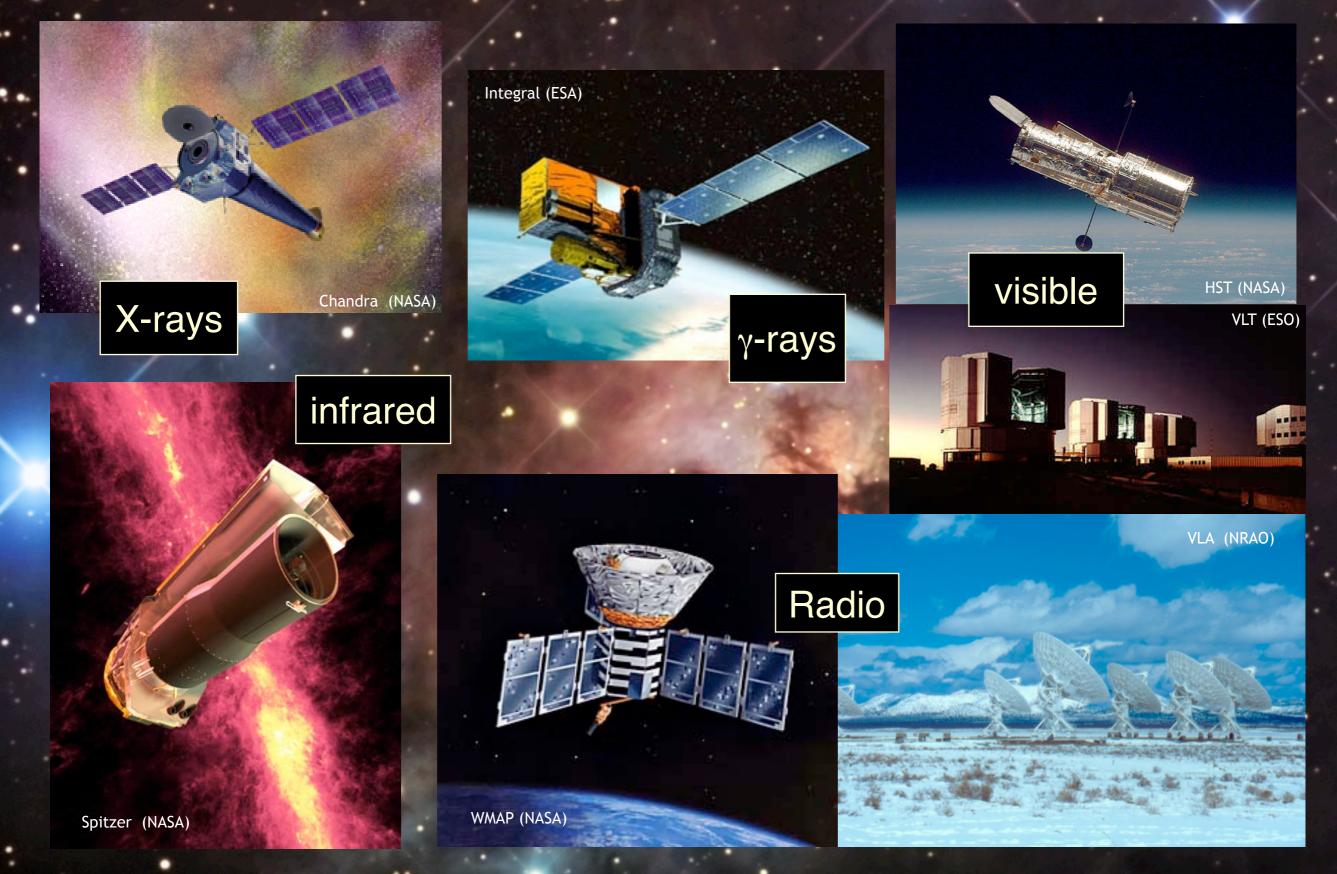


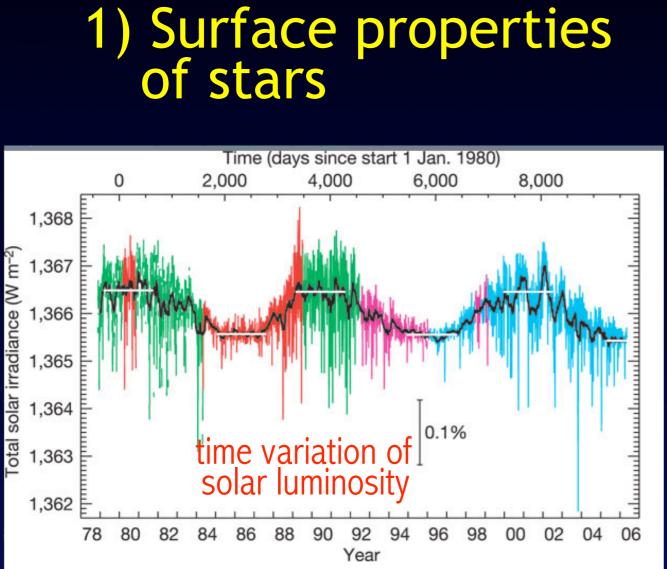
# Golden Age of Observation

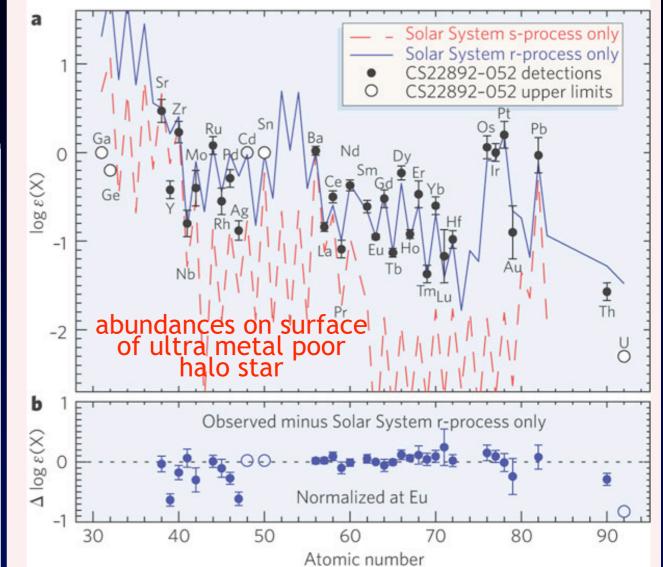


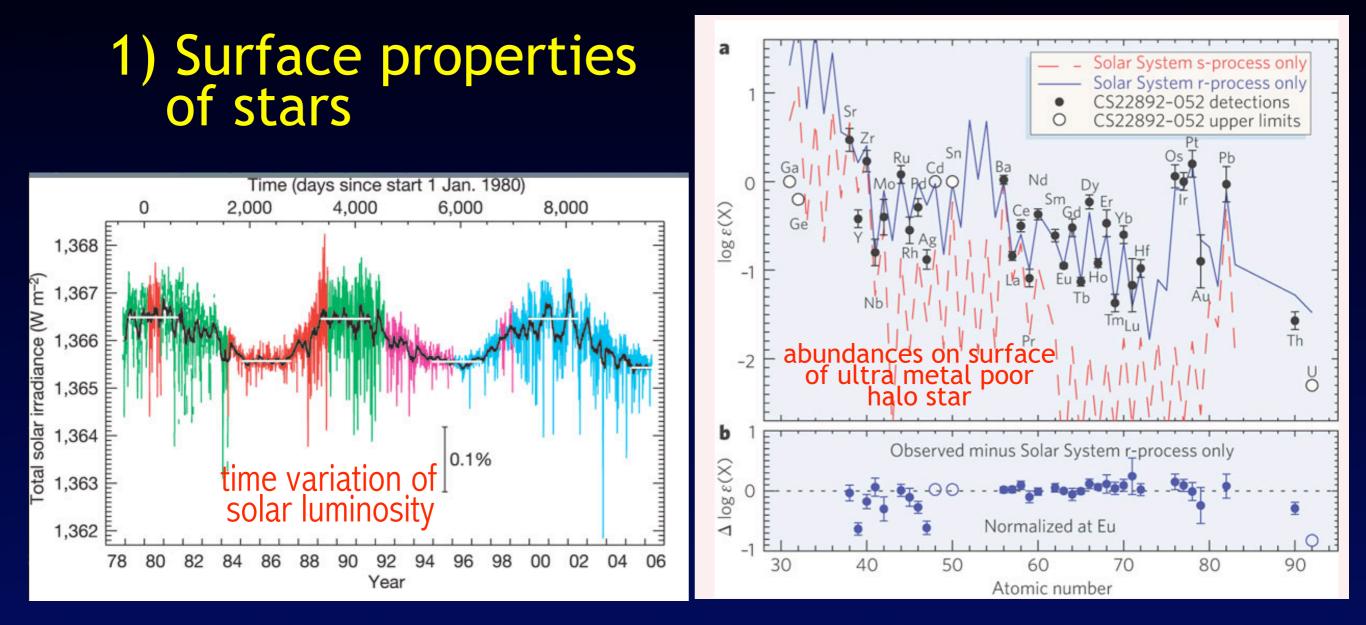
# Photons of all sorts!

# Photons of all sorts!



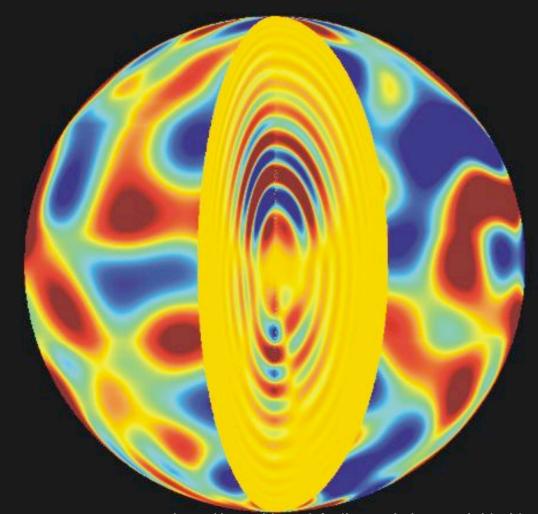






luminosity, temperature, radius, surface abundances, variations (e.g., sunspots, coronal mass ejections)

# 2) Clues to the interiors of stars



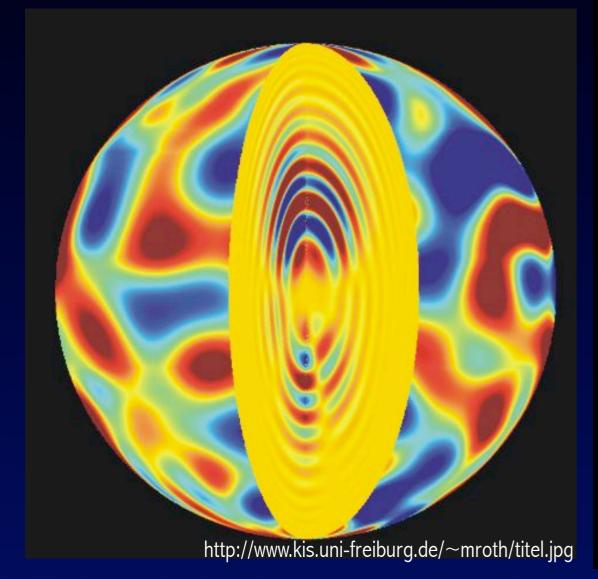
http://www.kis.uni-freiburg.de/~mroth/titel.jpg

Convective Zone Interface Layer

**Radiative Zone** 

Core

# 2) Clues to the interiors of stars



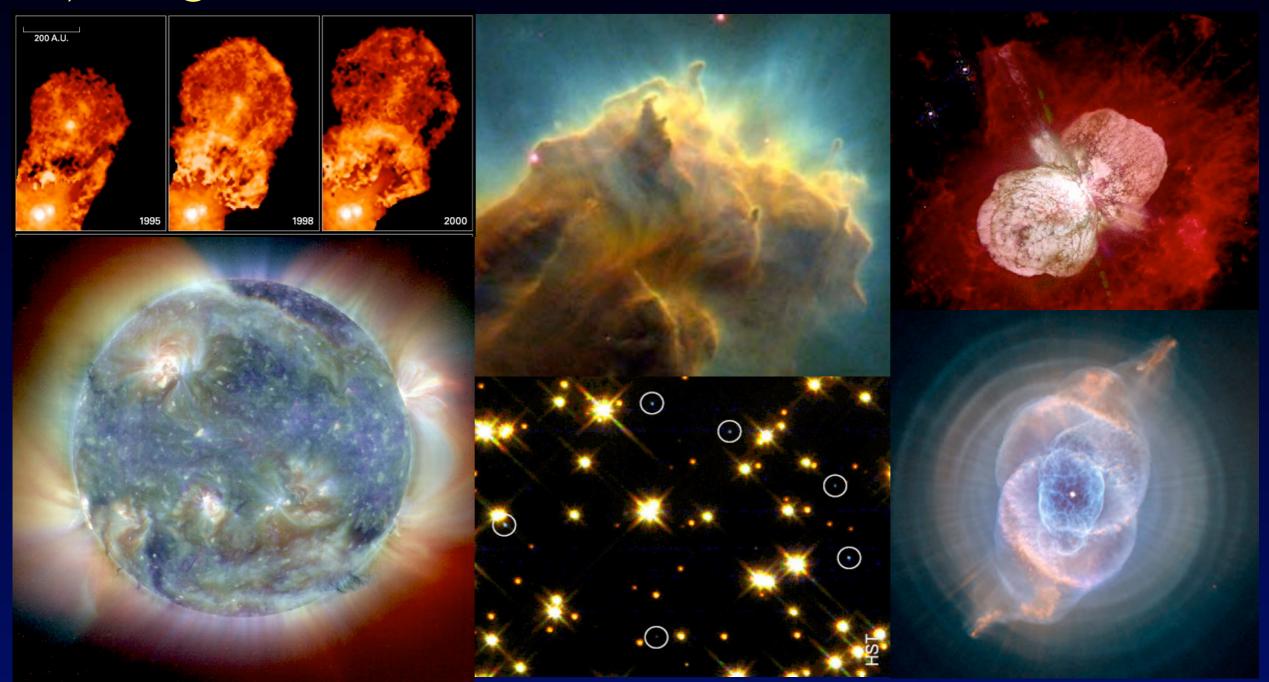
Convective Zone **Interface** Layer **Radiative Zone** Core

helioseismology - vibrations of solar surface probes interior neutrinos - emitted in the core & (almost) free stream out

# What do observations tell us? 3) Stages of stars lives

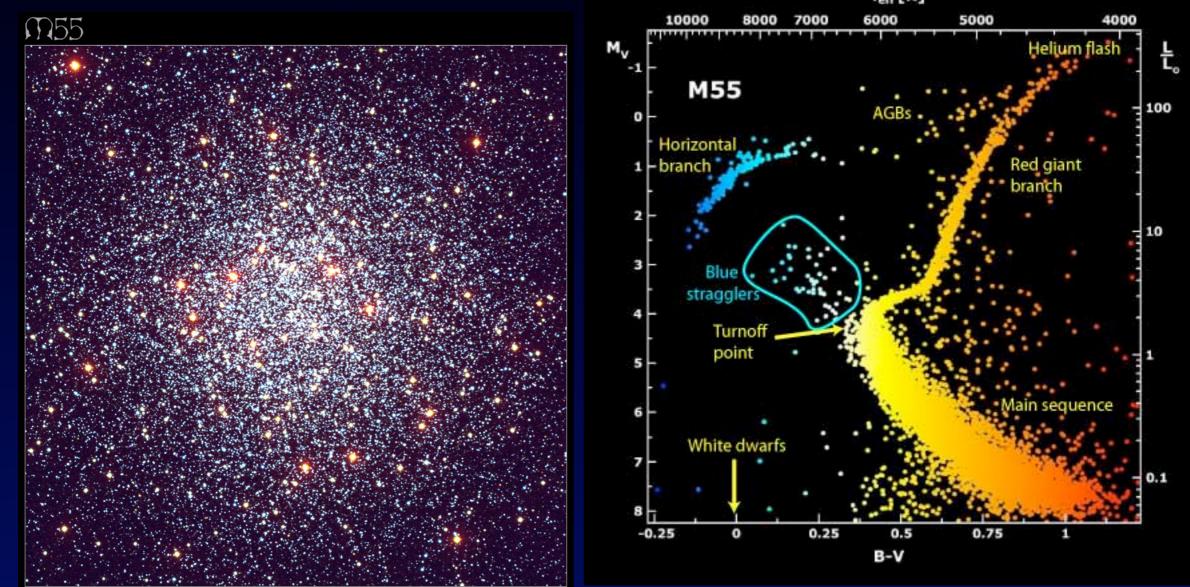


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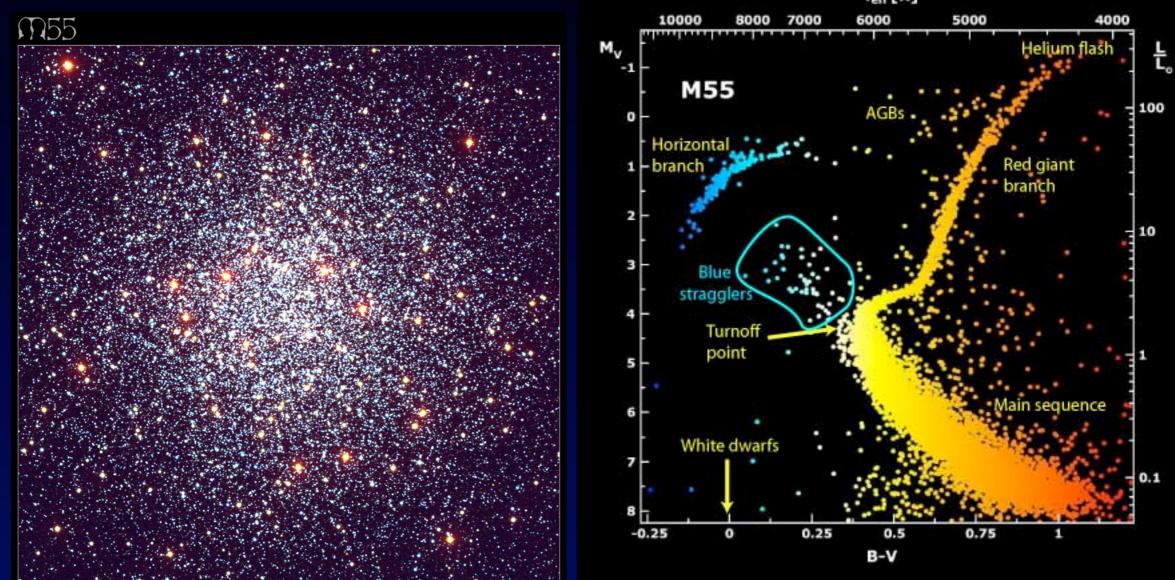


Birth from clouds of gas and dust, normal burning, death in explosions or by fading out...

# What do observations tell us? 4) Lifecycle of stars

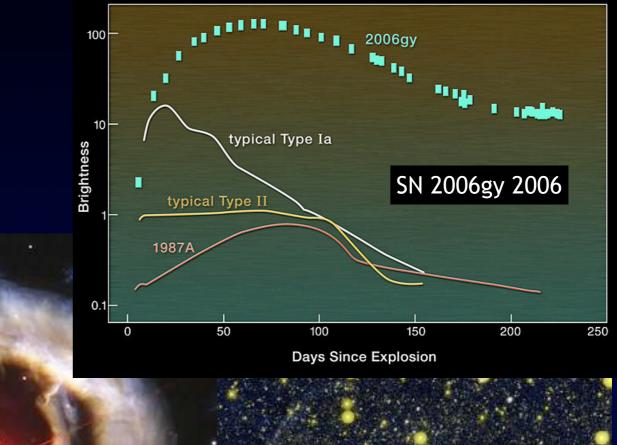


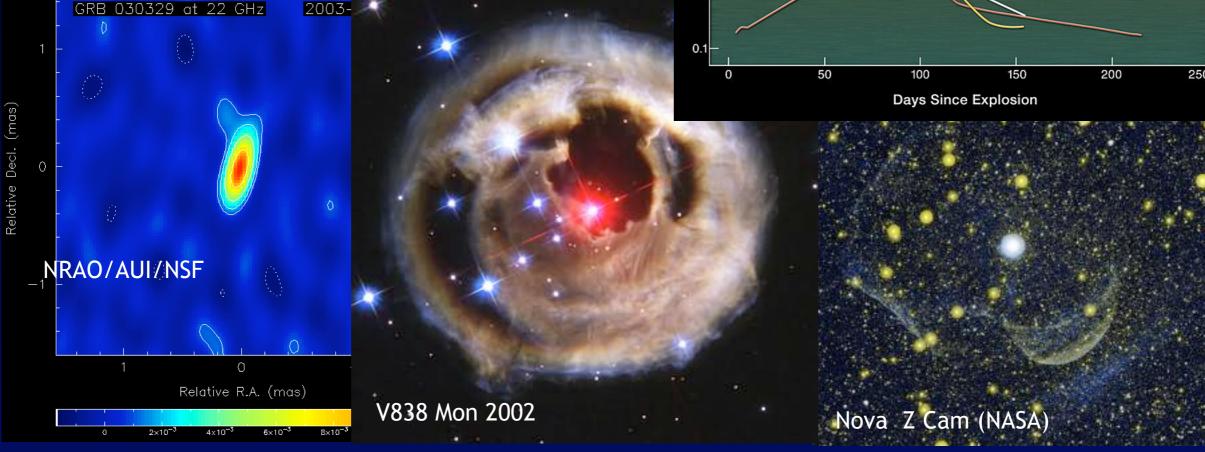
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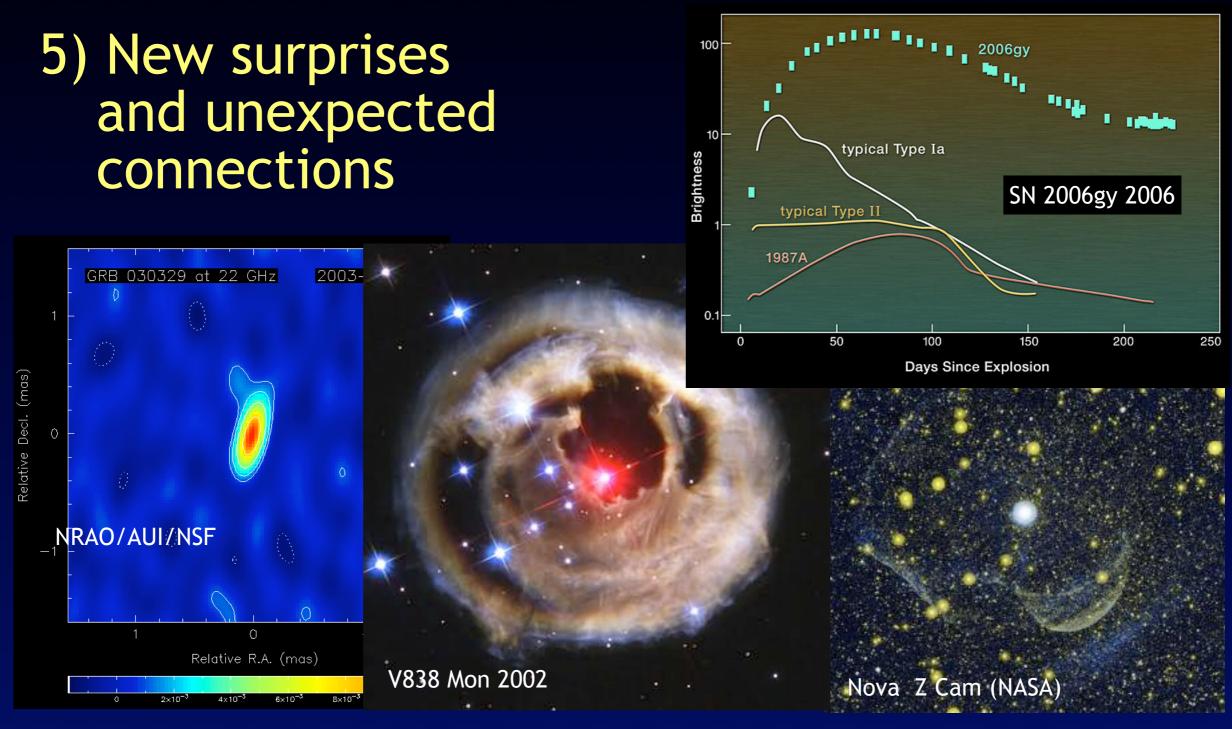


Census of these stages tells us the lifecycle.

### 5) New surprises and unexpected connections







Hypernovae, GRB - supernova - collapsar connection, dwarf-classical nova connection

# Nuclear Input

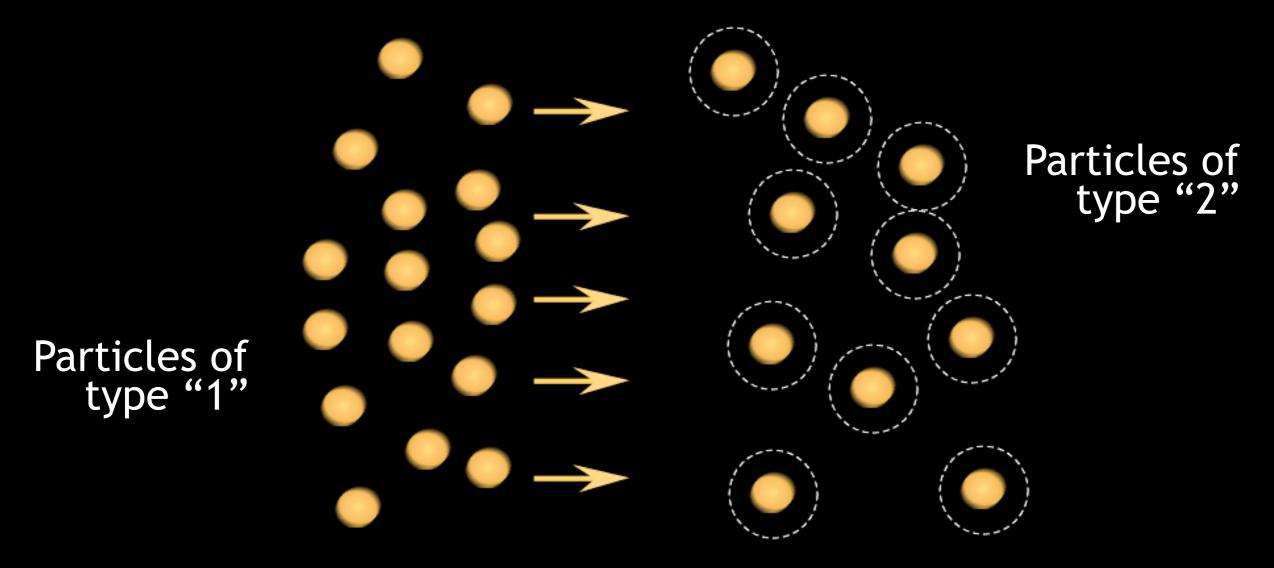
### 1) Reaction Rates

- For most astrophysical processes, a wide variety of reaction rates are needed.
- 2) Masses and Partition Function
  - In equilibrium, rates are unimportant because of detailed balance.
  - Equilibrium populations depend on relative masses and partition functions.
  - Partition Function is sum over thermally populated levels.

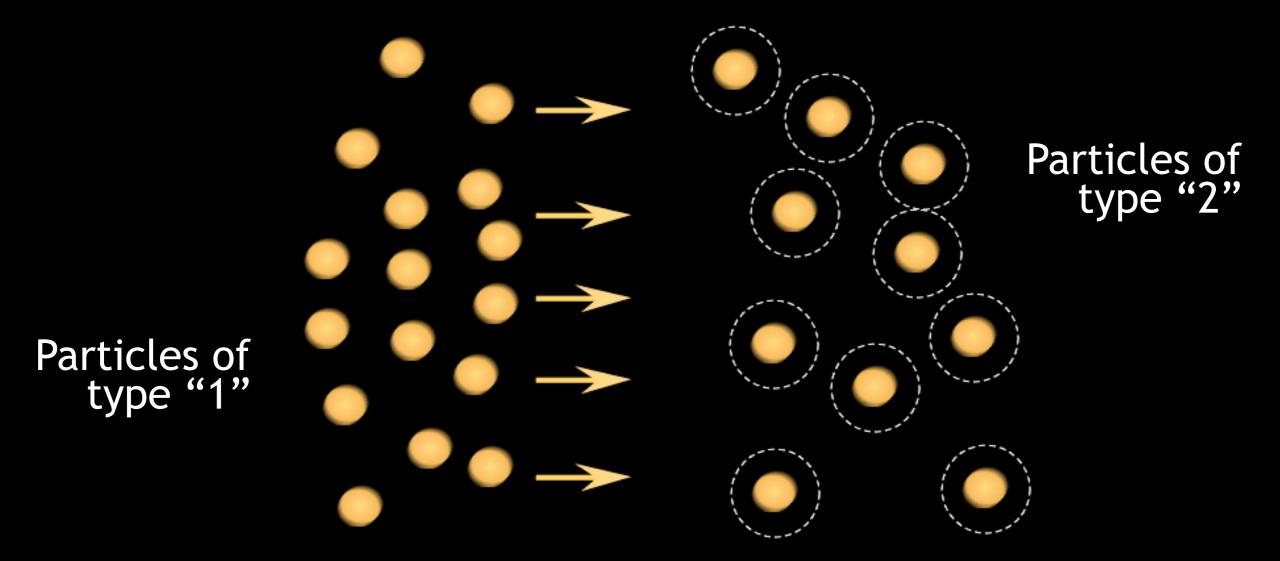
### 3) Nuclear Matter

- For Neutron Stars and their natal supernovae, significant fractions of a solar mass are at densities similar to nucleons in a nucleus.
- Think of nucleus with A~10<sup>57</sup>

### What are Reaction Rates?



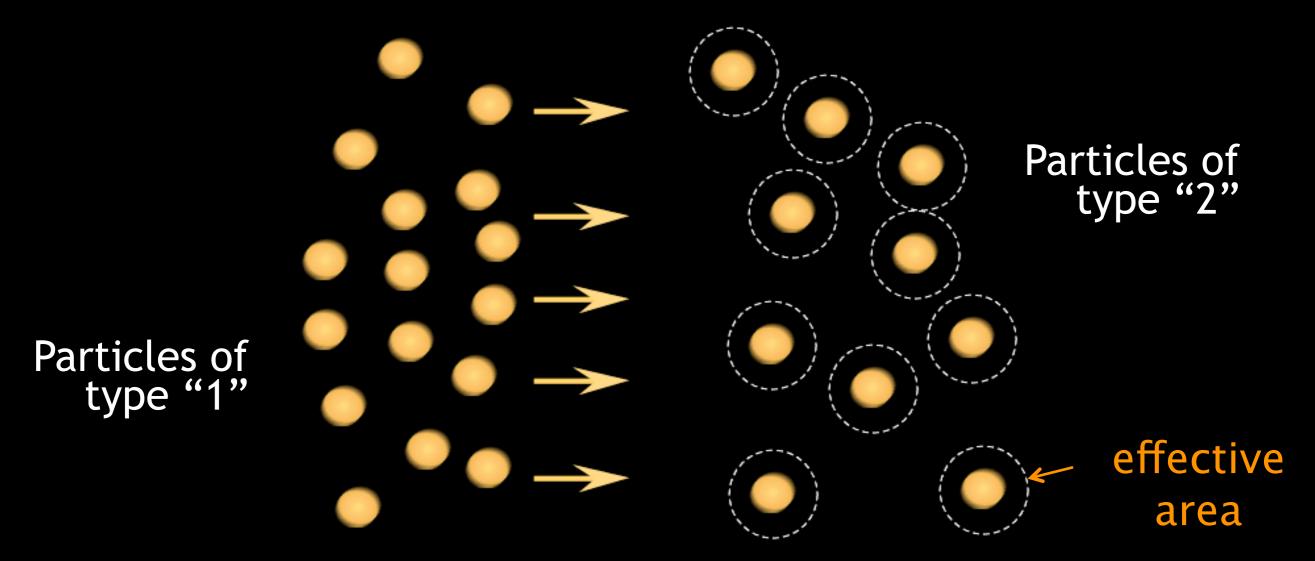
### What are Reaction Rates?



#### Reactions / $cm^3$ / s =

- relative flux particles "1" ( cm<sup>-2</sup> s<sup>-1</sup>)
- \* number of target particles "2" (cm<sup>-3</sup>)
- \* effective area of particle "2" a reaction (cm<sup>2</sup>)

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### Reaction Rates as Differential Eqn.

Define

 $n_1$  = number of particles of type "1" per volume (cm<sup>-3</sup>)

 $n_2$  = number of particles of type "2" per volume (cm<sup>-3</sup>)

 $v = relative velocity (cm s^{-1})$ 

 $\sigma(v)$  = effective cross sectional area for a reaction (cm<sup>2</sup>)

Then

 $n_1 * v = relative flux of "1" relative to "2" (particles cm<sup>-2</sup> s<sup>-1</sup>)$ 

Reactions / cm<sup>3</sup> / s = relative flux particles "1" ( cm<sup>-2</sup> s<sup>-1</sup>) \* (number of particles "2" cm<sup>-3</sup>) \* effective area of each particle "2" for a reaction (cm<sup>2</sup>)

Reactions / cm<sup>3</sup> / s =  $(n_1 * v) * N_2 * \sigma(v) = n_1 n_2 \sigma(v) v$ 

 $dn_1/dt = -n_1 n_2 \sigma(v) v$ 

# Abundances and Mass Fractions

Number density,  $n_{i}$ , naturally depends on the mass density,  $\rho$  (g cm<sup>-3</sup>).

It is possible to separate this dependence by defining the abundance

 $Y_i = n_i / \rho N_A$ , where  $N_A$  is Avagadro's Number.

Abundance has units of mole  $g^{-1}$  and is the fraction of a mole of species *i* per gram of matter, so it also called Molar Fraction.

Multiplying the abundance by the molecular weight of species i,  $A_i$ , which has units of g mole<sup>-1</sup> gives the mass fraction

 $X_i = A_i Y_i$ 

Mass fraction is convenient for presentation as  $\Sigma_i X_i = I$ 

# Localizing Nuclear Effects

By splitting the hydrodynamic changes in density from the local nuclear changes we can derive an expression for dY/dt from dn/dt.

 $n_i = \rho N_A Y_i \longrightarrow dn_i/dt |_{\rho} = \rho N_A dY_i/dt$ 

Combining with  $dn_1/dt = -n_1 n_2 \sigma(v) v$ 

$$\rho N_A dY_1 / dt = -n_1 n_2 \sigma(v) v$$

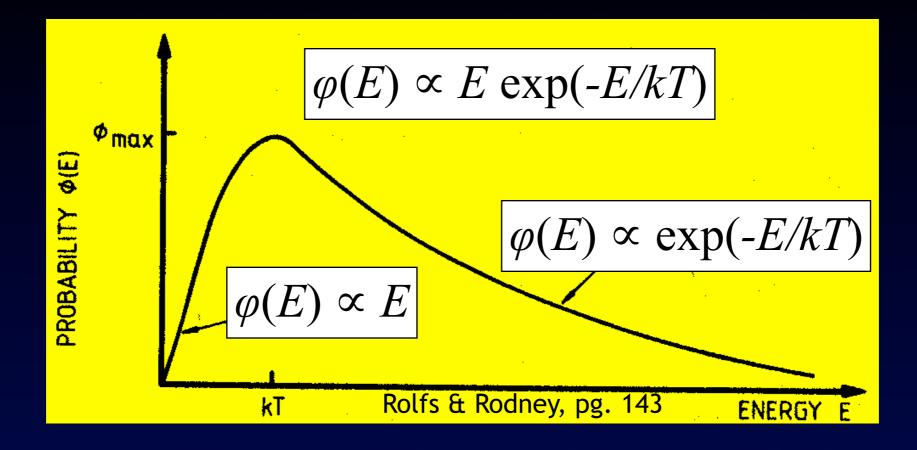
Replacing n<sub>i</sub> with Y<sub>i</sub>

 $\rho N_A dY_1/dt = -\rho N_A Y_1 * \rho N_A Y_2 * \sigma(v) v$ 

Yields

 $dY_1/dt = -Y_1Y_2 \rho N_A \sigma(v)$ 

# Maxwell-Boltzmann Distribution



Nuclei in the stellar plasma are far from monoenergetic.

For a given temperature, there is a distribution of relative velocities (relative energies) between any pair of particles in the star.

For most circumstances, a Maxwell-Boltzmann Distribution is sufficient.

### Thermonuclear Reaction Rates

Integrating over the MB velocity distribution gives the thermal average cross section, denoted  $\langle \sigma v \rangle$ .

 $dY_1/dt = -Y_1Y_2 \rho N_A < \sigma v >$ 

Define  $N_A < \sigma v >$  ( cm<sup>3</sup> s<sup>-1</sup> mole<sup>-1</sup> ) as the "Reaction Rate".

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Cross Section: function of relative velocity (or energy) Reaction Rate: function of temperature (not energy)

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**Important Distinction:** 

Cross Section: function of relative velocity (or energy) Reaction Rate: function of temperature (not energy)

Thermal average of cross sections needed for rates! But rate at any temperature depends on  $\sigma(E)$  over range of energies.

## **MB** Details

The Maxwell–Boltzmann distribution, for temperature, T, velocity, v, and mass,  $m_i$ , is

 $\varphi_{i}(v, T) = 4\pi v^{2} (m_{i}/2\pi kT)^{3/2} \exp(-m_{i}v^{2}/2kT)$ With  $\int_{0}^{\infty} \varphi_{i} (v, T) dv = 1$ 

Reaction Rate is  $N_A < \sigma v > (T) = \int_0^\infty \int_0^\infty N_A \varphi_1(v_1, T) \varphi_2(v_2, T) \sigma(v_1 - v_2) (v_1 - v_2) dv_1 dv_2$ 

Change to center of mass coordinates  $v = \text{Relative velocity} = (v_1 - v_2) \& \mu = \text{reduced mass}$ V = Center of mass velocity & M = total mass

 $\varphi(\mathbf{v}, \mathbf{T}) = 4\pi \, \mathbf{v}^2 \, (\mu/2\pi \, k\mathbf{T})^{3/2} \, \exp(-\mu \mathbf{v}^2/2k\mathbf{T})$  $\varphi(\mathbf{V}, \mathbf{T}) = 4\pi \, \mathbf{V}^2 \, (M/2\pi \, k\mathbf{T})^{3/2} \, \exp(-M\mathbf{V}^2/2k\mathbf{T})$ 

## Reaction Rate in Detail

Using center of mass coordinates

$$N_A < \sigma v > (T) = \int_0^\infty \int_0^\infty N_A \varphi(v, T) \varphi(V, T) \sigma(v) v \, dv \, dV$$

Since 
$$\int_{0}^{\infty} \varphi(V,T) dV = 1$$
 we can integrate over V to get  
 $N_{A} < \sigma v > (T) = N_{A} 4\pi (\mu/2\pi kT)^{3/2} \int_{0}^{\infty} v^{3} \sigma(v) \exp(-\mu v^{2}/2kT) dv$ 

Change to center of mass energy  $E = 0.5 \,\mu v^2$ 

 $N_A < \sigma v > (T) = N_A (8/\pi\mu)^{1/2} (kT)^{-3/2} \int \sigma(E) E \exp(-E/kT) dE$ 

#### Cross section & Astrophysical S-factor

Helpful to Simplify the rate expression for charged particle induced reactions by exploiting two well known energy dependences for  $\sigma(E)$ 

Charged particle Cross Sections  $\sigma(E)$ 

1) are proportional to probability for coulomb barrier penetration  $exp[-(E_G/E)^{1/2}]$ , where  $E_G = 2\mu (\pi e^2 Z_1 Z_2 / h)^2$  is the Gamow Energy.

2) are proportional to the nuclear size (de Broglie wavelength<sup>2</sup>),  $\pi \lambda^2 \sim 1/E$ .

#### Cross section & Astrophysical S-factor

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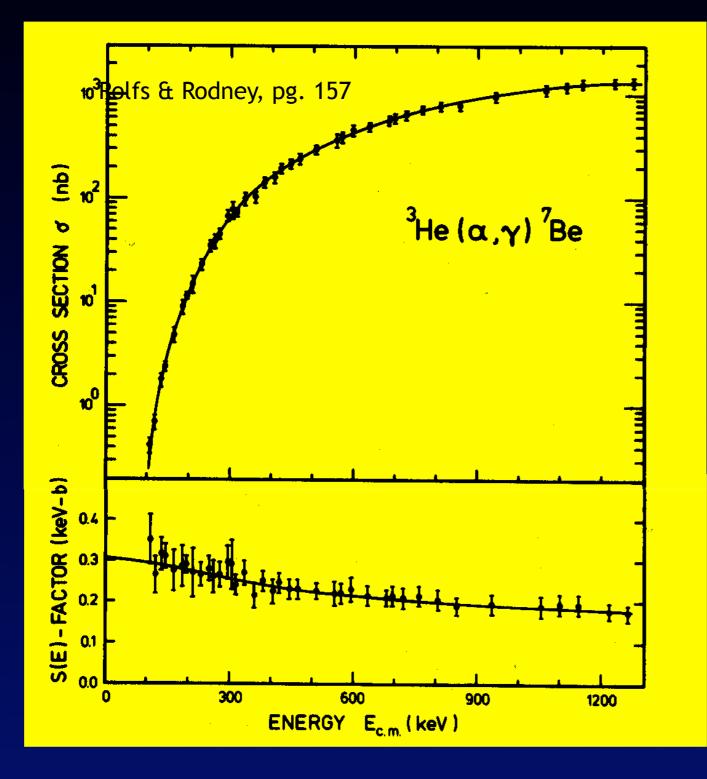
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2) are proportional to the nuclear size (de Broglie wavelength<sup>2</sup>),  $\pi \lambda^2 \sim 1/E$ .

The other energy dependencies are lumped together into S(E) - the Astrophysical S-factor

#### Non-Resonant Reactions

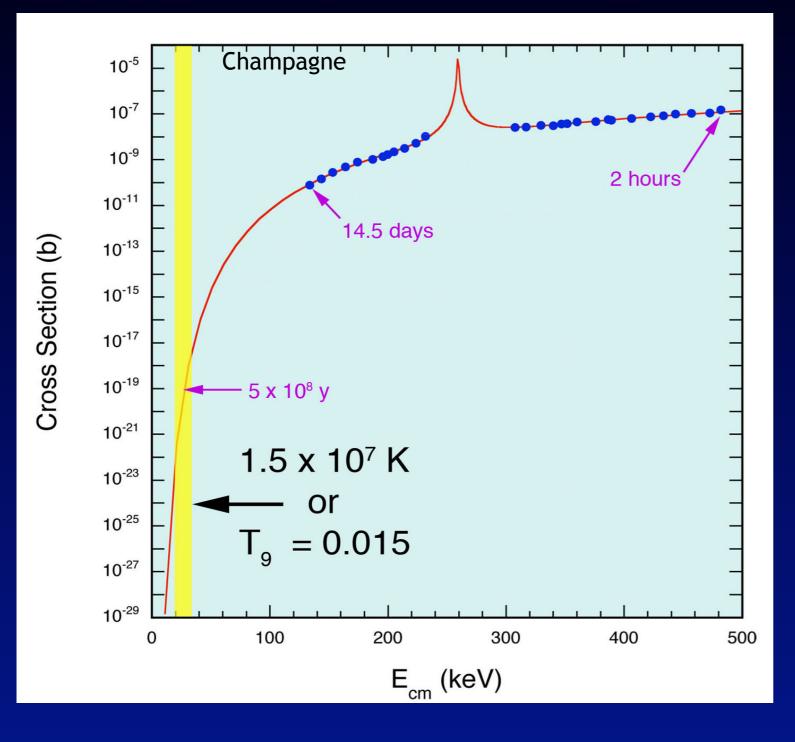


For many reactions, MOST of the energy dependence in  $\sigma(E)$ is described by the penetrability & nuclear size terms.

S(E) is very slowly varying

Advantageous to work with S(E) rather than  $\sigma(E)$ 

# Extrapolating the S factor



For many reactions of astrophysical interest, the energies at which measurements are feasible are much larger than the energies at which the reaction occur in stars.

Extrapolating the S factor is much more reliable.

## Reaction Rate with S factor

By writing the cross section as

 $\sigma(\mathbf{E}) = (1/\mathbf{E}) \cdot \exp[-(E_G/\mathbf{E})^{1/2}] \cdot S(\mathbf{E})$ 

the Reaction Rate

$$N_A < \sigma v > (T) = N_A (8/\pi\mu)^{1/2} (kT)^{-3/2} \int_0^{\infty} \sigma(E) E \exp(-E/kT) dE$$

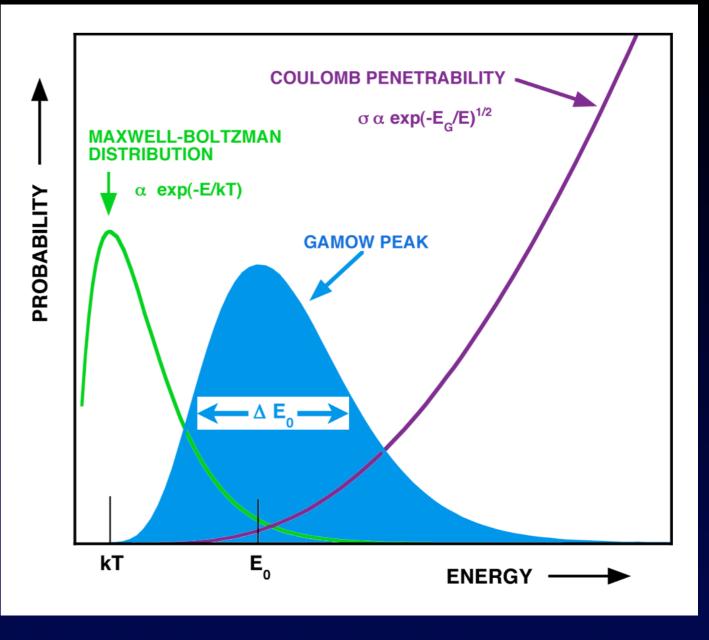
becomes

 $N_A < \sigma v > (T) = N_A (8/\pi\mu)^{1/2} (kT)^{-3/2} \int_0^\infty S(E) \exp\left[-\frac{E}{kT} - (E_G/E)^{1/2}\right] dE$ 

S-factor has units of Energy • Area ... typically keV \* barn, MeV \* barn where 1 barn =  $10^{-24}$  cm<sup>2</sup>

# Gamow Peak

If S(E) is slowly varying, Integral is dominated by  $exp \left[ -\frac{E}{kT} - \frac{(E_G/E)^{1/2}}{kT} \right],$ which decreases at low energy because of the right hand term and decreases at high energy because of the left hand term.

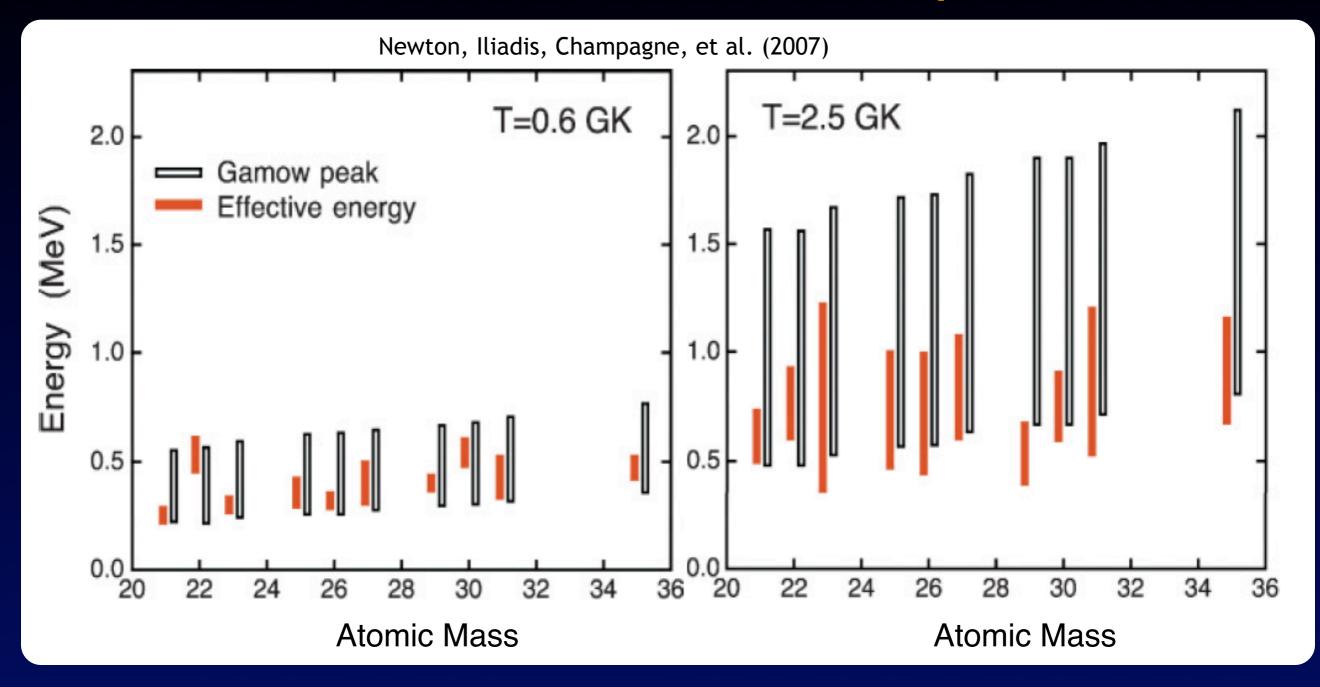


Maximum at  $E_0$  = "most effective stellar energy" =  $E_G^{1/3} (kT/2)^{2/3}$ "Width" of  $\Delta E_0$  = "Gamow Window" =  $[4/3^{1/2}] (E_0 / kT)^{1/2}$ 

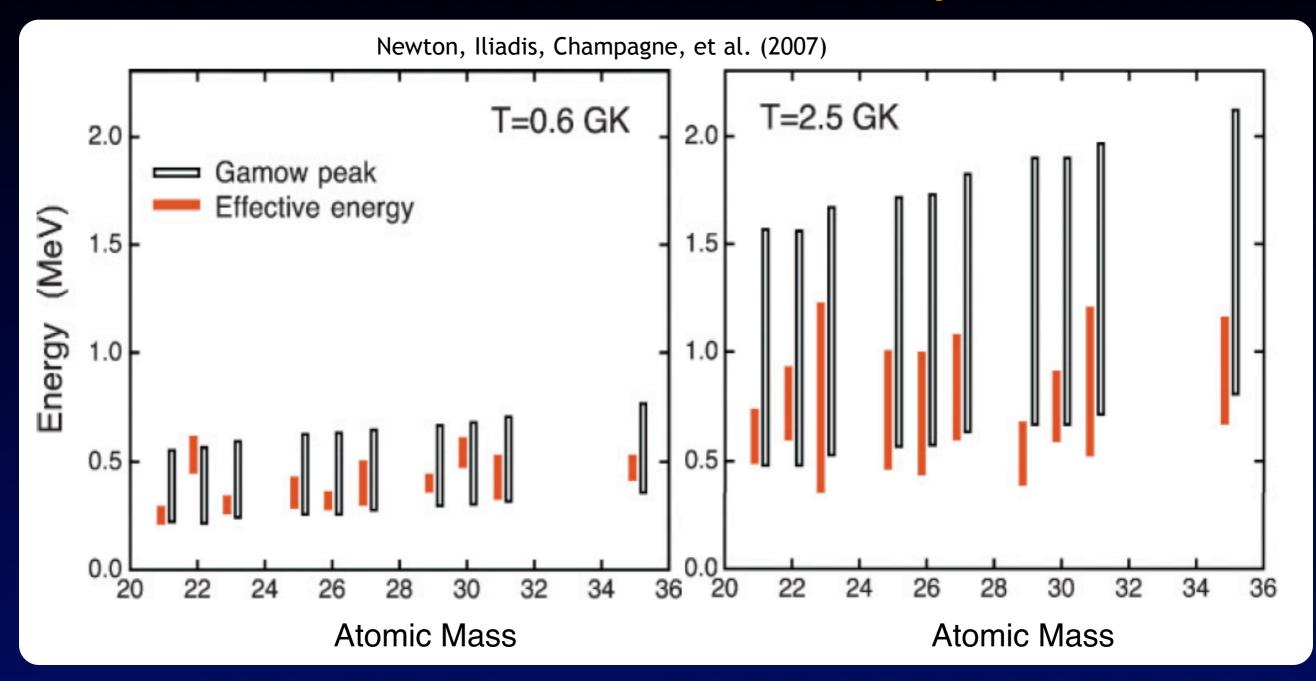
#### Gamow Window Examples

Reaction	Site	MK	E <sub>0</sub>
p + p	Sun	15	6
p + <sup>14</sup> N	CNO	30	40
α + <sup>12</sup> C	Red Giant	200	300
p + <sup>17</sup> F	Nova	300	232
α + <sup>30</sup> S	XRB	1000	1800

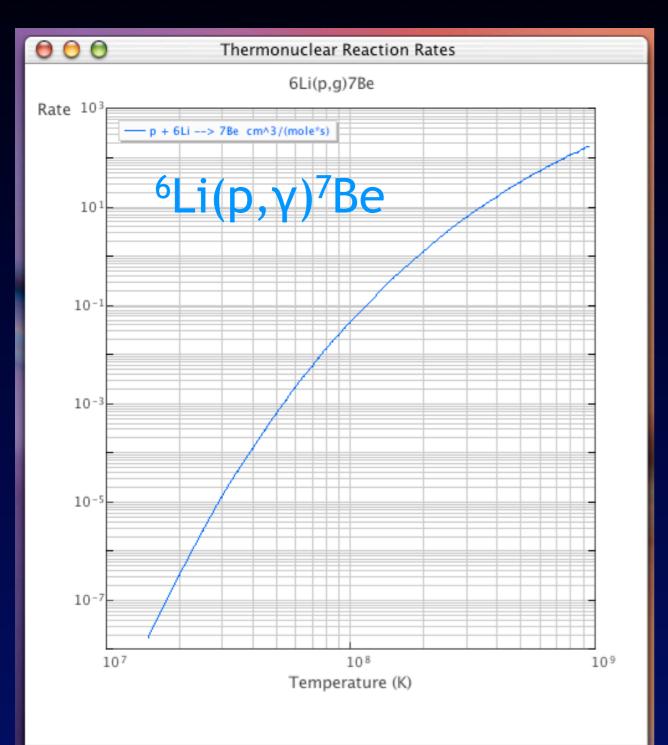
#### Gamow Window Examples



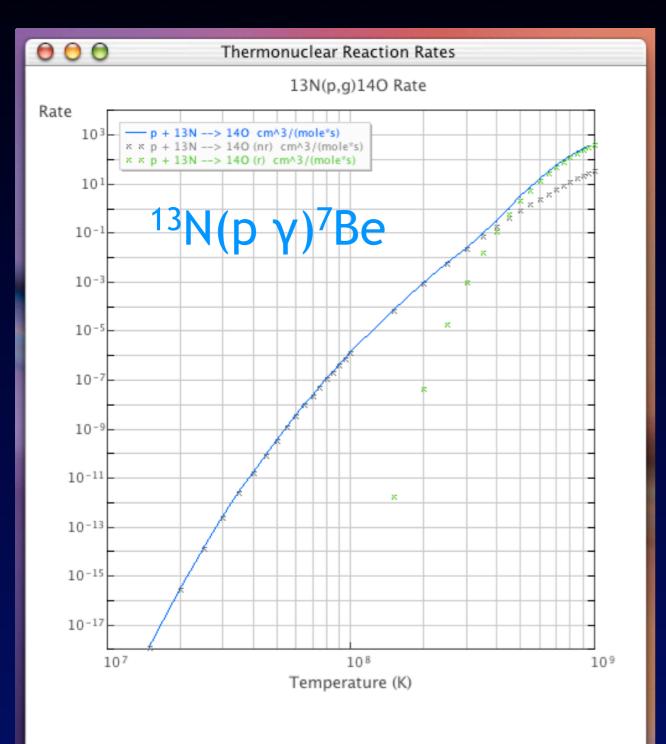
#### **Gamow Window Examples**



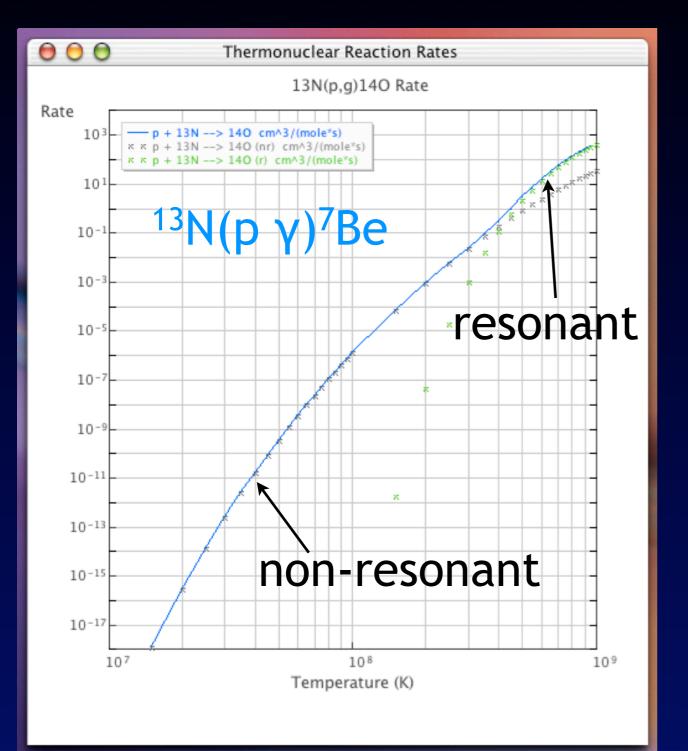
Gamow Peak applies to direct capture, but only 'BoE' estimate for resonances.



Non-resonant rates vary smoothly as function of temperature.

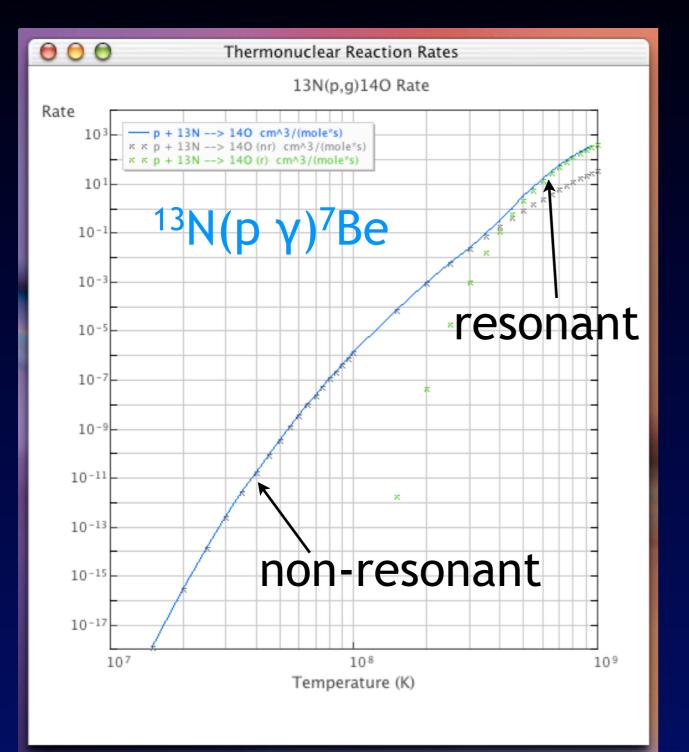


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Non-resonant rates vary smoothly as function of temperature.

Resonances add features, in this case an increase by a factor of 10 near 1 GK.



Non-resonant rates vary smoothly as function of temperature.

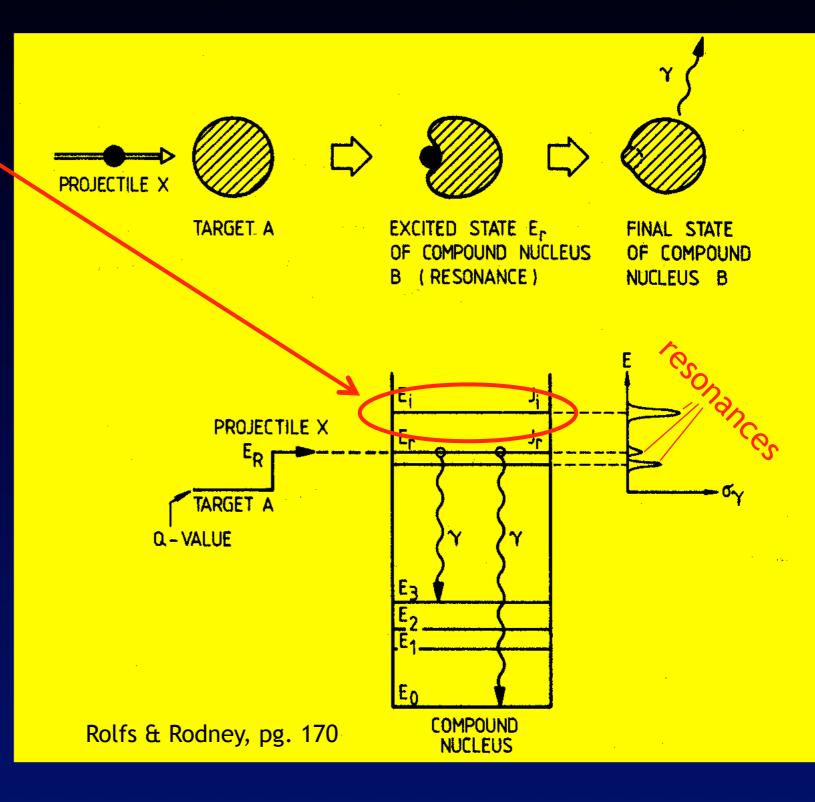
Resonances add features, in this case an increase by a factor of 10 near 1 GK.

Rate changes by many orders of magnitude as temperature changes by 100.

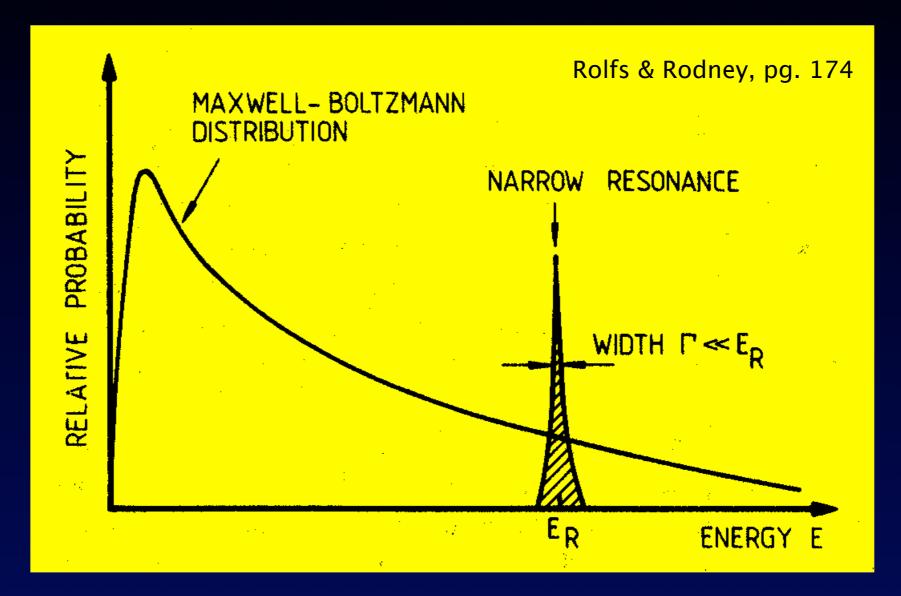
### **Resonant Reaction Rates**

The presence of a nuclear resonance with an energy in the Gamow Window can dramatically increase the reaction rate by factors of 10 to 10<sup>7</sup> in some cases

The search for these resonances and measurement of their properties is extremely important.



## Narrow Resonances



For single resonances with a narrow total width, the resonance energy region effectively becomes the Gamow Peak

#### Breit-Wigner Formula

For a Narrow (Lorentzian) resonance the cross cections  $\sigma(E)$ 

$$\sigma_{BW}(E) = \frac{\pi (\hbar c)^2}{2\mu E} * \frac{(2J_r + 1)}{(2J_1 + 1)(2J_2 + 1)} * \frac{\Gamma_{in} \Gamma_{out}}{[(E - E_r)^2 + (\Gamma_{tot}/2)^2]}$$

where

 $J_r = \text{spin of resonant state}$   $J_1, J_2 = \text{spin of reactant nuclei}$   $E_r = \text{energy of resonant state}$   $\Gamma_{in} = \text{energy width of reaction channel to form}$   $\text{state (entrance channel)} = \frac{\hbar}{\tau_{in}}$   $\Gamma_{out} = \text{energy width of exit channel} = \frac{\hbar}{\tau_{out}}$  $\Gamma_{tot} = \text{total energy width of resonance} = \frac{\hbar}{\tau_{tot}}$ 

### **Resonant Reaction Rates**

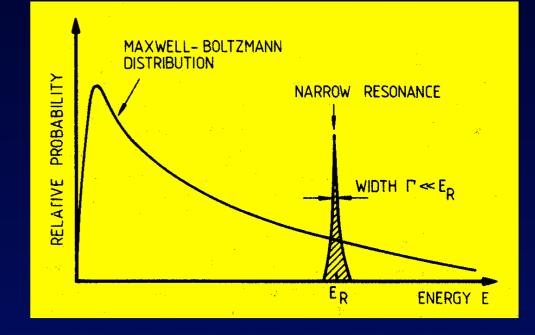
For narrow resonances

 $N_{A} < \sigma v > (T)$ =  $N_{A} (8/\pi\mu)^{1/2} (kT)^{-3/2} \int \sigma_{BW}(E) E \exp(-E/kT) dE$ =  $N_{A} (8/\pi\mu)^{1/2} (kT)^{-3/2} E_{r} \exp(-E_{r}/kT) \int \sigma_{BW}(E) dE$ 

Integrating the cross section

$$\int \sigma_{BW}(E) \ dE = (\pi/2) \ \Gamma_{tot} \ \sigma_{BW}(E_r)$$

where

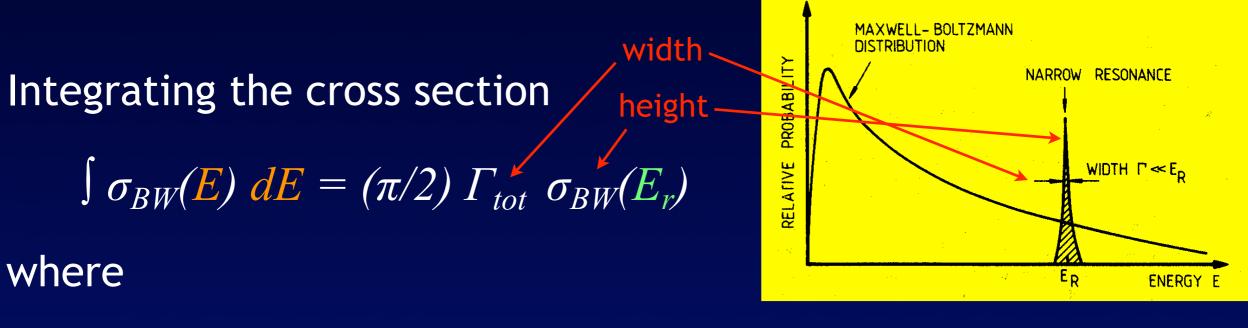


$$\sigma_{BW}(E_r) = \frac{\pi (\hbar c)^2 * (2J_r + 1)}{2\mu E_r} (2J_1 + 1)(2J_2 + 1)} * \frac{\Gamma_{in} \Gamma_{out}}{(\Gamma_{tot}/2)^2}$$

### **Resonant Reaction Rates**

For narrow resonances

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$$\sigma_{BW}(E_r) = \frac{\pi (\hbar c)^2}{2\mu E_r} * \frac{(2J_r + 1)}{(2J_1 + 1)(2J_2 + 1)} * \frac{\Gamma_{in} \Gamma_{out}}{(\Gamma_{tot}/2)^2}$$

## Resonance Strength

Define

$$\omega = \frac{(2J_r + 1)}{(2J_1 + 1)(2J_2 + 1)} = \text{Statistical factor}$$
$$\gamma = \frac{\Gamma_{in} \Gamma_{out}}{\Gamma_{tot}}$$

 $\omega\gamma$  is the "resonance strength" (units are in energy)

In terms of  $\omega\gamma$  $\sigma_{BW}(E_r) = \frac{\pi (\hbar c)^2}{2\mu E_r} * \frac{\omega\gamma}{\Gamma_{tot}}$   $N_A < \sigma v > (T) = N_A (2\pi/\mu)^{3/2} (kT)^{-3/2} \hbar^2 \omega\gamma \exp(-E_r/kT)$ 

For multiple narrow resonances

## Resonance Strength

Define

$$\omega = \frac{(2J_r + 1)}{(2J_1 + 1)(2J_2 + 1)} = \text{Statistical factor}$$
$$\gamma = \frac{\Gamma_{in} \Gamma_{out}}{\Gamma_{tot}}$$

 $\omega\gamma$  is the "resonance strength" (units are in energy)

# In terms of $\omega\gamma$ $\sigma_{BW}(E_r) = \frac{\pi (\hbar c)^2 * \omega\gamma}{2\mu E_r} \frac{\omega\gamma}{\Gamma_{tot}}$ Linear $N_A < \sigma v > (T) = N_A (2\pi/\mu)^{3/2} (kT)^{-3/2} \hbar^2 \omega\gamma \exp(-E_r/kT)$

For multiple narrow resonances

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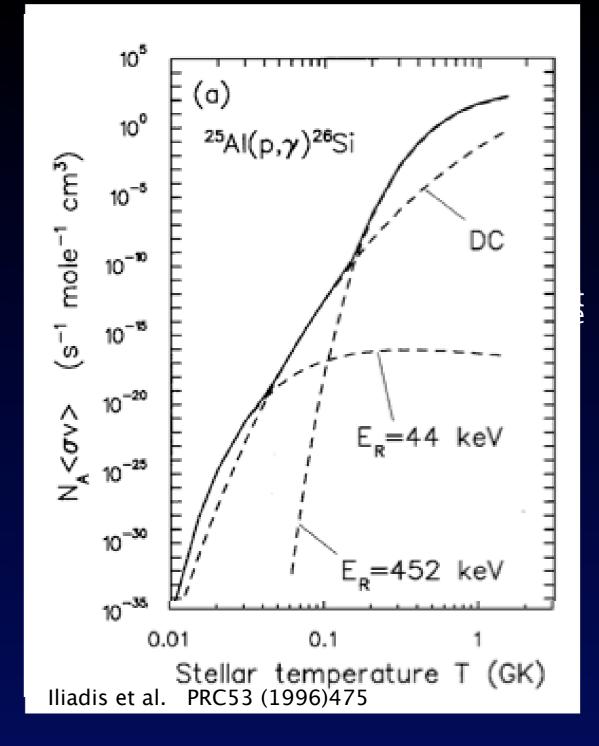
### In terms of $\omega\gamma$ $\sigma_{BW}(E_r) = \frac{\pi (\hbar c)^2}{2\mu E_r} * \frac{\omega\gamma}{\Gamma_{tot}}$ $N_A < \sigma\nu > (T) = N_A (2\pi/\mu)^{3/2} (kT)^{-3/2} \hbar^2 \omega\gamma exp(-E_r/kT)$ For multiple narrow resonances

### Which Resonances?

The presence of resonances can make a dramatic difference (orders of magnitude) in the reaction rate

Resonances with zero orbital angular momentum transfer are important (strengths are often large)

Especially important are resonances with a large strength and a low resonance energy



# Summary

1. Nuclear Physics for Astrophysics How do we learn about cosmic nuclear evolution Myriad of observations from the ground, satellite and underground detectors provide us information about the changing composition of the Cosmos.

What nuclear data are needed

Reaction Rates, Masses and Partition functions Data on Nuclear Matter

- 2. Lives of Stars
- 3. Supernovae
- 4. Stellar Afterlife