

*1st CNS International Summer School
Wako
August 19-23, 2002*

**Nuclear Shell Model
- Past, Present and Future -**

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Nuclear Shell Model

- Past, Present and Future -

Outline

I. Basics of shell model calculations

Development of the shell model

Practical Calculations

Dimension Problem

Monte Carlo Shell Model

II. Shell Evolution

Normal vs. Intruder states at $N=20$

Varying Shell Structure

Spin-Isospin Interaction

Neutron-rich Na isotopes and $N=20$ gap

^{34}Mg and $N=20$ gap

pf shell and $N=34$

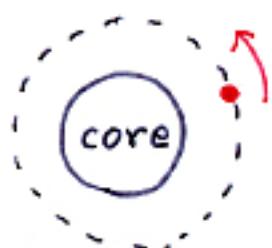
p shell and $N=6$

Shell Evolution Paradigm

Development of the Nuclear Shell Model



Pre-historic age
nucleus = chaos



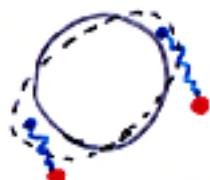
1949 Shell Model proposed
by Mayer & Jensen

Single-particle model

orbital motion

Magic numbers , LS splitting

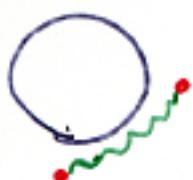
~1960 Core polarization



by Arima & Horie ,
Bohr & Mottelson

||

⇒ Effective Interaction



G-matrix: Kuo - Brown, ...

+ new developments (low- k , χ PT)

Many-body Theory

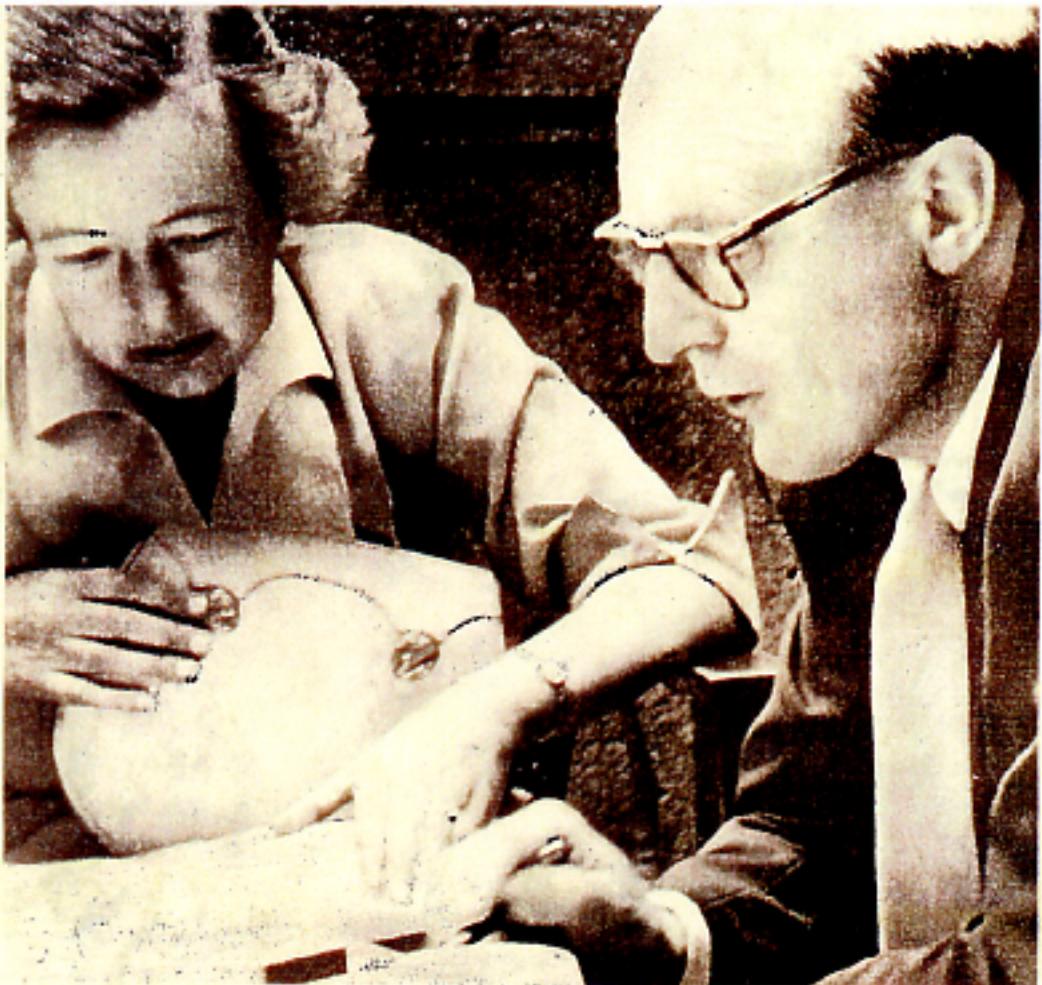
~1980 sd shell (USD int.)

~1990 "Large-scale" calc.

~1 million dim.

International
Conference
Heidelberg
Germany
3-5 June 1999

FIFTY YEARS



NUCLEAR SHELL MODEL PRESENT STATUS AND FUTURE TRENDS

In 1999, fifty years will have passed since Goeppert-Mayer and Haxel, Jensen, and Suess showed that a spin-orbit force can account for the magic numbers in nuclei. This initial discovery paved the way to a detailed understanding of the structure of nuclei in the years that followed. This conference, to be held at one of the birth places of the nuclear shell model (the photograph from the fifties shows Goeppert-Mayer and Jensen at work in Heidelberg), is intended to present a critical review of various aspects of nuclear structure physics, to emphasize open questions and to define directions for further experimental work. The list of speakers includes:

Confirmed Speakers:

- | | | |
|----------------------|---------------------------|------------------------------|
| S. Aberg (Lund) | F. Iachello (New Haven) | A. Poves (Madrid) |
| G. Bertsch (Seattle) | S. Mcgrayne (Seattle) | P. Ring (München) |
| B. Hoas (Strasbourg) | B. Mottelson (Copenhagen) | I. Sick (Basel) |
| D. Habs (München) | T. Otsuka (Tokyo) | B. Stech (Heidelberg) |
| G. Hansen (Michigan) | V. Pandharipande (Urbana) | H. Weidenmüller (Heidelberg) |

D. Wilkinson (Eastbourne)

Advisory Committee:

- A. Richter (Darmstadt)
D. Schwalm (Heidelberg)
H. Specht (Darmstadt /
Heidelberg)
I. Talmi (Rehovot)

Everyone who is interested in participating is invited to register directly via
the homepage (<http://frodo.tphys.uni-heidelberg.de/shell99.html>)
of the conference or to contact the organizers:

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with spin 3/2 instead of the expected $d_{5/2}$, and $^{49}\text{Mn}^{51}$ with 5/2 instead of the expected $f_{7/2}$, are the only violations.

Table II lists the known spins and orbital assignments from magnetic moments⁴ when these are known and unambiguous, for the even-odd nuclei up to 83. Beyond 83 the data is limited and no exceptions to the assignment appear.

Up to $Z=20$, the assignment is the same as that of Feenberg and Nordheim. At the beginning of the next shell, $f_{7/2}$ levels occur at 21 and 23, as they should. At 28 the $f_{7/2}$ levels should be filled, and no spins of 7/2 are encountered any more in this shell. This subshell may contribute to the stability of Ca^{40} . If the $g_{9/2}$ level did not cross the $p_{3/2}$ or $f_{5/2}$

TABLE I.

| Osc. No. | Square well | Spect. term | Spin term | No. of states | Shells | Total No. |
|-------------|----------------|----------------|--------------------|------------------|--------|--------------|
| 0 | 1s | 1s | 1s _{1/2} | 2 | 2 | 2 |
| | | | 1p _{1/2} | 4 | | |
| 1 | 1p | 2p | 1p _{1/2} | 2 | 6 | 8 |
| | 1d | 3d | 1d _{5/2} | 6 | | |
| 2 | { | | 1d _{3/2} | 4 | 12 | |
| | 2s | 2s | 2s _{1/2} | 2 | | |
| | | | | | | 20 |
| 3 | 1f | 4f | 1f _{7/2} | 8 | 8 | 28 |
| | 2g | 3p | 1f _{5/2} | 6 | | |
| | | | 2p _{1/2} | 4 | 22 | |
| | | | 2p _{3/2} | 2 | | |
| | | | 1g _{9/2} | 10 | | 50 |
| 4 | { | 5g | 1g _{7/2} | 8 | | |
| | 2d | 4d | 2d _{5/2} | 6 | | |
| | 3s | 3s | 2d _{3/2} | 4 | 32 | |
| | | | 3s _{1/2} | 2 | | |
| | | | 1h _{11/2} | 12 | | 82 |
| 5 | { | 6h | | | | |
| | 2 | 5f | 1h _{9/2} | 10 | | |
| | | | 2f _{7/2} | 8 | | |
| | 3p | 4p | 2f _{5/2} | 6 | | 44 |
| | | | 3p _{3/2} | 4 | | |
| | | | 3p _{1/2} | 2 | | |
| | | | 1i _{13/2} | 14 | | 126 |
| 6 | { | 7i | | | | |
| | 2g | 6g | 1i _{11/2} | | | |
| | 3d | 5d | | | | |
| | 4s | 4s | | | | |

Nuclear Shell Model
Mayer
1949

On Closed Shells in Nuclei. II

MARIA GOEPPERT MAYER

Argonne National Laboratory and Department of Physics,
University of Chicago, Chicago, Illinois

February 4, 1949

THE spins and magnetic moments of the even-odd nuclei have been used by Feenberg^{1,2} and Nordheim³ to determine the angular momentum of the eigenfunction of the odd particle. The tabulations given by them indicate that spin-orbit coupling favors the state of higher total angular momentum. If strong spin-orbit coupling, increasing with angular momentum, is assumed, a level assignment different from either Feenberg or Nordheim is obtained. This assignment encounters a very few contradictions with experimental facts and requires no major crossing of the levels from those of a square well potential. The magic numbers 50, 82, and 126 occur at the place of the spin-orbit splitting of levels of high angular momentum.

Table I contains in column two, in order of decreasing binding energy, the levels of the square well potential. The quantum number gives the number of radial nodes. Two levels of the same quantum number cannot cross for any type of potential well, except due to spin-orbit splitting. No evidence of any crossing is found. Column three contains the usual spectroscopic designation of the levels, as used by Nordheim and Feenberg. Column one groups together those levels which are degenerate for a three-dimensional isotropic oscillator potential. A well with rounded corners will have a behavior in between these two potentials. The shell grouping is given in column five, with the numbers of particles per shell and the total number of particles up to and including each shell in column six and seven, respectively.

Within each shell the levels may be expected to be close in energy, and not necessarily in the order of the table, although the order of levels of the same orbital angular momentum and different spin should be maintained. Two exceptions, $^{11}\text{Na}^{21}$

levels, the first spin of 9/2 should occur at 41, which is indeed the case. Three nuclei with N or $Z=49$ have $g_{9/2}$ orbits. No s or d levels should occur in this shell and there is no evidence for any.

The only exception to the proposed assignment in this shell is the spin 5/2 instead of 7/2 for $^{53}\text{Mn}^{51}$, and the fact that the magnetic moment of $^{53}\text{Co}^{51}$ indicates a $g_{7/2}$ orbit instead of the expected $f_{5/2}$.

In the next shell two exceptions to the assignment occur. The spin of 1/2 for $^{55}\text{Mo}^{53}$ with 53 would be a violation, but is experimentally doubtful. The magnetic moment of $^{61}\text{Eu}^{53}$ indicates $f_{7/2}$ instead of the predicted $d_{5/2}$. No $h_{11/2}$ levels appear. It seems that these levels are filled in pairs only.

TABLE I. Classification of nuclear states.

| Oscillator-quantum number r | Multiplicity | Sum of all multiplicities | Orbital momentum l | Total angular momentum j | l_j -symbol | Multiplicities | Magic numbers |
|-------------------------------|--------------|---------------------------|----------------------|----------------------------|---------------|----------------|---------------|
| 1 | 2 | 2 | 0 | 1/2 | $J_{1/2}$ | 2 | |
| 2 | 6 | 8 | 1 | 3/2 | $P_{3/2}$ | 4 | |
| 3 | 12 | 20 | 2 | 5/2 | $D_{5/2}$ | 6 | 14 |
| 4 | 20 | 40 | 3 | 7/2 | $F_{7/2}$ | 8 | 28 |
| 5 | 30 | 70 | 4 | 9/2 | $G_{9/2}$ | 10 | 50 |
| 6 | 42 | 112 | 5 | 11/2 | $H_{11/2}$ | 12 | 82 |
| 7 | | | 6 | 13/2 | $I_{13/2}$ | 14 | |
| | | | 7 | 11/2 | $I_{11/2}$ | 12 | |
| | | | 8 | 9/2 | $I_{9/2}$ | 10 | 126 |

nuclear shell model

Jensen

1949

On the "Magic Numbers" in Nuclear Structure

OTTO HAXEL

Max Planck Institut, Göttingen

J. HANS D. JENSEN

Institut f. theor. Physik, Heidelberg

AND

HANS E. SUSS

Inst. f. phys. Chemie, Hamburg

April 18, 1949.

A SIMPLE explanation of the "magic numbers" 14, 28, 50, 82, 126 follows at once from the oscillator model of the nucleus,¹ if one assumes that the spin-orbit coupling in the Yukawa field theory of nuclear forces leads to a strong splitting of a term with angular momentum l into two distinct terms $j=l\pm\frac{1}{2}$.

If, as a first approximation, one describes the field potential of the nucleons already present, acting on the last one added, as that due to an isotropic oscillator; then the energy levels are characterized by a single quantum number $r=r_1+r_2+r_3$, where r_1, r_2, r_3 are the quantum numbers of the oscillator in 3 orthogonal directions. Table I, column 2 shows the multiplicity of a term with a given value of r , column 3 the sum of all multiplicities up to and including r . Isotropic anharmonicity of the potential field leads to a splitting of each r -term according to the orbital angular momenta l (l even when r is odd, and vice versa), as in Table I, column 4. Finally, spin-orbit coupling leads to the l -term splitting into $j=l\pm\frac{1}{2}$, columns 5 and 6, whose multiplicities are listed in column 7.

The "magic numbers" (column 8) follow at once on the assumption of a particularly marked splitting of the term with the highest angular momentum, resulting in a "closed shell

structure" for each completed r -group, together with the highest j -term of the next succeeding r -group. This classification of states is in good agreement with the spins and magnetic moments of the nuclei with odd mass number, so far as they are known at present. The anharmonic oscillator model seems to us preferable to the potential well model,² since the range of the nuclear forces is not notably smaller than the nuclear radius.

A more detailed account will appear in three communications to Naturwissenschaften.³

¹ See, e.g., H. A. Bethe and R. Bacher, Rev. Mod. Phys. 8, 82 (1937), pars. 32-34.

² Which anyhow does not lead to a very different term-sequence compared with that of an anharmonic oscillator, see reference 1.

³ (a) Haxel, Jensen, and Suess, Naturwiss. (in press). (b) Suess, Haxel, and Jensen, Naturwiss. (in press). (c) Jensen, Suess, and Haxel, Naturwiss. (in press).

Shell structure of stable nuclei

Mayer and Jensen 1949

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INDEPENDENT-PARTICLE MOTION

Ch. 2

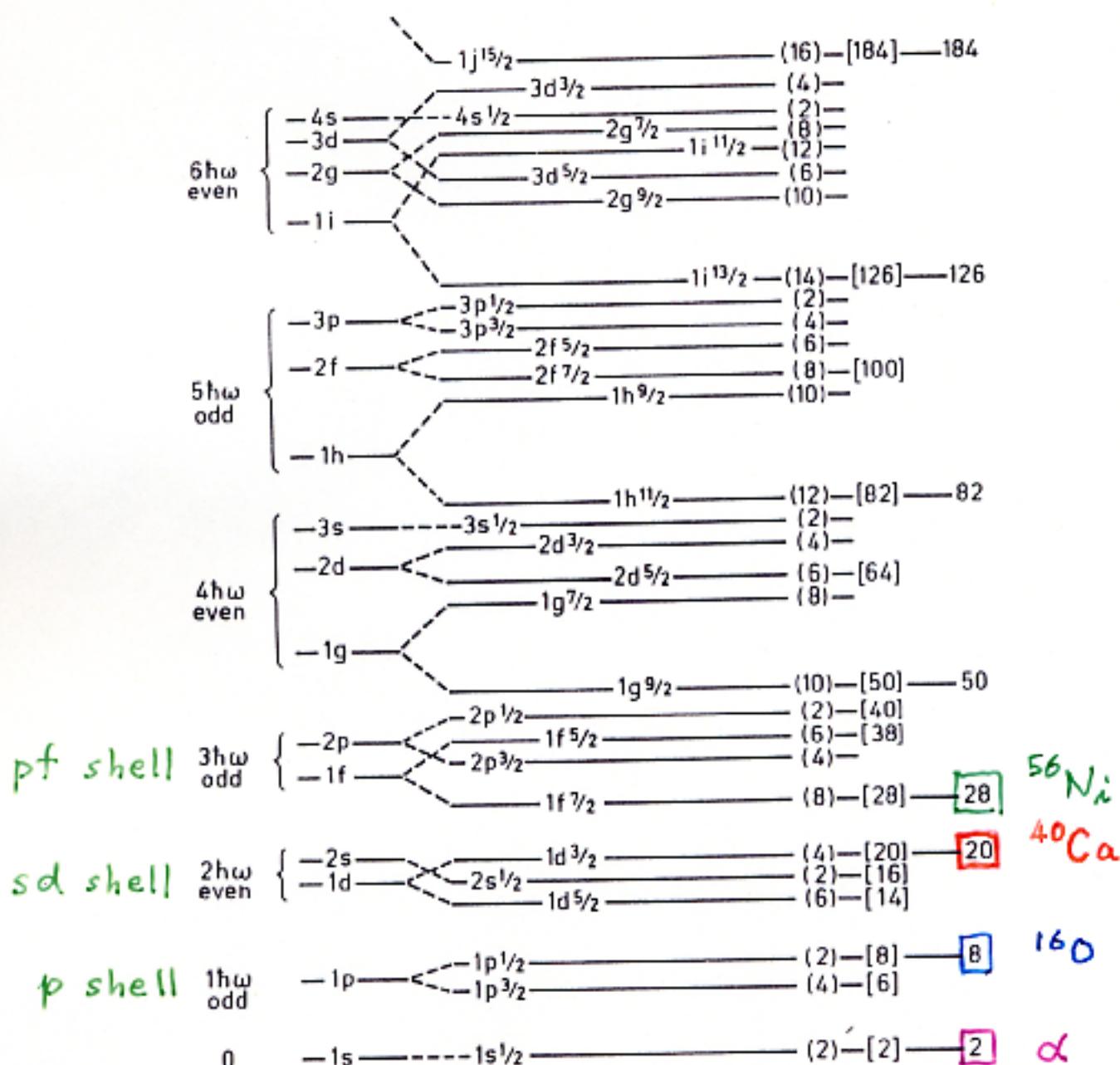


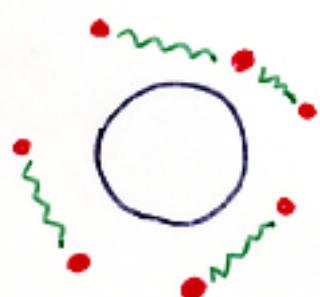
Figure 2-23 Sequence of one-particle orbits. The figure is taken from M. G. Mayer and J. H. D. Jensen, *Elementary Theory of Nuclear Shell Structure*, p. 58, Wiley, New York, 1955.


 Harmonic
Oscillator
Potential

 \uparrow
 $N=2$
 magic
number

Development of the Nuclear Shell Model - 2

1970 ~



Calculations with
many nucleons

p shell, sd shell, ^{208}Pb , ...

p shell: Cohen-Kurath
CK interaction

sd shell: Wildenthal-Brown
USD interaction
(~1980)

1990 ~ Present

"Large-scale" calculations

Conventional calc.

Monte Carlo calc.

"No-core" calc:

Barrett, Vary, Navratil, ...

Continuum Shell Model

Shell Model for Halo orbit

Shell model calculation in practice 1/2

Hamiltonian

$$H = \sum \varepsilon_i n_i + \sum_{\substack{i < j \\ k < l}} V_{ijkl} a_i^+ a_j^+ a_l a_k$$

Single particle state

$$i : R_{n_i}(r) \left[Y_{l_i}(\theta, \varphi) \ u_i \right]^{(j_i)}_{m_i} v_i$$

↑ ↑ ↑ ↑
 node orbital spin isospin
 angular mom. (\uparrow or \downarrow) (p or n)

Slater determinant

$$\Psi_1 = a_{i_1}^+ a_{j_1}^+ \dots a_{k_1}^+ |0\rangle$$

$$\Psi_2 = a_{i_2}^+ a_{j_2}^+ \dots a_{k_2}^+ |0\rangle$$

四

Hamiltonian matrix

$$\Pi = \begin{bmatrix} * & * & * & * & \cdots \\ * & * & * & * & \cdots \\ * & * & * & - & \cdots \\ \vdots & \vdots & \vdots & \vdots & \end{bmatrix}$$

$$H_{ij} = \langle \Psi_i | H | \Psi_j \rangle$$

the number of Slater determinants
 = dimension of the matrix
 = Shell Model dimension

USD interaction

Brown & Wildenthal 1988

Table 3: USD parameters

Values of the two-body matrix elements and single-particle energies of the $A = 18$ version of the new empirical Hamiltonian for sd-shell model calculations. Units are MeV. The two-body matrix elements (2bme)

$\langle (j_1, j_2)_{JT} | \text{II}^{2b} | (j_3, j_4)_{JT} \rangle$ are labeled by the indices of the j_i according to the convention of "1" for $0d_{5/2}$, "2" for $1s_{1/2}$ and "3" for $0d_{3/2}$ and

by the values of twice the total angular momentum and total isospin of the two-body states, $2J$ and $2T$. The two-body matrix elements for other values of A are obtained by multiplying these values by $(18/A)^{0.3}$. The values of the single-particle energies (spe) are independent of A .

sd shell USD

| j_1 | j_2 | j_3 | j_4 | 2J | 2T | 2bme | j_1 | j_2 | j_3 | j_4 | 2J | 2T | 2bme |
|-------|-------|-------|-------|----|----|---------|-------|-------|-------|-------|----|----|----------|
| 1 | 1 | 1 | 1 | 0 | 2 | -2.8197 | 1 | 3 | 1 | 3 | 4 | 0 | -3.8253 |
| 1 | 1 | 1 | 1 | 2 | 0 | -1.6321 | -1 | 3 | 1 | 3 | 4 | 2 | -0.3248 |
| 1 | 1 | 1 | 1 | 4 | 2 | -1.0020 | 1 | 3 | 1 | 3 | 6 | 0 | -0.5377 |
| 1 | 1 | 1 | 1 | 6 | 0 | -1.5012 | -1 | 3 | 1 | 3 | 6 | 2 | 0.5894 |
| 1 | 1 | 1 | 1 | 8 | 2 | -0.1641 | 1 | 3 | 1 | 3 | 8 | 0 | -4.5062 |
| 1 | 1 | 1 | 1 | 10 | 0 | -4.2256 | -1 | 3 | 1 | 3 | 8 | 2 | -1.4497 |
| 1 | 1 | 1 | 2 | 4 | 2 | -0.8616 | 1 | 3 | 2 | 2 | 2 | 0 | 2.1042 |
| 1 | 1 | 1 | 2 | 6 | 0 | -1.2420 | 1 | 3 | 2 | 3 | 2 | 0 | -1.7080 |
| 1 | 1 | 1 | 3 | 2 | 0 | 2.5435 | 1 | 3 | 2 | 3 | 2 | 2 | 0.1874 |
| 1 | 1 | 1 | 3 | 4 | 2 | -0.2828 | 1 | 3 | 2 | 3 | 4 | 0 | 0.2832 |
| 1 | 1 | 1 | 3 | 6 | 0 | 2.2216 | 1 | 3 | 2 | 3 | 4 | 2 | -0.5247 |
| 1 | 1 | 1 | 3 | 8 | 2 | -1.2363 | 1 | 3 | 3 | 3 | 2 | 0 | 0.5647 |
| 1 | 1 | 2 | 2 | 0 | 2 | -1.3247 | 1 | 3 | 3 | 3 | 4 | 2 | -0.6149 |
| 1 | 1 | 2 | 2 | 2 | 0 | -1.1756 | 1 | 3 | 3 | 3 | 7 | 0 | 2.0337 |
| 1 | 1 | 2 | 3 | 2 | 0 | -1.1026 | 2 | 2 | 2 | 2 | 0 | 2 | -2.1246 |
| 1 | 1 | 2 | 3 | 4 | 2 | -0.6198 | 2 | 2 | 2 | 2 | 2 | 0 | -3.2628 |
| 1 | 1 | 3 | 3 | 0 | 2 | -3.1856 | 2 | 2 | 2 | 3 | 2 | 0 | 1.2501 |
| 1 | 1 | 3 | 3 | 2 | 0 | 0.7221 | 2 | 2 | 3 | 3 | 0 | 2 | -1.0835 |
| 1 | 1 | 3 | 3 | 4 | 2 | -1.6221 | 2 | 2 | 3 | 3 | 2 | 0 | 0.0275 |
| 1 | 1 | 3 | 3 | 6 | 0 | 1.8949 | 2 | 3 | 2 | 3 | 2 | 0 | -4.2930 |
| 1 | 2 | 1 | 2 | 4 | 0 | -1.4474 | 2 | 3 | 2 | 3 | 2 | 2 | 0.6066 |
| 1 | 2 | 1 | 2 | 4 | 2 | -0.8183 | 2 | 3 | 2 | 3 | 4 | 0 | -1.8194 |
| 1 | 2 | 1 | 2 | 6 | 0 | -3.8598 | 2 | 3 | 2 | 3 | 4 | 2 | -0.4064 |
| 1 | 2 | 1 | 2 | 6 | 2 | 0.7626 | 2 | 3 | 3 | 3 | 2 | 0 | 0.3983 |
| 1 | 2 | 1 | 3 | 4 | 0 | -0.0968 | 2 | 3 | 3 | 3 | 4 | 2 | -0.5154 |
| 1 | 2 | 1 | 3 | 4 | 2 | -0.4770 | 3 | 3 | 3 | 3 | 0 | 2 | -2.1845 |
| 1 | 2 | 1 | 3 | 6 | 0 | 1.2032 | 3 | 3 | 3 | 3 | 2 | 0 | -1.4151 |
| 1 | 2 | 1 | 3 | 6 | 2 | -0.6741 | 3 | 3 | 3 | 3 | 4 | 2 | -0.0665 |
| 1 | 2 | 2 | 3 | 4 | 0 | -2.0664 | 3 | 3 | 3 | 3 | 6 | 0 | -2.0842 |
| 1 | 2 | 2 | 3 | 4 | 2 | -1.9410 | | | | | | | -3.94780 |
| 1 | 2 | 3 | 3 | 4 | 2 | -0.4041 | | | | | | | -3.16354 |
| 1 | 2 | 3 | 3 | 6 | 0 | 0.1087 | | | | | | | 1.64658 |
| 1 | 3 | 1 | 3 | 2 | 0 | -6.5058 | | | | | | | |
| 1 | 3 | 1 | 3 | 2 | 2 | 1.0334 | | | | | | | |

• pairing ($J=0, T=1$)

 $|v| > 3$ MeV

 $T=0 \quad \langle j_1 j_2 J | v | j_3 j_4 J \rangle$

Shell model calculation in practice 2/2

Hamiltonian matrix

$$\begin{bmatrix} * & * & * & * & \cdots \\ * & * & * & * & \cdots \\ * & * & * & * & \cdots \\ \vdots & \vdots & \ddots & & \end{bmatrix}$$

\Downarrow diagonalized *

$$\begin{bmatrix} E_1 & & & 0 \\ & E_2 & & \\ & & E_3 & \\ 0 & & & \ddots \end{bmatrix}$$

Eigenstate: $\Psi_i = \sum c_{ij} \Psi_j$

\uparrow
Slater determinant

* Lanczos method

\Rightarrow Lowest several eigenstates

Dimension

sd shell up to 93710 ^{28}Si

pf shell up to 2×10^9 ^{60}Zn

⋮ ⋮

Practical calculations

- Slater determinants ψ_i ($i = 1, 2, \dots$)
 - occupation numbers for each m state "m-scheme"
 - represented by bits on computer

□ Example : $d_{5/2}$ 3 neutrons $M = \frac{1}{2}$

| m | $5/2$ | $3/2$ | $1/2$ | $-1/2$ | $-3/2$ | $-5/2$ | | |
|----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| bit | \square |
| ψ_1 | 1 | 0 | 1 | 0 | 0 | 1 | 0 | 0 |
| ψ_2 | 0 | 1 | 1 | 0 | 1 | 0 | 0 | 0 |
| ψ_3 | 1 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |

m-scheme dimension with $M = \frac{1}{2}$
= 3

Each Slater determinant

= a linear combination of

$$J = \frac{9}{2}, \frac{5}{2}, \frac{3}{2}$$

□ Hamiltonian

$$H = \sum \varepsilon_i a_i^\dagger a_i + \sum \langle ij | V | kl \rangle a_i^\dagger a_j^\dagger a_k a_l$$

Example (of the previous page)

$$\begin{array}{c} m & 5/2 & 3/2 & 1/2 & -1/2 & -3/2 & -5/2 \\ \Psi_1 & 1 & 0 & \boxed{1 & 0 & 0 & 1} \\ \Psi_3 & 1 & 0 & \boxed{0 & 1 & 1 & 0} \end{array}$$

↑
 $\langle 1/2, -5/2 | V | -1/2, -3/2 \rangle$

Hamiltonian Matrix

$$H = \begin{bmatrix} \langle \Psi_1 | H | \Psi_1 \rangle & \langle \Psi_1 | H | \Psi_2 \rangle & \langle \Psi_1 | H | \Psi_3 \rangle \\ \langle \Psi_2 | H | \Psi_1 \rangle & \ddots & \ddots \\ \langle \Psi_3 | H | \Psi_1 \rangle & \ddots & \ddots \end{bmatrix}$$

↓ diagonalization

$$H = \begin{bmatrix} \varepsilon_1 & & 0 \\ 0 & \varepsilon_2 & \\ & & \varepsilon_3 \end{bmatrix}$$

Eigenstate : $\sum x_i \Psi_i$

↳ Also eigenstate of $\vec{J} \cdot \vec{J}$
($J = \frac{1}{2}, \frac{3}{2}, \text{ or } \frac{5}{2}$)

Basics of shell model calculations

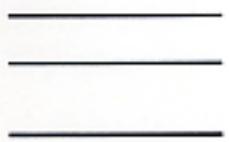
Valence nucleons : explicitly treated

Nucleons in the closed and in higher shells :

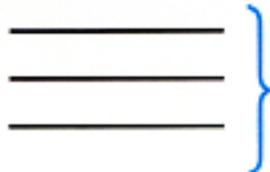
Effects are included through renormalizations of
particle-hole excitations (surface vibrations)

Effective interactions (pairing, quadrupole, etc.)

Effective charges



Higher orbits (empty but effects included)

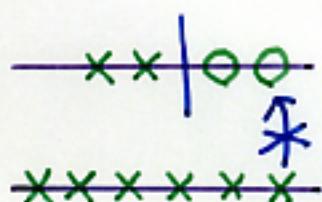


**Valence shell
(Partly occupied)**



**Closed shell
(completely occupied)**

Truncation of Shell Model Space



truncation



maximum (or minimum)

number of nucleons

is set for certain orbits



decrease of dimension

more feasible

less accurate

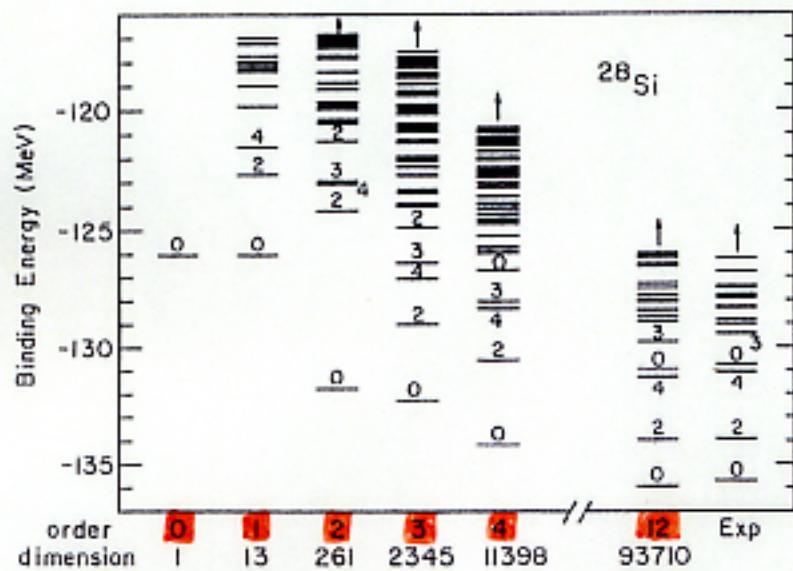


Figure 1 Binding energies of levels in ^{28}Si as a function of the number of excitations from the $d_{5/2}$ orbital (see Section 2).

example above: the number of nucleons*

in $2S_{1/2} + 1d_{3/2}$ of ^{28}Si

is changed from 0 to 12.

(left) (right)

Computer Programs for conventional shell-model calculations

OXBASH : Oxford - Buenos-Aires
Shell Model

$\sim 100 \times 10^3$ dim.

(SM)² : Strasbourg - Madrid
ANTOINE Shell Model

\sim several million dim.
 $\hookrightarrow 20 \sim 100$

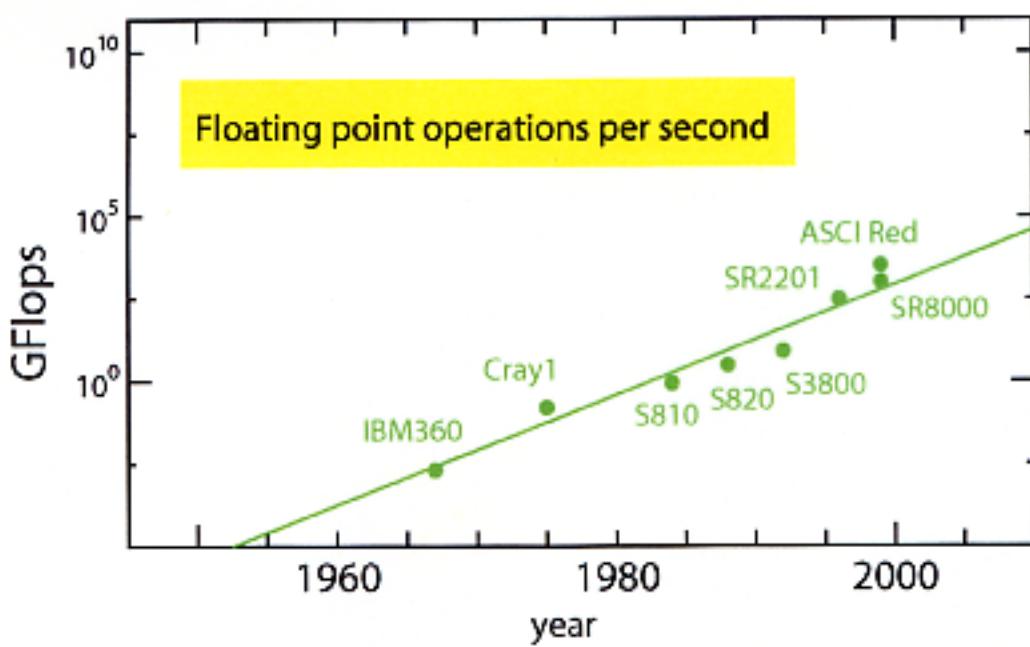
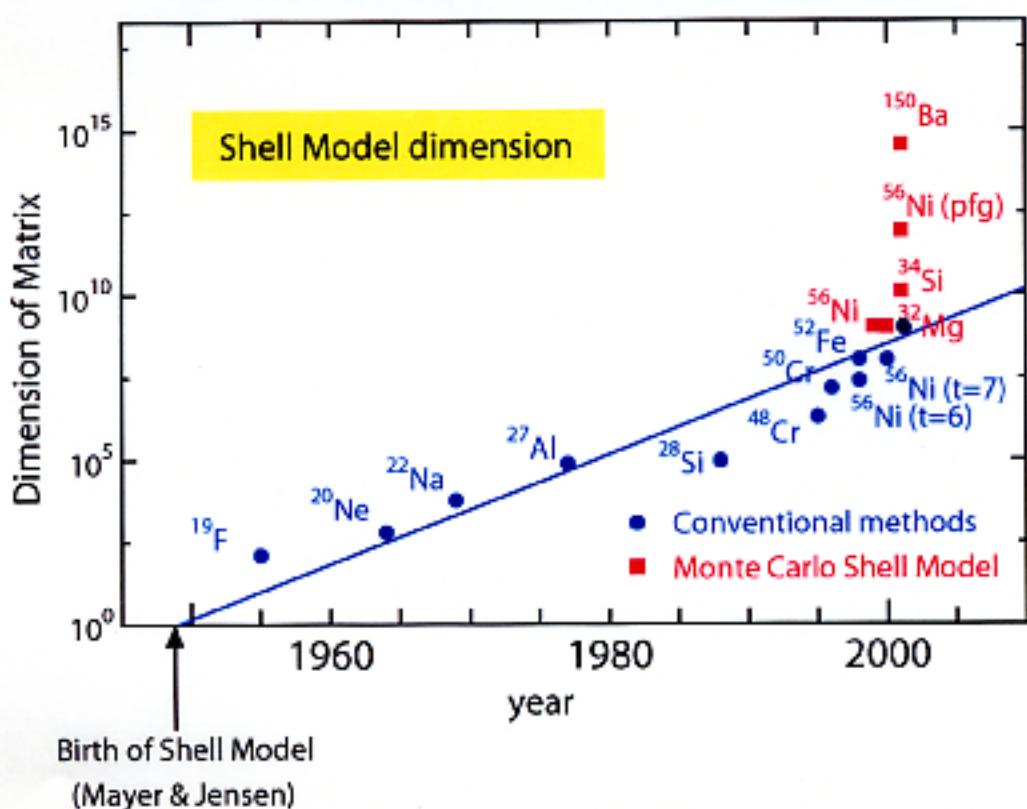
VECSSE : Vectorized Shell Model code
by Sebe et al.

\sim 2 million (or more) dim.
 $\hookrightarrow 20 \sim 100$

•
•
•
MSHELL \sim 100 million dim

The Lanczos method is used
for diagonalization of large matrix.

Developments of Shell Model calculations
vs.
Evolution of Computers



Lines of both figures indicate a growth of $10^5 / 30 \text{ years}$

The conventional shell-model calculation with a full major shell has been successful up to $A \sim 50$, but it is not feasible for heavier nuclei due to enormously large dimensions.

⇒ Stochastic approaches

[1] Shell Model Monte Carlo (SMMC)

1992~

Auxiliary Field Monte Carlo calculations
S.E. Koonin et al., Phys. Report 278, 1 (1997)

Ground-state properties

Minus-sign problem → various restrictions

[2] Quantum Monte Carlo Diagonalization (QMCD)

1995~

with M. Honma and T. Mizusaki

PRL 75, 1284 (95)

⋮

PRL 81, 1588 (98)

Application to Nuclear Shell Model

⇒ Monte Carlo Shell Model

Imaginary Time Evolution

For a state $|\Psi^{(0)}\rangle = \sum_i c_i |\Psi_i\rangle$,

↑
eigenstate of H

$$e^{-\beta H} |\Psi^{(0)}\rangle = \sum_i e^{-\beta E_i} \cdot c_i |\Psi_i\rangle$$

$$\longrightarrow e^{-\beta E_1} \cdot c_1 |\Psi_1\rangle$$

$\beta \rightarrow \text{large}$

↑
ground state

Suppose the Hamiltonian takes a simple form.

$$H = \frac{1}{2} V_\alpha O_\alpha^2$$

$(V_\alpha < 0)$

O_α : one-body operator

Hubbard-Stratonovich (HS) transformation

$$e^{-\beta H} = e^{-\beta \frac{1}{2} V_\alpha O_\alpha^2}$$

$$= \int_{-\infty}^{\infty} d\sigma_\alpha \sqrt{\frac{\beta |V_\alpha|}{2\pi}} \underbrace{e^{-\frac{\beta}{2}|V_\alpha|\sigma_\alpha^2}}_{\text{Gaussian}} \cdot e^{-\beta V_\alpha \sigma_\alpha O_\alpha}$$

$$\Rightarrow \sum_{MC: \sigma_\alpha} e^{-\beta V_\alpha \sigma_\alpha O_\alpha}$$

$MC: \sigma_\alpha$

↳ Monte Carlo sampling

with a Gaussian weight.

Hubbard – Stratonovich (HS) transformation

HS transformation

$$\text{We start with } \int_{-\infty}^{\infty} dx e^{-ax^2} = \sqrt{\frac{\pi}{a}} \quad (a > 0)$$

$$\int_{-\infty}^{\infty} dx e^{-a(x+c)^2} = \sqrt{\frac{\pi}{a}} \quad \square$$

$$= \int_{-\infty}^{\infty} dx e^{-a(x^2 + 2xc + c^2)} = e^{-ac^2} \times \int_{-\infty}^{\infty} dx e^{-ax^2 - 2axc}$$



$$e^{ac^2} = \sqrt{\frac{a}{\pi}} \int_{-\infty}^{\infty} dx e^{-ax^2 - 2axc} \quad \dots \dots \circledast$$

Now, we consider **the imaginary time evolution operator**:

$$e^{-\beta H} = e^{\dots - \beta \frac{1}{2} V_\alpha O_\alpha^2 - \dots}$$

$$H = \frac{1}{2} V_\alpha O_\alpha^2$$

If $V_\alpha < 0$,

$$-\beta \frac{1}{2} V_\alpha \rightarrow a, \quad O_\alpha \rightarrow c$$

$$\hookrightarrow \beta \cdot \frac{1}{2} \cdot |V_\alpha|$$

Also x is written as σ_α

$$e^{-\beta \frac{1}{2} V_\alpha O_\alpha^2} = \int_{-\infty}^{\infty} d\sigma_\alpha \sqrt{\frac{\beta(-V_\alpha)}{2\pi}} e^{-\frac{\beta}{2} (V_\alpha \sigma_\alpha^2 + 2V_\alpha \sigma_\alpha O_\alpha)} \quad \dots \dots \circledast$$

$$= \int_{-\infty}^{\infty} d\sigma_\alpha \sqrt{\frac{\beta(-V_\alpha)}{2\pi}} G(\sigma_\alpha) e^{-\beta V_\alpha \sigma_\alpha O_\alpha}$$

$$G(\sigma_\alpha) = e^{-\frac{\beta}{2} |V_\alpha| \sigma_\alpha^2}$$

integration over σ_α
(auxiliary field)

Introducing a one-body hamiltonian,

$$h(\sigma_\alpha) = V_\alpha \sigma_\alpha O_\alpha,$$

the ground state can be written as

$$|\Psi_g\rangle \sim \sum_{MC: \sigma_\alpha} e^{-\beta h(\sigma_\alpha)} |\Psi^{(0)}\rangle$$

with Gaussian weight

Thus,

$$E_g \sim \frac{\langle \Psi^{(0)} | \boxed{H} \sum_{MC} e^{-\beta h(\sigma_\alpha)} | \Psi^{(0)} \rangle}{\langle \Psi^{(0)} | \sum_{MC} e^{-\beta h(\sigma_\alpha)} | \Psi^{(0)} \rangle}$$

The sampling is carried out by the Metropolis method.



Shell Model Monte Carlo (SMMC)

Koonin, Johnson, Ormand, Dean, Langanke, ...
cf. Phys. Rep. 278 (1997) 1 Alhassid, ...

- ground-state properties
energy, sum rules
response functions (\rightarrow info. on excited states, ...)
- (minus) sign problem
most of realistic int. \rightarrow difficulty

Introducing a one-body hamiltonian,

$$h(\sigma_\alpha) = V_\alpha \sigma_\alpha O_\alpha,$$

the ground state can be written as

$$|\Psi_g\rangle \sim \sum_{MC: \sigma_\alpha} e^{-\beta h(\sigma_\alpha)} |\Psi^{(0)}\rangle$$

\downarrow

($\beta \rightarrow \text{large}$)

This process produces
all basis vectors
needed for $|\Psi_g\rangle$

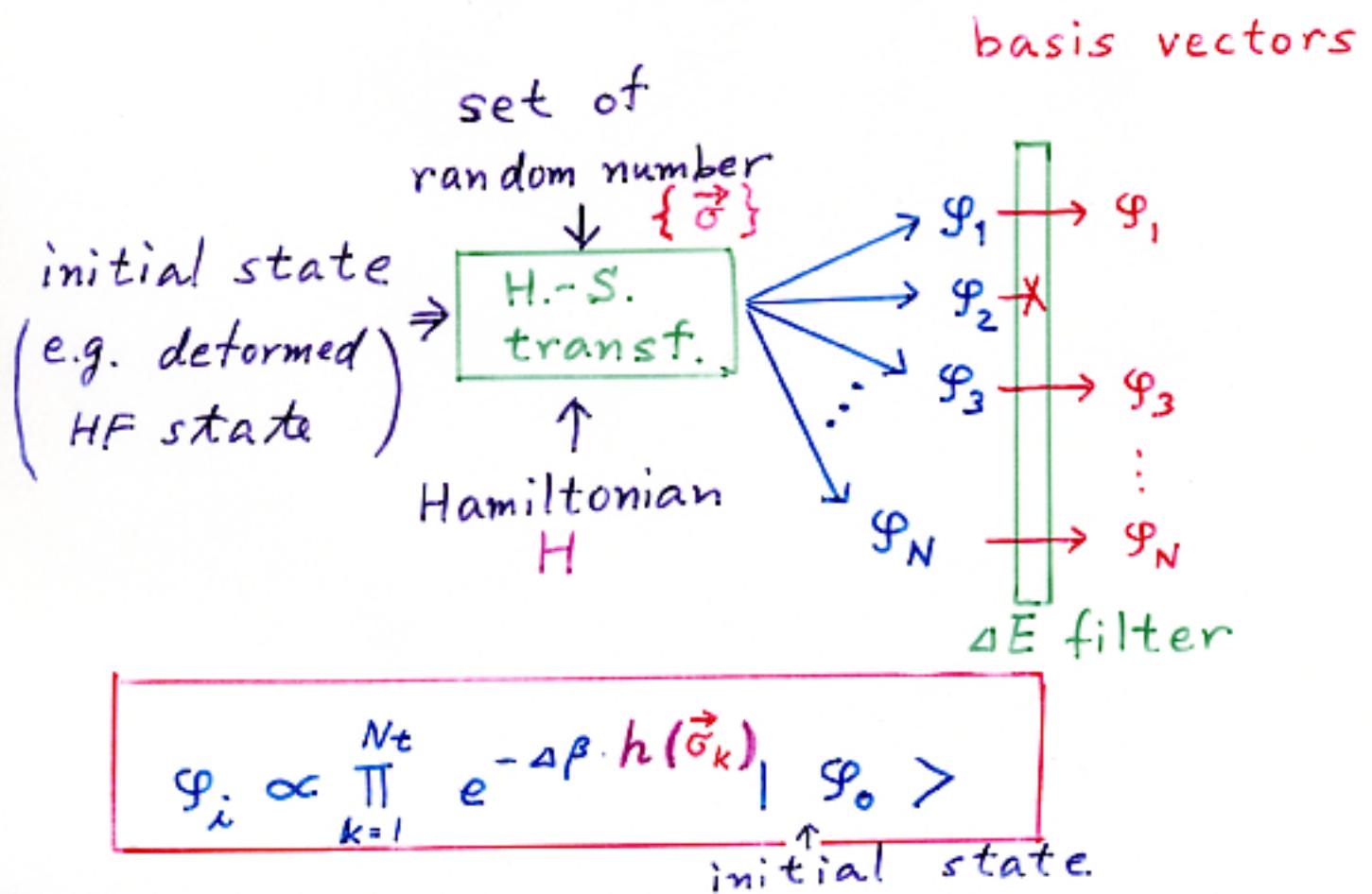
We then define

$$\Psi(\sigma_\alpha) = e^{-\beta h(\sigma_\alpha)} |\Psi^{(0)}\rangle$$

as a basis vector.

We diagonalize the Hamiltonian
in a subspace spanned by a
small number of $\Psi(\sigma_\alpha)$'s.

⇒ **Quantum Monte Carlo Diagonalization**



$$h(\vec{\sigma}) = \sum_{\alpha} (E_{\alpha} + s_{\alpha} V_{\alpha} \underline{\sigma_{\alpha}}) O_{\alpha}$$

for the Hamiltonian

$\begin{cases} 1 & V_{\alpha} < 0 \\ i & V_{\alpha} > 0 \end{cases}$

random numbers

$$H = \sum_{\alpha} E_{\alpha} O_{\alpha} + \frac{1}{2} \sum_{\text{s.p.e.}} V_{\alpha} O_{\alpha}^2$$

two-body int.

O_{α} : one-body operator

We diagonalize H in a subspace

$\{\varphi_0, \varphi_1, \cancel{\varphi_2}, \dots, \varphi_N\}$. ΔE filter

→ selection
(ground or excited)

⇒ energy eigenvalue,
wave functions,
transition matrix elements

Quantum Monte Carlo Diagonalization method
(QMC D)

Methodological development

Basic (and naïve) formulation

$$\Phi(\sigma) = e^{-\beta h(\sigma)} \Phi_0 \quad \sigma : \text{a set of random numbers}$$

Slater det. Slater det.

Honma *et al.*, Phys. Rev. Lett. **75**, 1284 (1995)

Major Improvements

(1) Mean Field (e.g., Hartree-Fock) is utilized

$$\Phi_0 : \text{Hartree-Fock ground (or local-minimum) state}$$
$$h(\sigma) = h_{MF} + \text{fluctuation part by } \sigma$$

Mean Field (independent of σ)

(2) Stochastic noise is reduced in the basis

Refinement of each basis ($\sigma \rightarrow \sigma + \Delta\sigma$)
⇒ fewer bases for the same precision
Compression of the Hilbert subspace in which
the shell-model Hamiltonian is diagonalized

(3) Full restoration of symmetries

Isolated quantal system ⇒ symmetries
(angular momentum, parity, etc.)
Symmetry restored matrix elements of the
Hamiltonian by the projection method.

Mizusaki *et al.*, Phys. Rev. C **53**, 2786 (1996)

Honma *et al.*, Phys. Rev. Lett. **77**, 3315 (1996)

Otsuka *et al.*, Phys. Rev. Lett. **81**, 1588 (1998)

4.4 Path Integral interpretation of QMCD basis generation

The basis generation in eq. (29) can be interpreted in terms of the path integral. Equation (29) indicates that the state evolves in the imaginary time with one-body fields O_α in eq. (23). The interval of this imaginary time evolution is given by $\Delta\beta$, and the strength of one-body fields are determined by a vector of random numbers, $\vec{\sigma}$. In other words, at each “time”, the path of evolution is given by the vector $\vec{\sigma}$, and a set of $\vec{\sigma}$'s finally determine the QMCD basis after N_t steps. Thus, one can interpret the QMCD basis generation from the viewpoint of the path integral: the path leads us to the basis.

4.5 Slater determinant basis

In practical shell model calculations, it is convenient to adopt basis vectors in the form of Slater determinants:

$$|\Phi\rangle = \prod_{\alpha=1}^N a_\alpha^\dagger |-\rangle, \quad (30)$$

where N denotes the number of valence nucleons, $|-\rangle$ is an inert spherical core, and a_α^\dagger represents the nucleon creation operator in a single-particle state α .

First, this single-particle state α is a canonical one and is a linear combination of the spherical single-particle states,

a_α^\dagger canonical
(or deformed)

$$a_\alpha^\dagger = \sum_{i=1}^{N_{sp}} c_i^\dagger D_{i\alpha},$$

c_i^\dagger { creation op. of spherical single-particle state

where the state i means a usual spherical single-particle state, c_i^\dagger is its creation operator, and D 's are amplitudes. We can specify the basis vector $|\Phi\rangle$ in terms of an $N_{sp} \times N$ complex matrix D .

We now show how the state in eq. (30) is transformed by the process in eq. (29). We introduce an operator,

$$X \equiv \sum_{ij} t_{ij} c_i^\dagger c_j, \quad (32)$$

where t_{ij} denotes a complex number. The basic step of the operation in eq. (29) can be represented schematically by,

form of basis generation

$$e^X |\Phi\rangle = \prod_{\alpha=1}^N e^{X_\alpha} a_\alpha^\dagger e^{-X_\alpha} |\Phi\rangle.$$

$$X = -\beta h(\vec{G}) \quad (33)$$

The action,

$$e^X a_\alpha^\dagger e^{-X}, \quad (34)$$

means the replacement of the D matrix in eq. (31) with a new matrix,

$$D' = e^T D,$$

$$T = [t_{ij}] \quad (35)$$

where T denotes the matrix $[t_{ij}]$ and e^T means the exponential of the matrix T . Thus, the basis generation in eq. (29) implies the transformation of the matrix D as in eq. (35) with an appropriate matrix T .

We emphasize that the form of a Slater determinant remains in this process. Namely, throughout the basis-search process presented in subsect. 4.3, a more important basis vector is looked for within a single Slater determinant.

4.6 Major methodological improvements

The procedure presented so far is the first version of QMCD. Although it works well for simple systems [6], it turned out that, in order to carry out realistic large-scale shell-model calculations, we have to improve the method as discussed in the following.

4.6.1 Basis generation around the mean field solution

Since the number of manageable basis vectors is finite in practice, we should select basis vectors of higher importance. We thus choose $|\Psi^{(0)}\rangle$ from a Hartree-Fock (HF) local minima or some states of similar nature, although $|\Psi^{(0)}\rangle$ is not specified in eq.(29). Note that the present HF calculation means the one with the shell-model Hamiltonian in the given single-particle space. We emphasize that the Hartree-Fock ground state is the “best” single Slater determinant by definition, and fits well into the present picture.

First, we rewrite the Hamiltonian in eq. (22) by introducing c -numbers, denoted by c_α , as,

$$H = \sum_{\alpha=1}^{N_f} [E_\alpha O_\alpha + \frac{1}{2} V_\alpha (O_\alpha - c_\alpha)^2 + V_\alpha O_\alpha c_\alpha - \frac{1}{2} V_\alpha c_\alpha^2]. \quad \text{C-number} \quad (36)$$

The one-body Hamiltonian $h(\vec{\sigma}_n)$ in eq.(23) is then given as

$$h(\vec{\sigma}_n) = \sum_{\alpha} [(E_\alpha + V_\alpha c_\alpha) O_\alpha + s_\alpha V_\alpha \sigma_{\alpha n} O_\alpha], \quad + \cancel{-} \quad (37)$$

where the c -number $-\sum_\alpha s_\alpha V_\alpha \sigma_{\alpha n} c_\alpha$ is dropped off since it does not change the wave functions, apart from the normalization and phase in eq. (29). In this expression, the first one-body term $\sum_\alpha (E_\alpha + V_\alpha c_\alpha) O_\alpha$ on the right-hand side includes effects coming from the two-body interaction. The values of c_α 's can be taken as we like, and are set so that this first one-body term becomes the single-particle mean field of the HF or other similar calculations. We thus define,

$$h_{MF} = \sum_{\alpha} (E_\alpha + V_\alpha c_\alpha) O_\alpha. \quad \text{adjusted so that} \quad (38)$$

With this h_{MF} , the one-body Hamiltonian used in eq. (29) becomes,



$$h(\vec{\sigma}_n) = h_{MF} + \sum_{\alpha} V_\alpha s_\alpha \sigma_{\alpha n} O_\alpha. \quad (39)$$

h_{MF} is obtained

If we keep $\sigma_\alpha = 0$, eq. (29) becomes simply the projection onto the mean-field solution corresponding to the h_{MF} . Since h_{MF} is independent of the auxiliary fields σ_α and the sampling is made around $\sigma_\alpha = 0$, the QMCD bases $|\Phi(\sigma)\rangle$'s are generated around a local minimum designated by the relevant mean field.

This process is extremely useful for yrast states by combining with the angular momentum projection techniques discussed later. If a state in a pronounced local minimum is studied, h_{MF} can be set there. On the other hand, this process may not be very relevant to non-yrast states without such structure.

4.6.2 Restoration of angular momentum and parity

The nucleus is an isolated system and conserves several symmetries, including the rotational symmetry and the parity symmetry. In the QMCD generation of the basis vectors, those symmetries should be restored as more basis vectors are added, because eigenstates have those symmetries. On the other side, in order to restore the symmetries, we need generally a superposition of a number of basis vectors mutually correlated in a specific way. For instance, the parity can be restored by having a proper superposition of two wave functions connected by the parity operation. However, if one wants to fulfill this superposition by basis generation driven by random numbers, it should become a very slow process: for instance, it is nearly impossible to hit right on the other partner wave function required in this parity superposition.

On the other hand, the parity and angular momentum are geometrical symmetries conserved exactly. Moreover, those symmetries give quantum numbers to which calculated physical quantities are very sensitive. We therefore introduce methods for external and exact restoration of those symmetries. The parity is conserved simply by the parity projection which is not difficult and can be done by the superposition of a wave function and its parity-reversed partner. We include this for each step of the basis generation, if necessary.

◆ Compression of QMCD space

Stochastic basis generation is not efficient because of "noise".

$$\Psi \sim a_1 \xi_1 + a_2 \xi_2 + \dots + a_N \xi_N$$

$$\sim c_1 \varphi_1 + \dots + c_n \varphi_n$$

$n \ll N$

compressed

The compression of Hilbert space used for the diagonalization is carried out by searching more favorable basis, φ_i .

◆ Symmetry restoration

An isolated many-body system, e.g. a nucleus, has symmetries: angular momentum, parity, ...

$$\Psi(\vec{\sigma}) = e^{-\beta h(\vec{\sigma})} |\Psi^{(0)}\rangle$$

↓ no symmetry conservation

no symmetry

Stochastic restoration is practically impossible. in eigenstate (Ψ)

⇒ Each basis is projected.

Symmetry restoration

An isolated many-body system,
e.g., a nucleus, has symmetries:
angular momentum, parity, etc.

$$\Psi(\sigma) = \prod_{i=1}^{N_t} e^{-\alpha \beta \cdot h(\vec{\sigma}_i)} |\Psi^{(0)}\rangle$$

no symmetry conservation symmetry can be violated
(deformed H.F.)

no symmetry

Stochastic restoration of the symmetries in the eigenstate Ψ is practically impossible.



Projection

$$\langle \Psi(\sigma_i) | H P | \Psi(\sigma_j) \rangle$$

↑
projection operator

numerical calculations
parallel computing

Full projection of angular momentum

QMCD basis

$$|\Psi(\sigma)\rangle \propto \pi e^{-\alpha \vec{p} \cdot \vec{h}(\vec{\sigma})} |\Psi^{(0)}\rangle$$

↓
 deformed

not a scalar
in general

deformed HF local minimum

Projection

$$|\Psi(\sigma)\rangle \rightarrow P_{J,M} |\Psi(\sigma)\rangle$$

- Actual projection is carried out only when matrix elements are calculated
- Projection = rotation with Euler angles

↳ discretized

at mesh points

$$\langle \Psi(\sigma_i) | H P_{J,M} | \Psi(\sigma_j) \rangle$$

$$\propto \int d\sigma d\beta d\alpha dr \sin\beta \Psi(\sigma_i)^* H D_{MK}^J(r, \beta, \alpha)$$

$e^{i\sigma J_z}$ $e^{i\beta J_y}$ $e^{i\alpha J_z}$ $\Psi(\sigma_j)$
 ↓ ↑ ↑ ↑
 unitary transf.

summation
over mesh
points

* Distribution over K is optimized.

Remarks on the QMCD method

The bases used for diagonalization of the Hamiltonian are generated by

$$\Phi(\sigma) = e^{-\beta H(\sigma)} \Phi_0 \quad \sigma : \text{a set of random numbers}$$

Slater det. Slater det.

so that larger gain of the energy eigenvalue is obtained. Only "good" $\Phi(\sigma)$'s are kept.

⇒ QMCD is a stochastic truncation scheme of bases according to contributions to the total energy.

- Mean field solutions are included as natural first choices
Hartree-Fock ground state = best single Slater determinant
- Contrast to the *conventional* shell model calculations with truncations in terms of single-particle configurations, etc.

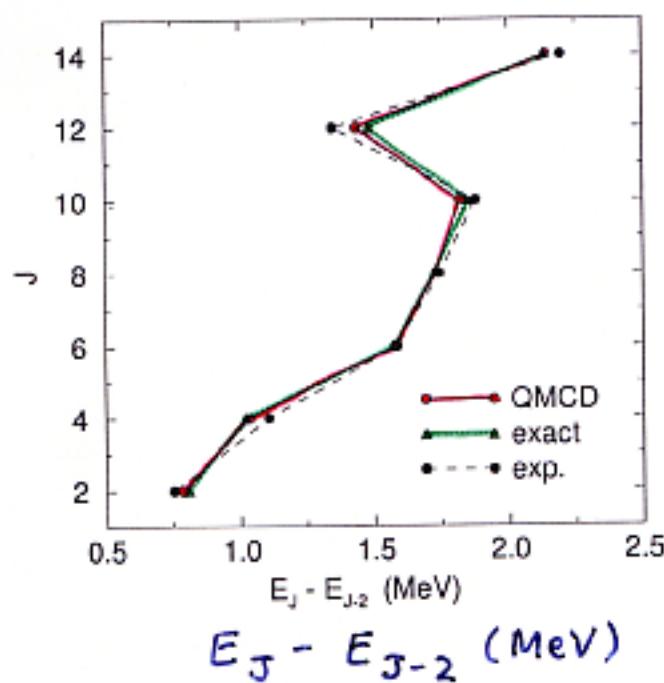
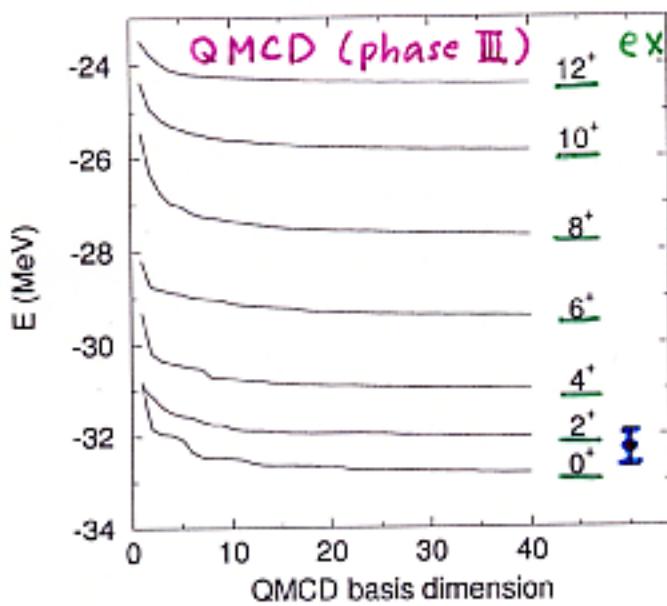
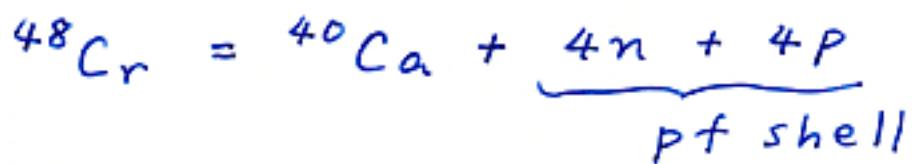
The QMCD method can be characterized as the *Importance Truncation* scheme.

Application of the QMCD method to the Nuclear Shell Model

⇒ *Monte Carlo Shell Model*

- No minus-sign problem in the QMCD method.
- The QMCD method can be used for many-body systems in general.

Test of QMCD calculation (phase III)



The KB3 interaction is commonly used in the calculations.

See T.O. et al., J. Phys. G. 25 (1999) 699

Comparison between conventional and Monte-Carlo shell-model calculations

- Conventional method

all components (Slater determinants)

$$H = \begin{bmatrix} * & * & * & * & \dots \\ * & * & * & \cdot & \cdot & \cdot \\ * & * & * & \cdot & & \\ * & \cdot & \cdot & \cdot & & \\ \cdot & \cdot & \cdot & & & \\ \cdot & & & & & \end{bmatrix} \xrightarrow{\text{diagonalize}} \begin{bmatrix} \varepsilon_1 & & & & 0 \\ & \varepsilon_2 & & & \\ & & \varepsilon_3 & & \\ & & & 0 & \varepsilon_4 \\ & & & & \ddots \end{bmatrix}$$

dimension 10^4 (^{24}Mg)
 $\sim 10^9$ (^{32}Mg) $\sim \dots$

- MCSM : importance truncation

$$\psi \rightarrow e^{-\beta h(\sigma)} \psi$$

Slater det. Slater det.

$$H = \underbrace{\begin{bmatrix} * & * & * & * \\ * & * & * & * \\ * & * & * & * \\ * & * & * & * \end{bmatrix}}_{\text{selected components}} \xrightarrow{\text{diagonalize}} \begin{bmatrix} \varepsilon'_1 & & & & 0 \\ & \varepsilon'_2 & & & \\ & & \varepsilon'_3 & & \\ & & & 0 & \varepsilon'_4 \\ & & & & \ddots \end{bmatrix}$$

$\varepsilon'_i \approx \varepsilon_i$
 dimension $30 \sim 50$ / eigenstate

Practical Performance

for a typical problem with
original dimension of $\sim 10^9$.

Parallel machine with 30 CPU's

1 CPU = Alpha 21264 (500 MHz)

- ground state

QMCD dimension ~ 20
 ~ 1 day

- yrast + yrare state

QMCD dimension $\sim 20 + 40$
 ~ 8 days

□ # of mesh points in the angular
momentum projection

$\sim 20000 \sim 25^3$

□ To get one good basis state,
discard ~ 300 candidates.

30 QMCD dim. \Rightarrow 10 000 candidates
discarded

Features of the Monte Carlo Shell Model

- (1) **Many orbits** can be treated as being active (i.e., valence).

Because of this large space, a wide variety of states in the same nucleus can be obtained from a common Hamiltonian.

⇒ *Feasibility along the energy axis*

Example: spherical, deformed and superdeformed states in ^{56}Ni

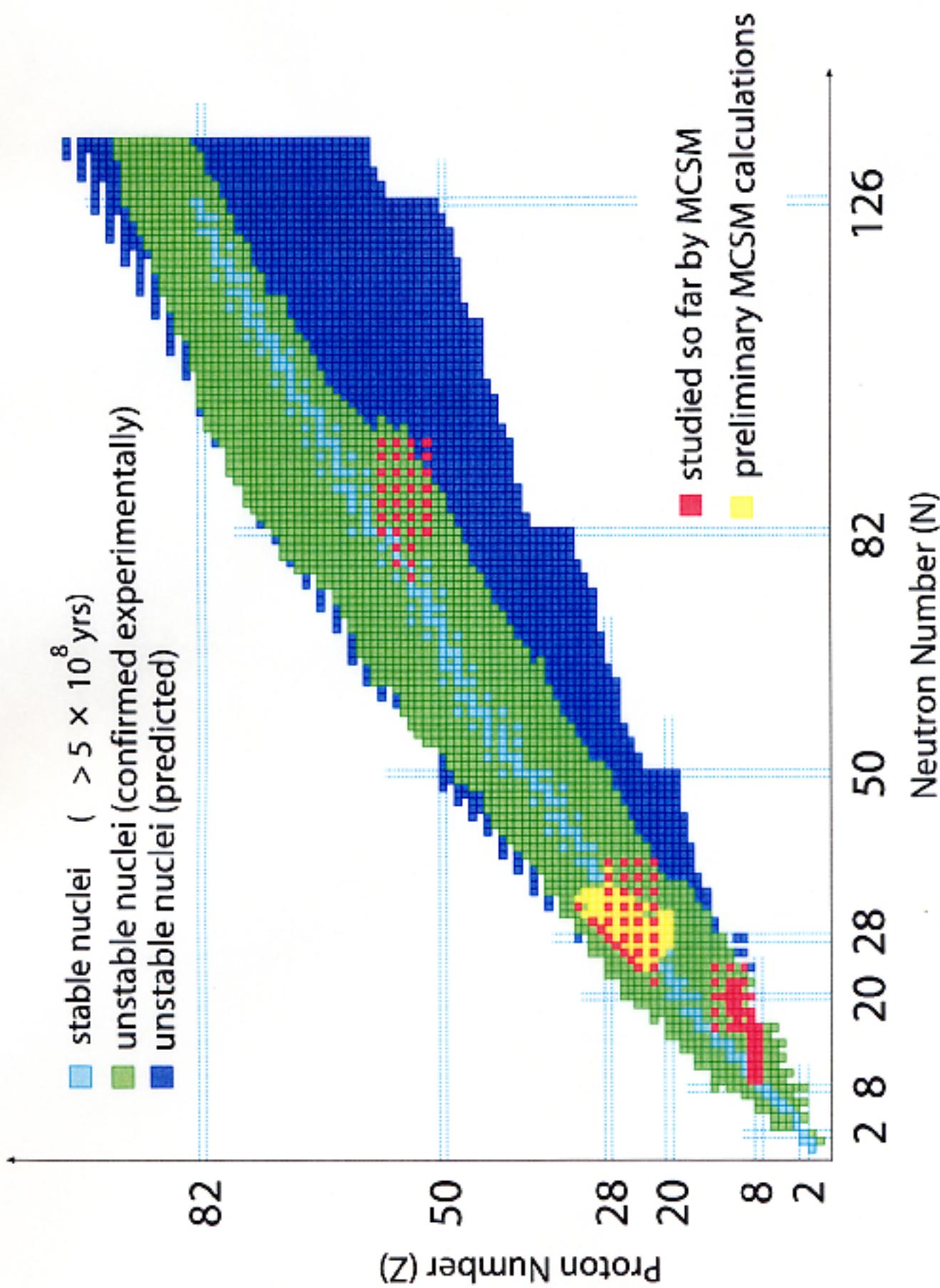
- (2) **Many particles** can be treated as being active (i.e., valence).

Because of this feasibility, a wide range of nuclei can be described within the same framework.

⇒ *Feasibility along the particle-number (or isospin) axis*

Example: Spherical-deformed phase transition in heavy nuclei

The same features are even more crucial in studying and predicting the structure of exotic nuclei with extreme isospin.



$B(E2; 0^+ \rightarrow 2^+_1)$ values

Radford et al.

PRL 88, 222501 (2002)

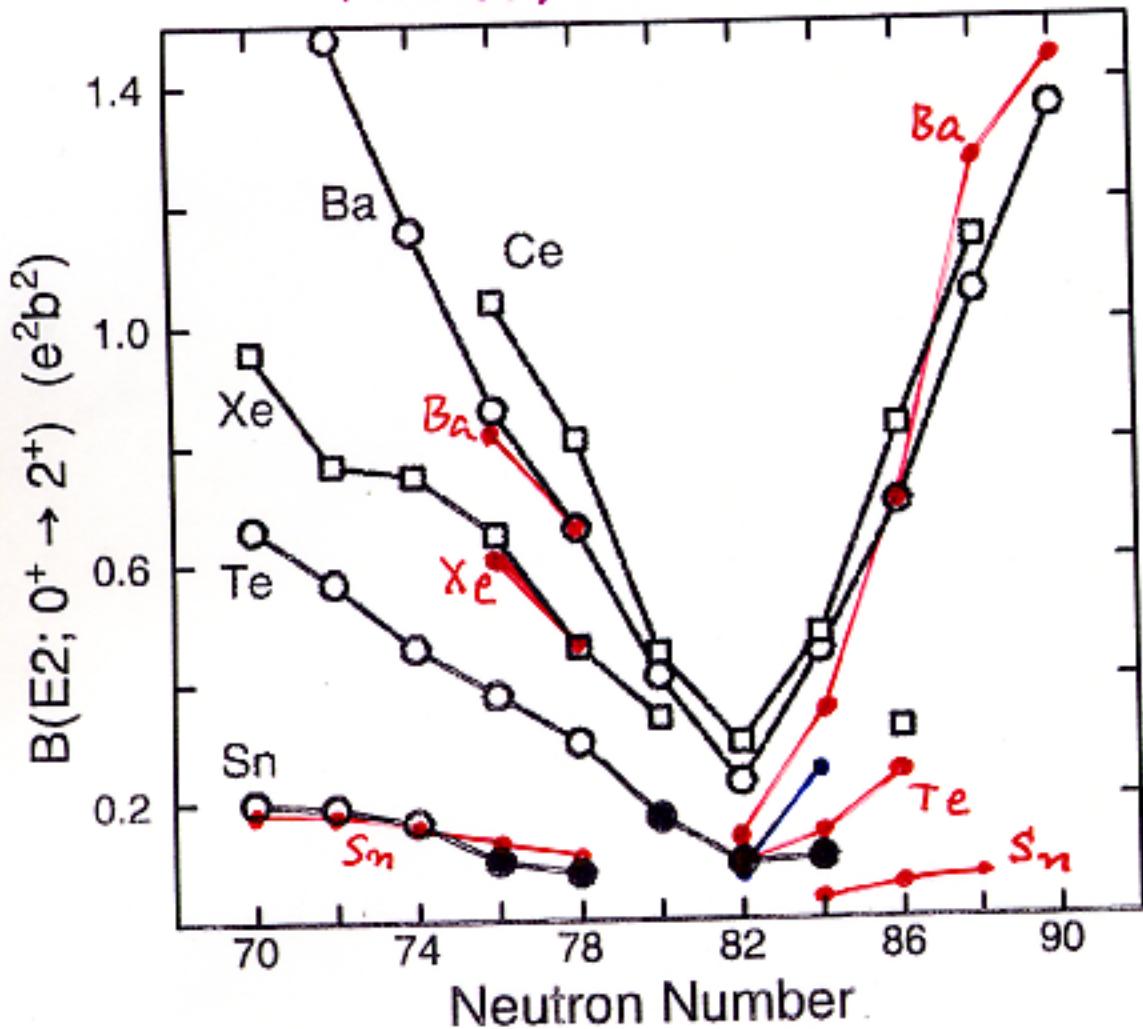


FIG. 2. Values of $B(E2; 0^+ \rightarrow 2^+_1)$ for even-even Sn, Te, Xe, Ba, and Ce isotopes around neutron number $N = 82$. Open symbols are adopted values from Ref. [8], while filled symbols are from the present work (Te) and from Refs. [4,10] (Sn).

- present calc. (Shimizu et al. MCSM)
- Covello et al. for Te

Summary

MCSM (Monte Carlo Shell Model)

20~50 bases with projection onto J and M
against the dimension of the original Hilbert
space : $10^4 \sim 10^9 \sim 10^{14} \sim$
 ^{24}Mg ^{32}Mg rare earth
 ^{56}Ni

diagonalization of Hamiltonian \Rightarrow
*levels, wave functions,
transition matrix elements*

MCSM : Importance truncation scheme
to the full calculation

- many valence orbits
 - more than one major shell
- many valence particles
 - so far up to 26
- natural relation to mean field theories
 - (HF, GCM, HFB, etc.)
- no minus sign problem

Nuclear Theory Activities in Japan for RIBF

[1] Computational nuclear physics with massive parallel computer for studies of unstable nuclei

A massive parallel computer, “Alphleet”, dedicated to large-scale nuclear structure calculations

140 most advanced Alpha CPU's (called ev6 or 21264)



Alphleet (Alpha Fleet) computer (RIKEN, April 1999)

Monte Carlo Shell Model calculations

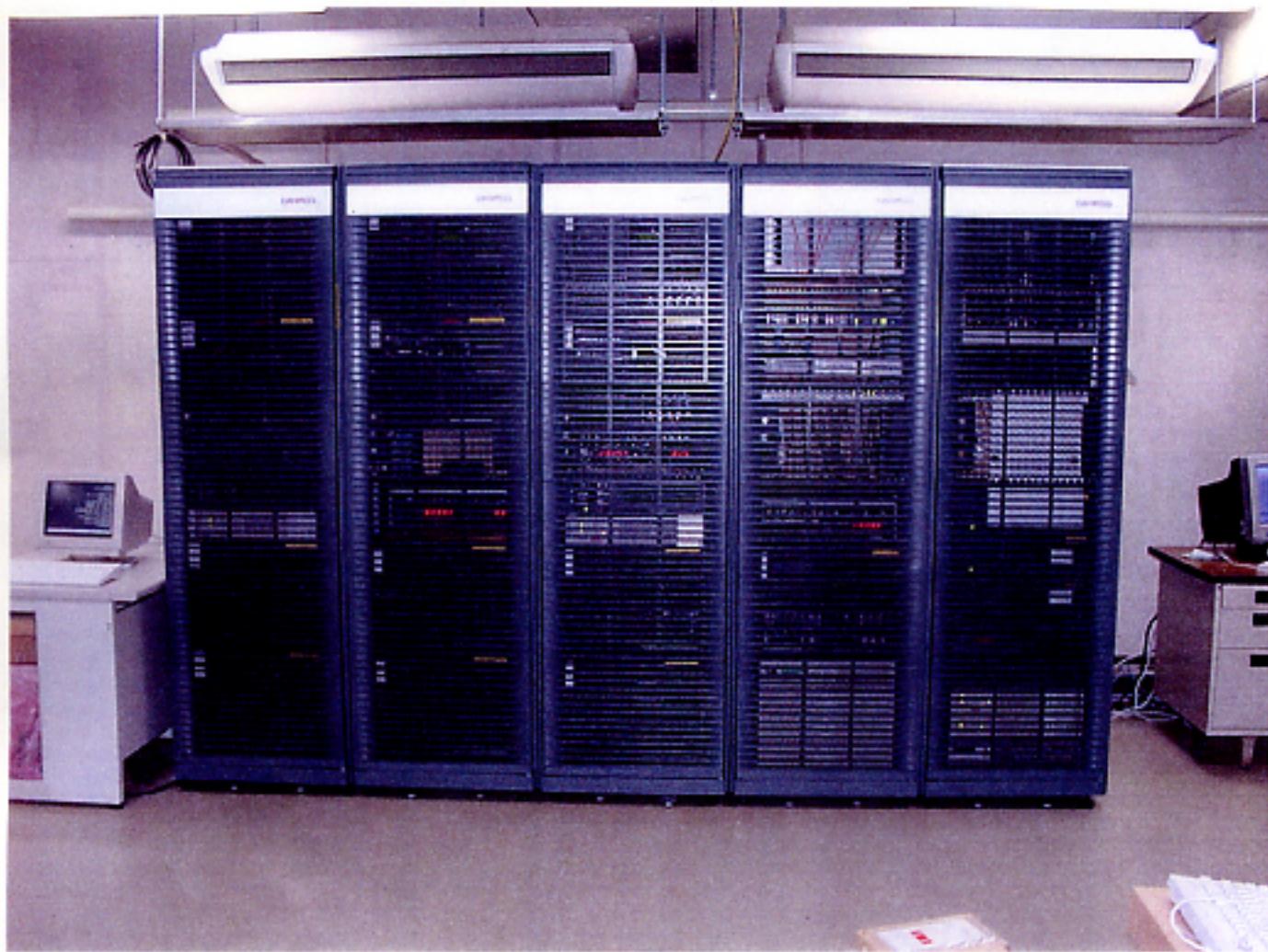
Neutron-rich O-Ne-Mg isotopes

Dripline, mass, level scheme, deformation

Multi Gaussian Basis calculations for light nuclei

^{11}Li : Neutron halo

2nd generation of
Alphleet parallel system

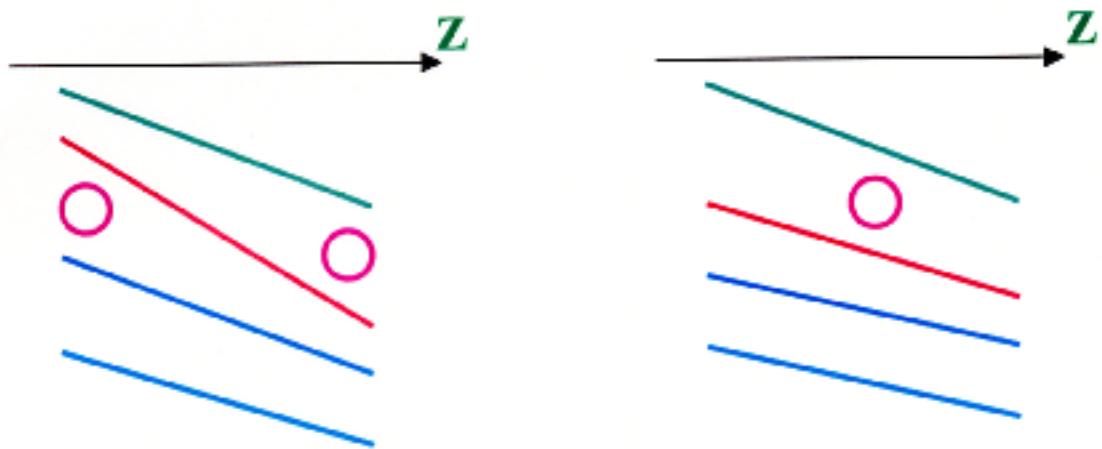


- 科学研究費 特別推進研究 (代表者 大塚)
平成 13 ~ 17 年度
- 理研 - CNS 共同事業化運営

Shell Evolution Paradigm

Neutron Single-Particle orbits

vs.

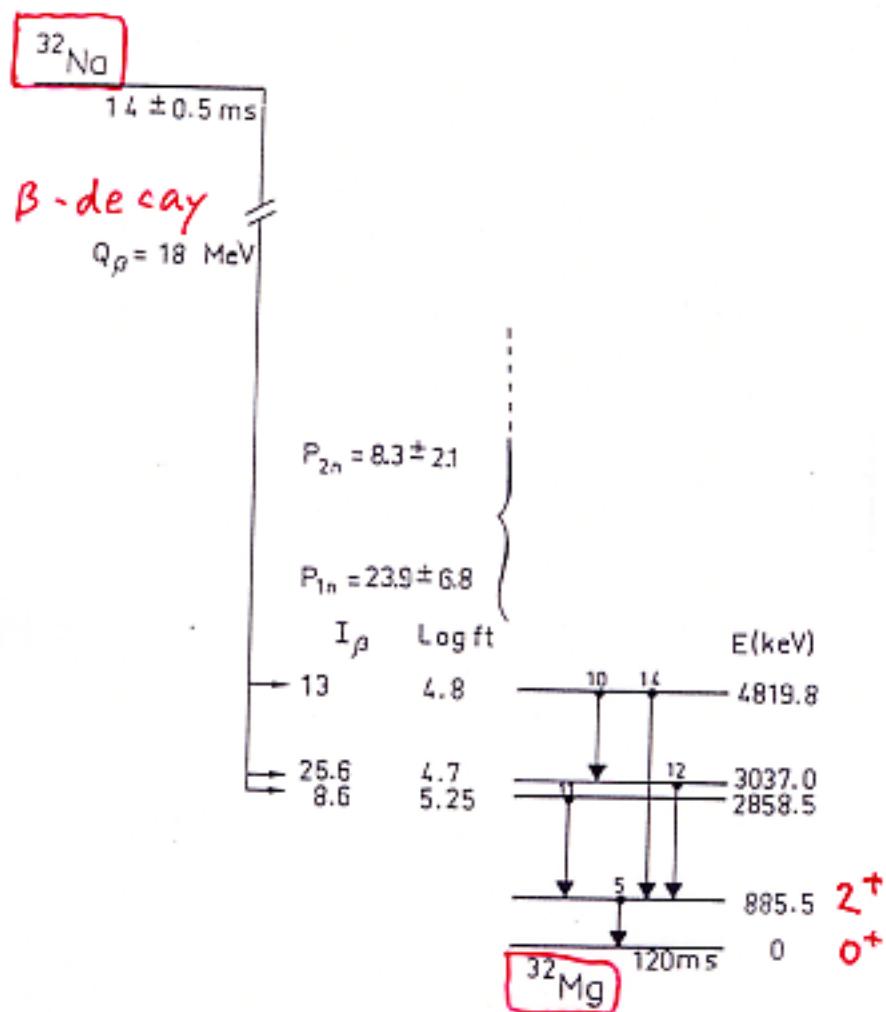


Does the shell structure change without approaching the Drip lines ?

Can the *Nucleon-Nucleon* interaction change the shell structure in a specific way ?

How can we see this shell evolution ?

How general is the shell evolution ?



D. Guillemand-Müller et al.

N.P. A 426 (84) 37

2_1^+ and 4_1^+ levels and $B(E2; 0_1^+ \rightarrow 2_1^+)$

Points : exp

Lines : MCSM calc.

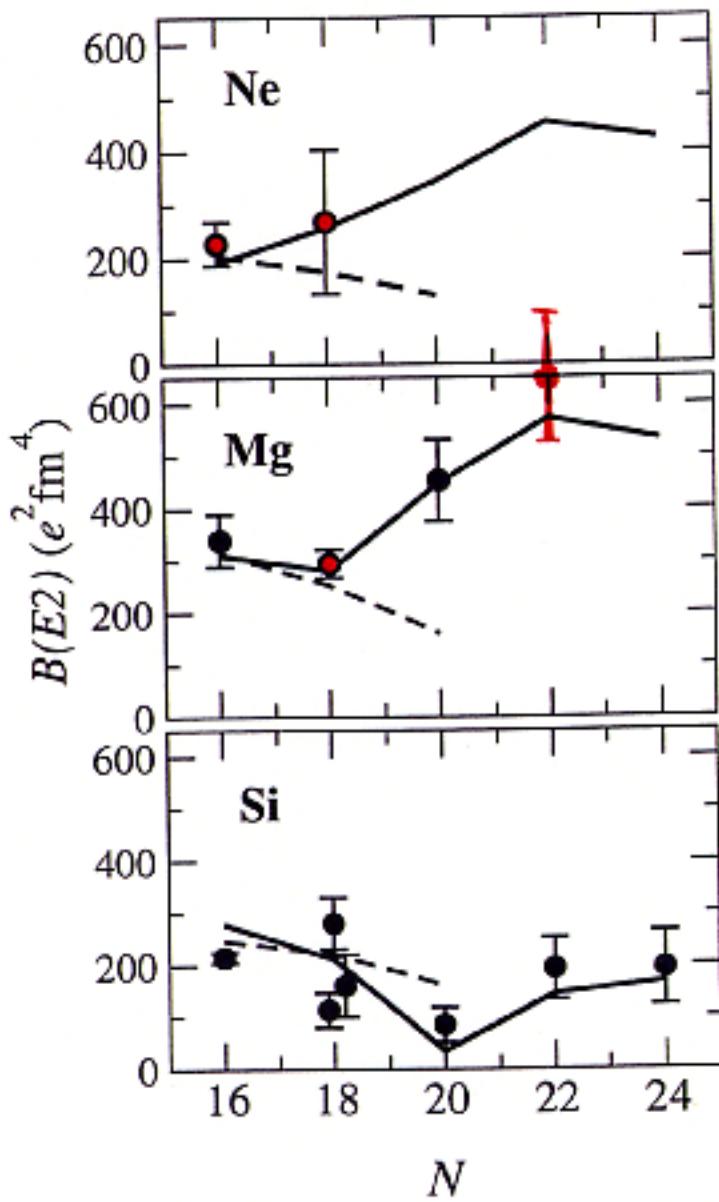
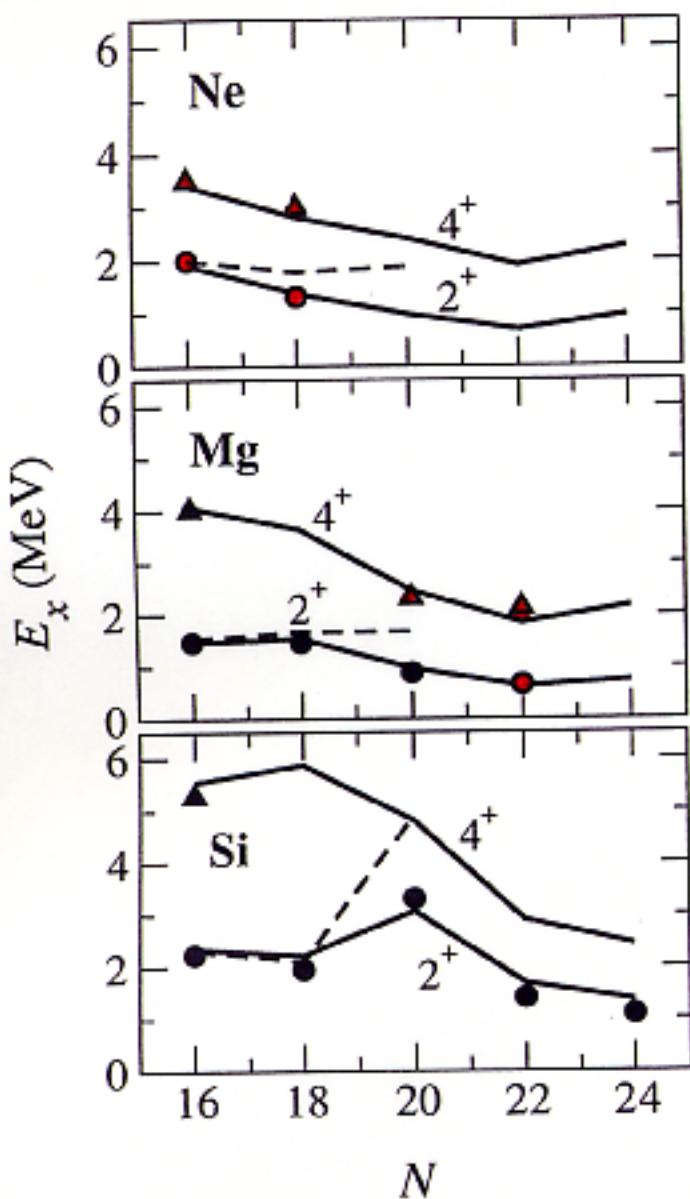
• \blacktriangle after calc.

(a)

— sd + pf

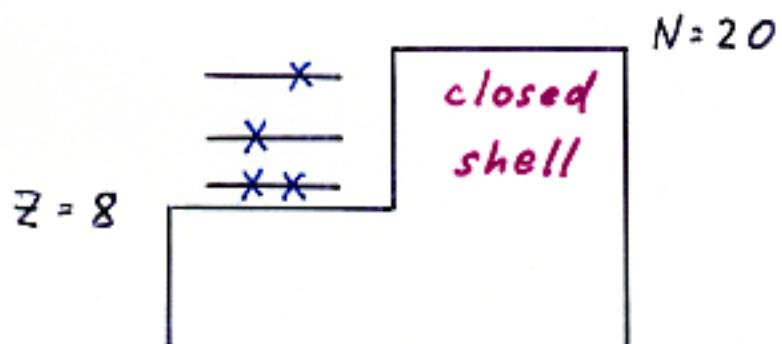
--- sd only

(b)

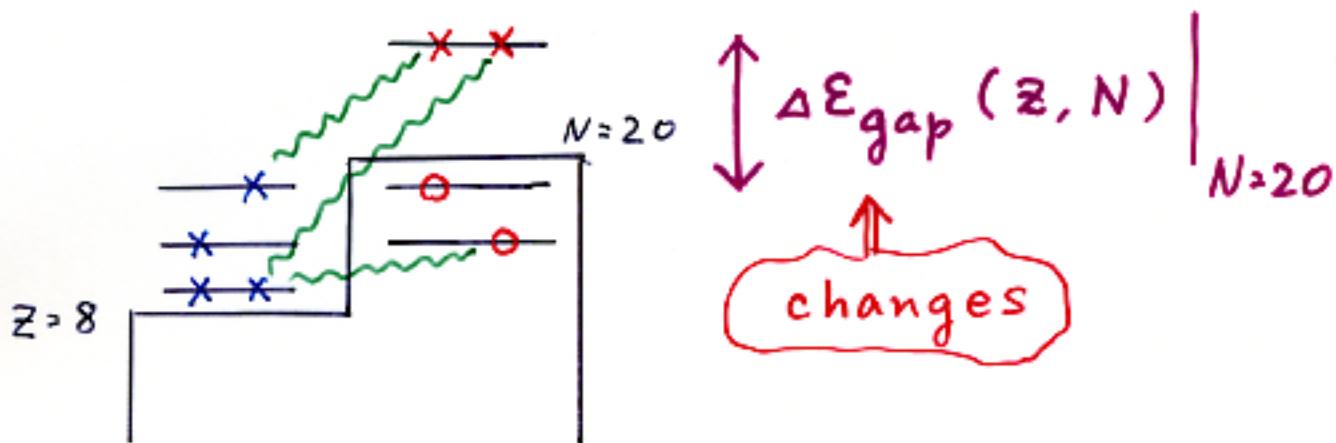


$N=20$ isotones

normal configuration



intruder configuration



proton-neutron quadrupole
correlation energy

$$\sim Q_p \ Q_n$$

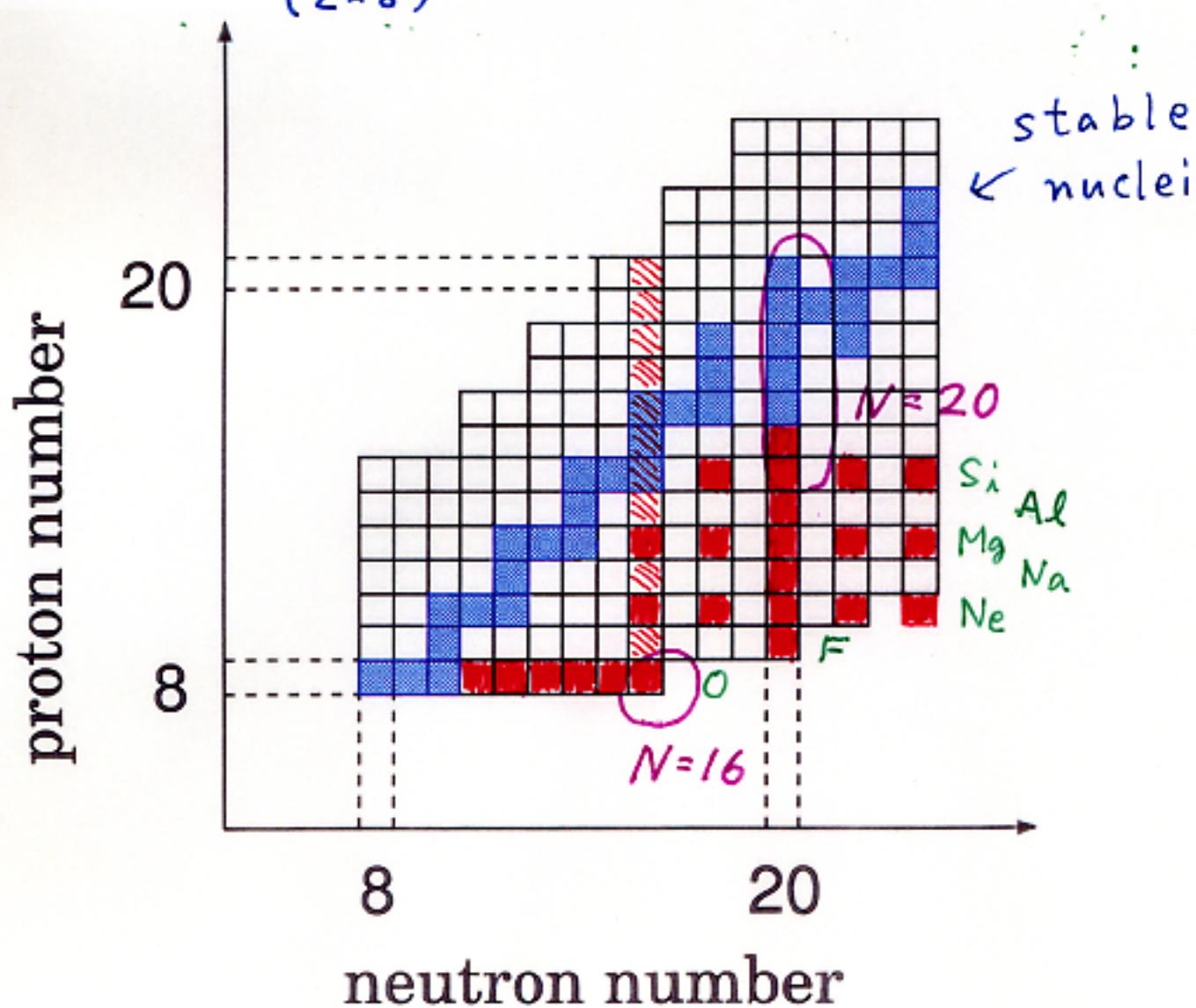
| | Ne | Mg | Si |
|-------|-------|---------|--------|
| Q_p | large | largest | modest |

Ozawa et al. PRL 84 (2000) 5493

Dlouhý et al. NP A701 (2002) 189c

Transition between
 $N=16$ and $N=20$
magic schemes

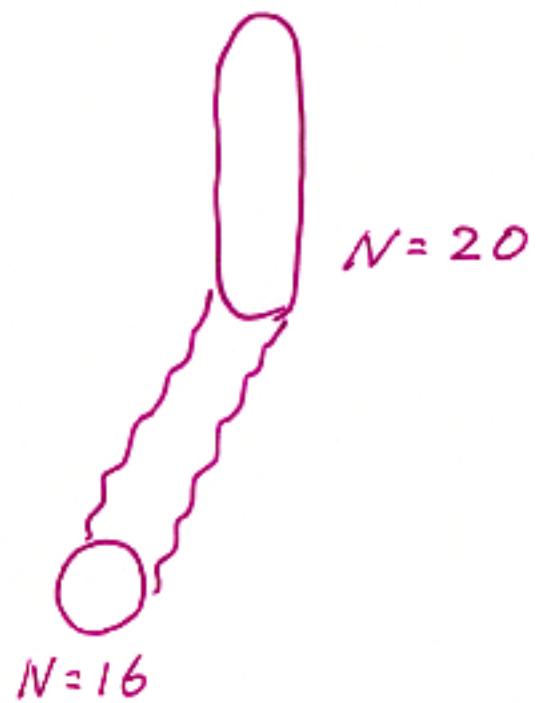
Oxygen isotopes : $^{24}_{8}O_{16}$ is heaviest
($Z=8$)



* See also Dlouhý et al. (2001) submitted

$N = 16$: a magic number ?

- Ozawa et al. PRL 84 (2000) 5493
anomalies in separation-energy
systematics for $N \approx 16^*$
- 2_1^+ of ^{24}O and $\frac{3}{2}_1^+$ of ^{28}O
in continuum



Model Space

$1d_{5/2}, 1d_{3/2}$

$2s_{1/2}$

$1f_{7/2}$

$2p_{3/2}$

Utsuno, O, et al.
Phys. Rev. C 60
054315 (1999)



Single-particle energies
fixed around ^{40}Ca

Interaction

USD sd Wildenthal + Brown 1984

KB pf Kuo + Brown 1968

MK^{*} sd-pf Millener + Kurath 1975
+ Warburton et al. 1986

with the usual $A^{-0.3}$ scaling

for all two-body matrix elements
(adjusted at $A=40$)

+ additional fine tuning

Oxygen isotopes

UTSUNO, OTSUKA, MIZUSAKI, AND HONMA

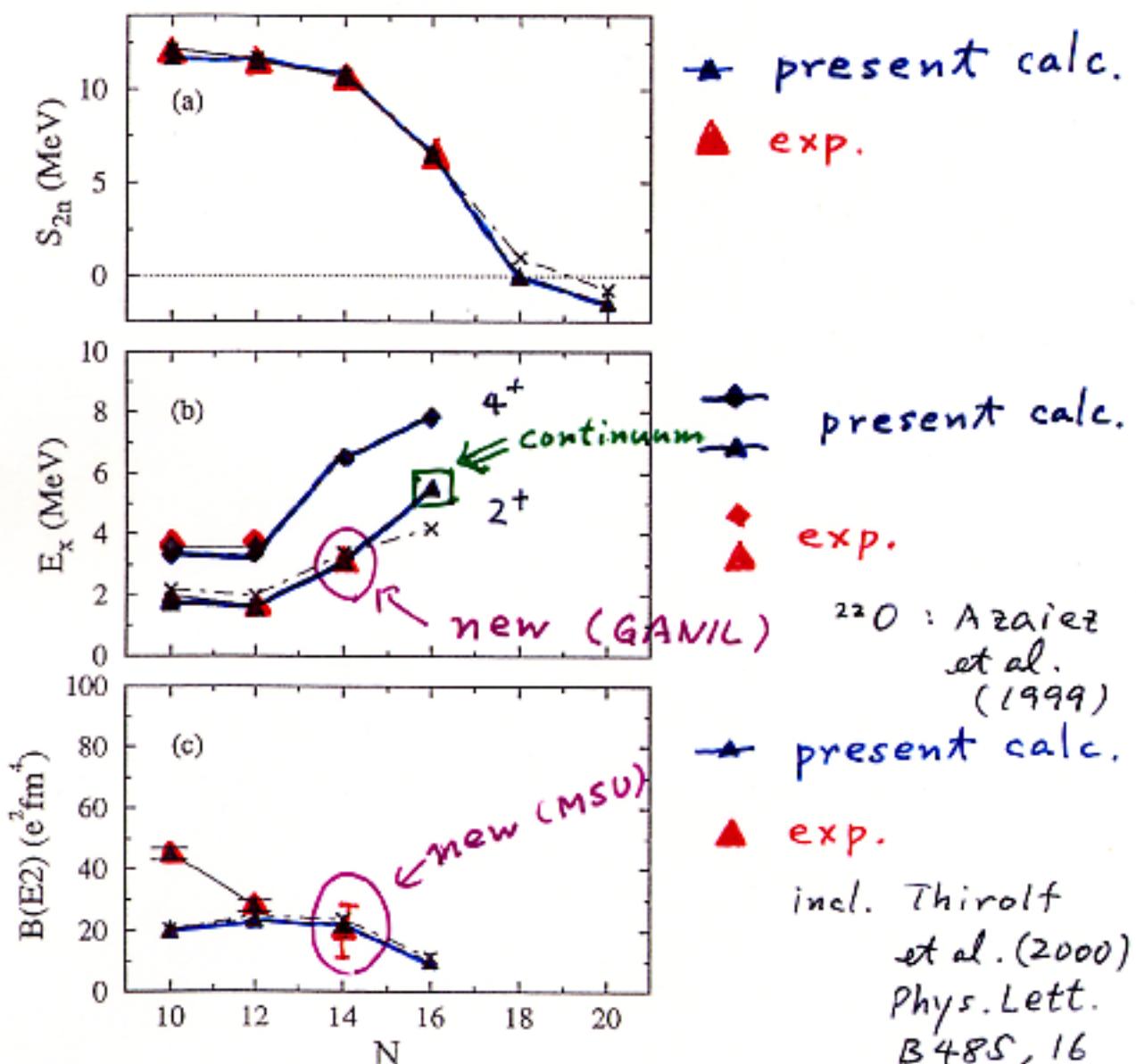
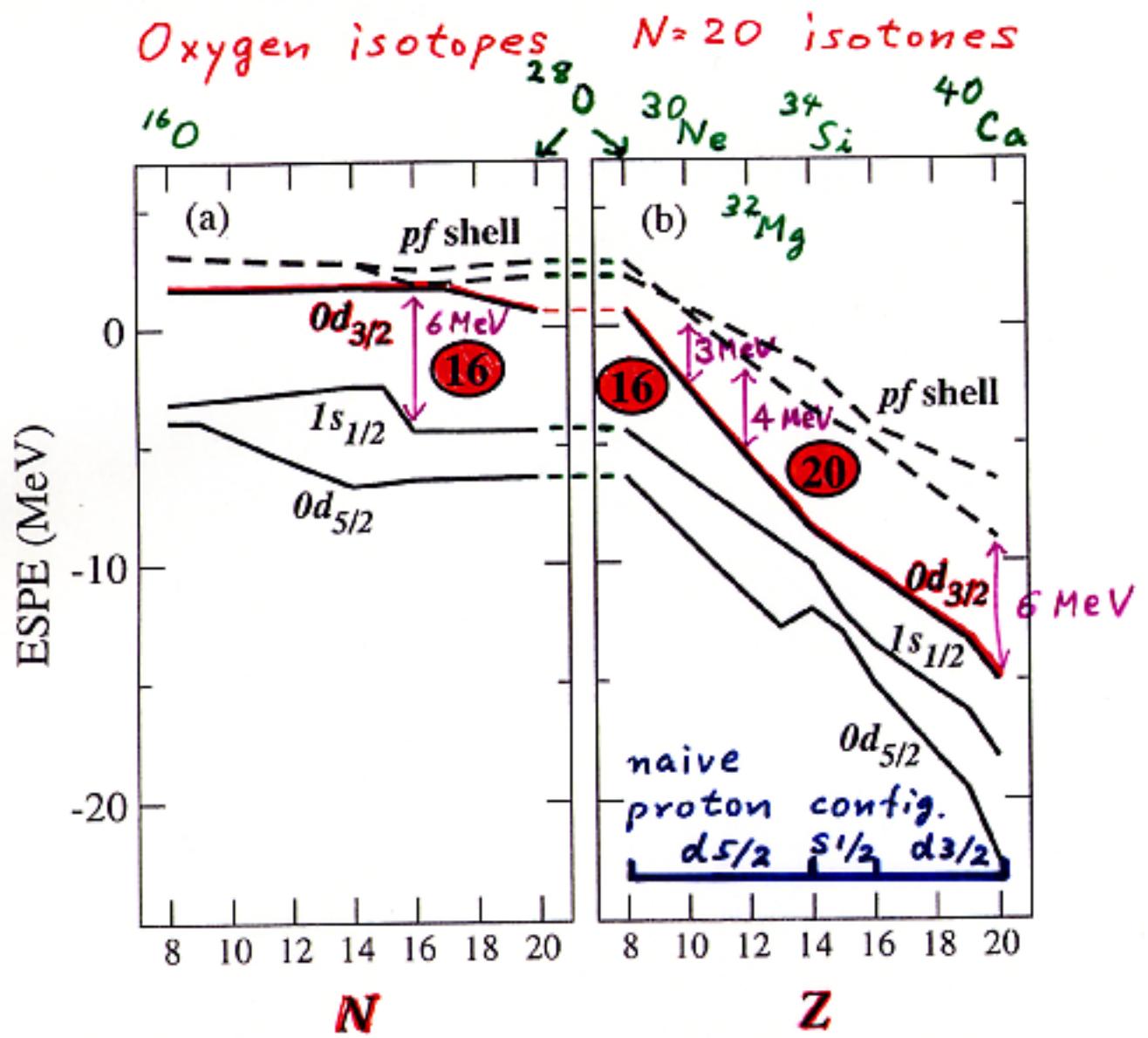


FIG. 3. (a) Two-neutron separation energies, (b) 2_1^+ (triangles) and 4_1^+ (diamonds) levels, (c) $B(E2; 0_1^+ \rightarrow 2_1^+)$ values of O isotopes. The filled and open symbols mean the experiment and the calculation by the present interaction, respectively. As a comparison, results by the sd -shell model calculation with the USD interaction are shown by the crosses. The 2_1^+ level of ^{22}O is taken from [33].

$N=14$
magic

Effective single-particle energies of neutrons



Interaction : sd shell USD (1984)

pf shell Kuo (1968)

sd-pf MK' (1975)

+ Warburton et al (1986)

+ fine tuning Utsuno et al. (1999)

Effective (spherical) single-particle energy, ϵ_j

□ Monopole Hamiltonian

$H' = \text{single particle energy}$

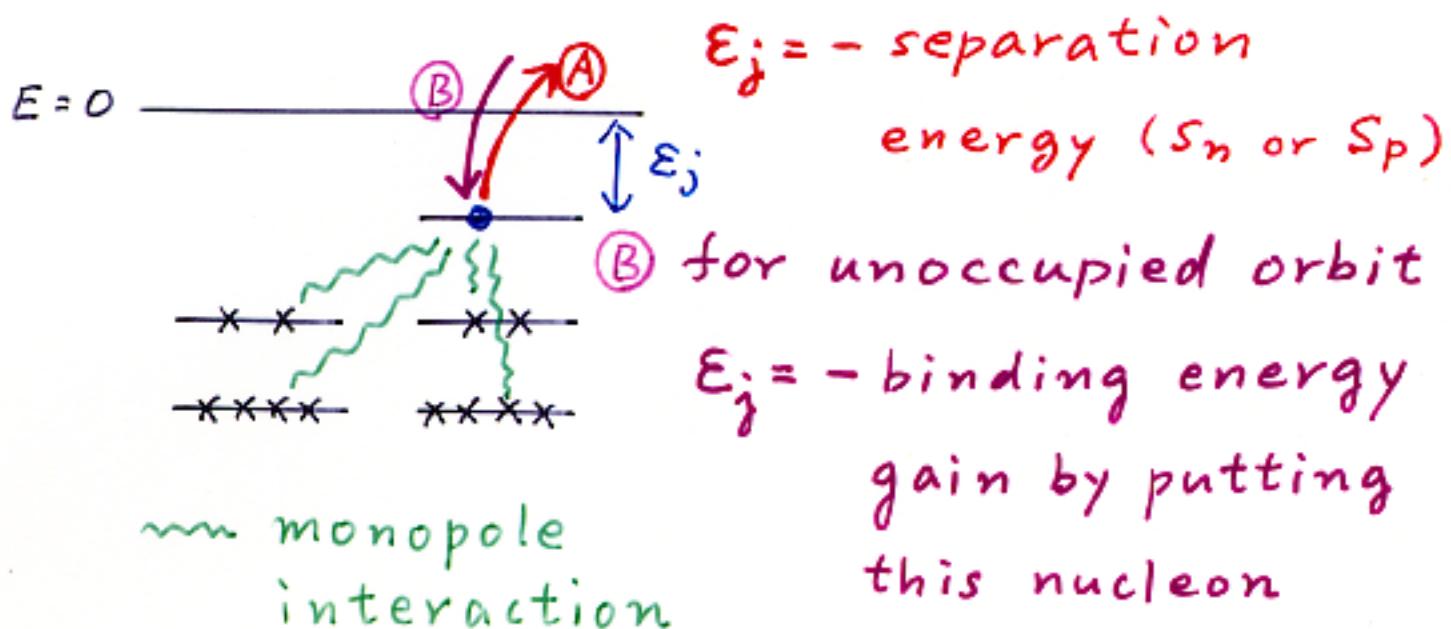
+ monopole interaction,

$$\rightarrow \langle j_1 j_2 : JT | V | j_1 j_2 : JT \rangle$$

This angular momentum dependence is averaged out by a weight $(2J+1)$.

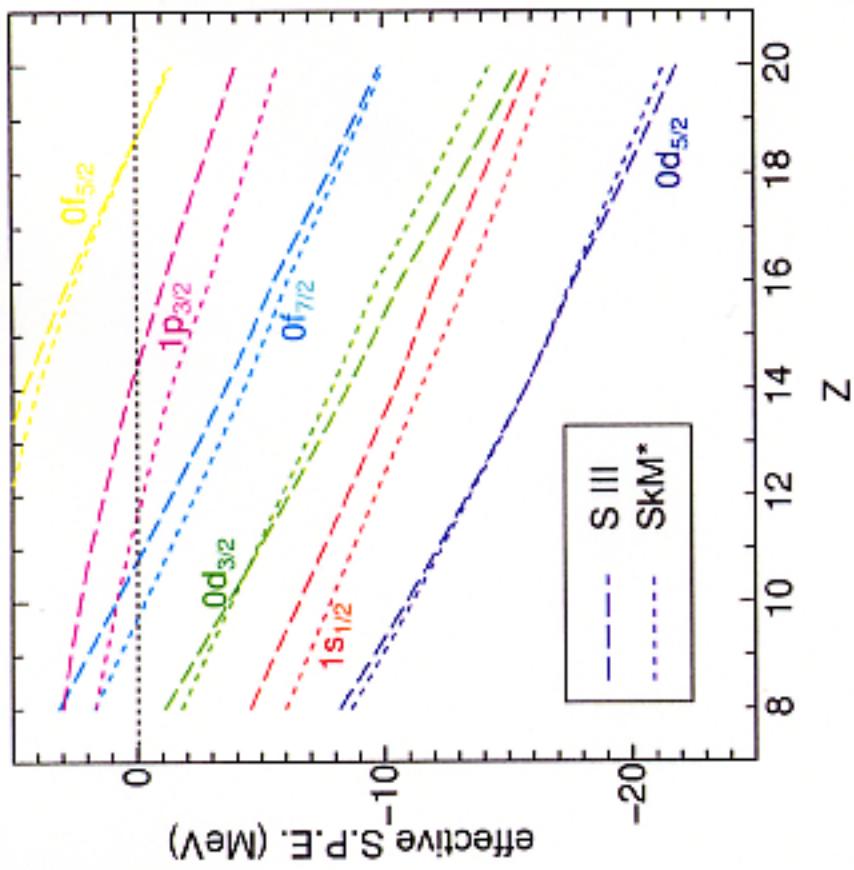
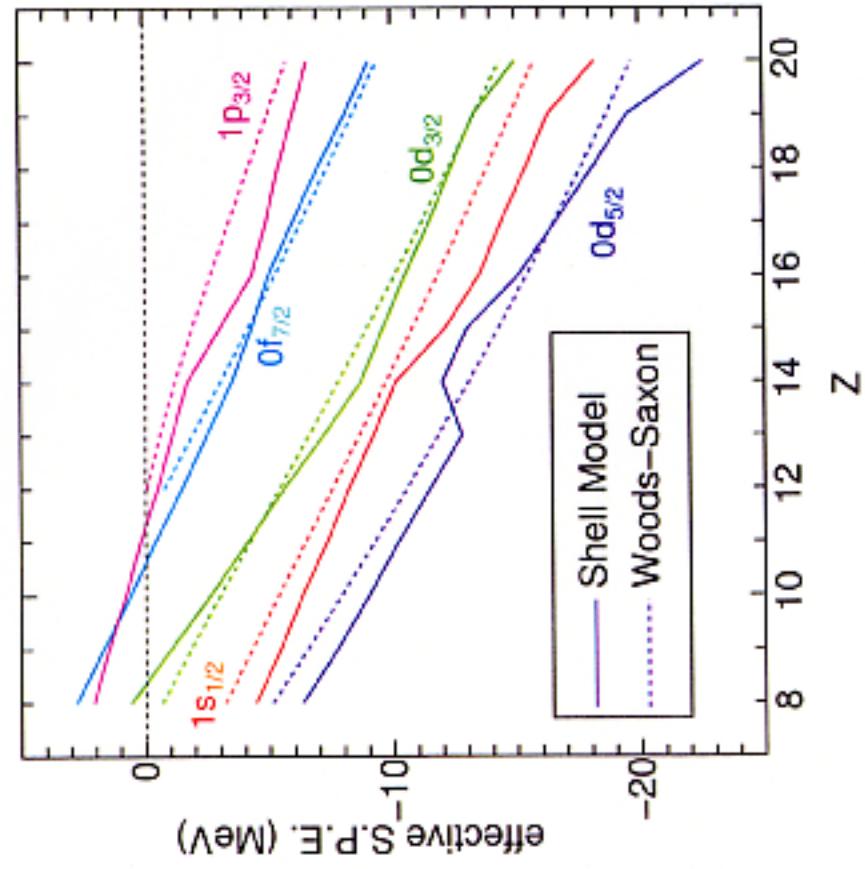
□ Using normal filling

Ⓐ for occupied orbit

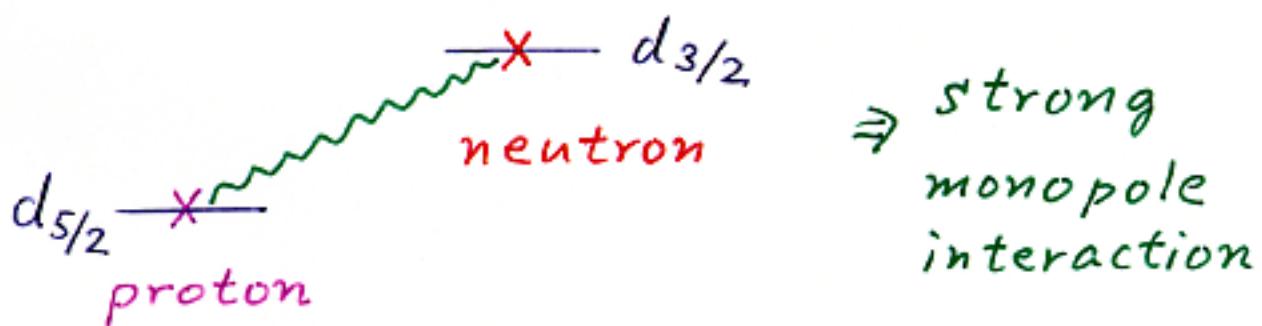


Neutron

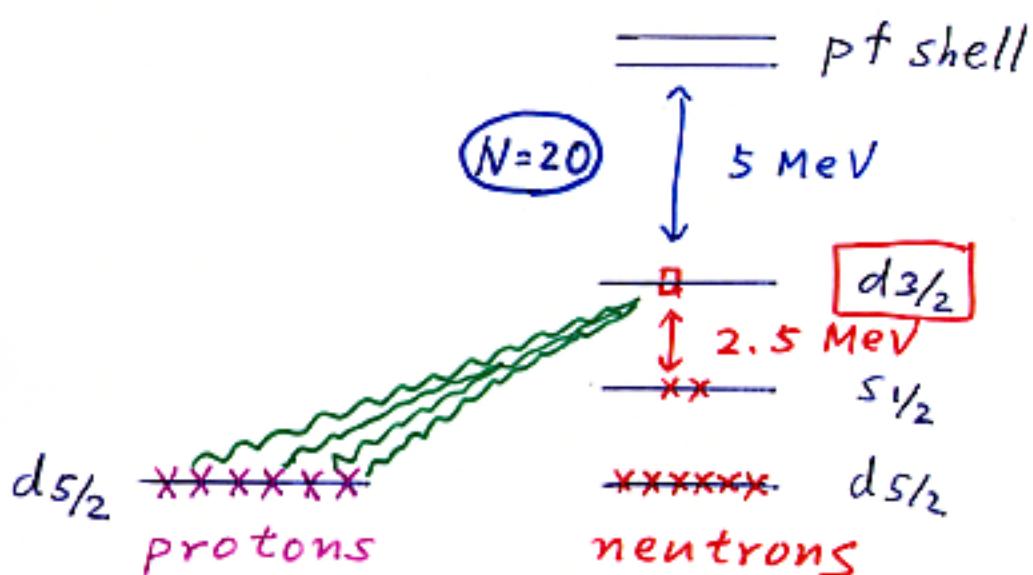
Effective single-particle Energies
 $N = 20$ isotones



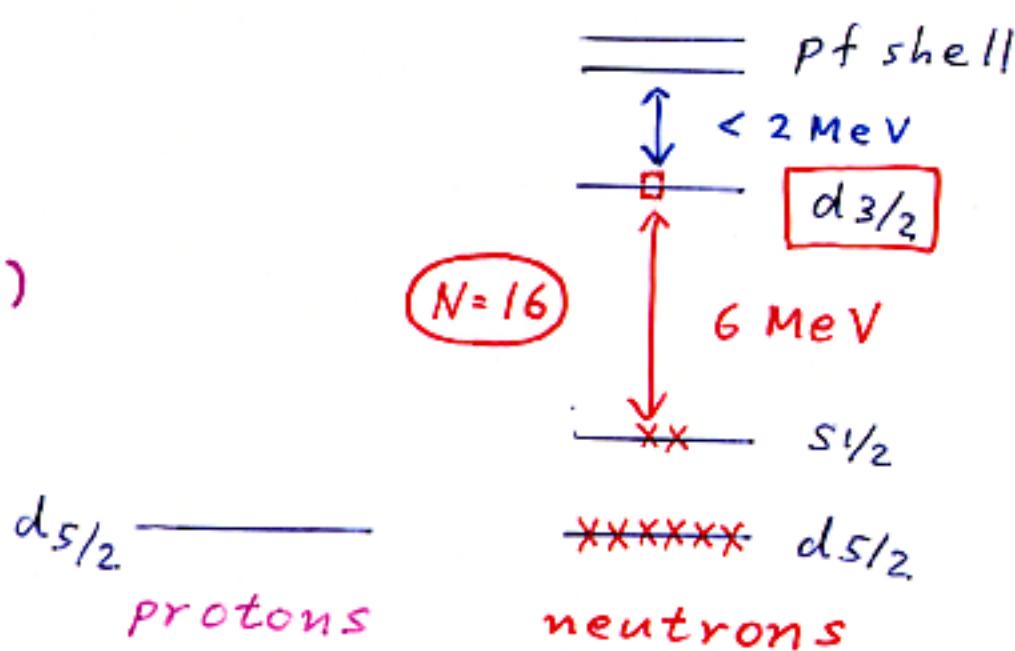
- Strong $T=0$ attraction in $d_{5/2} - d_{3/2}$ configuration



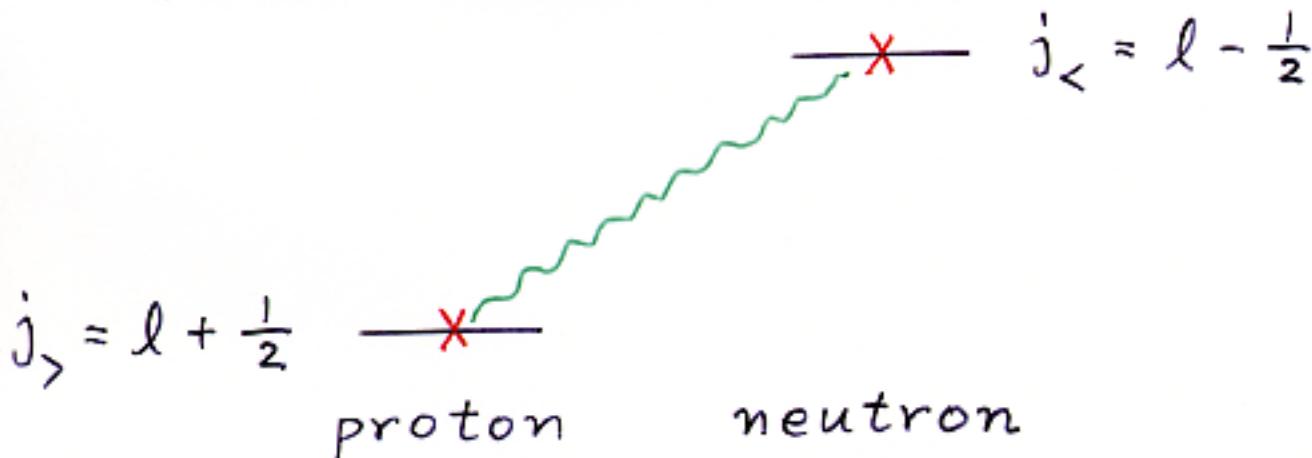
$^{30}_{\Lambda} Si$
14 16
(stable)



$^{24}_{\Lambda} O$
8 16
(unstable)



A more general picture



- Strong attractive interaction in $T=0$ channel for $j_> \otimes j_<$ configuration in general

Ex : $\langle d_{5/2} \, d_{3/2} | V | d_{5/2}, d_{3/2} \rangle_{J, \underline{T=0}}$

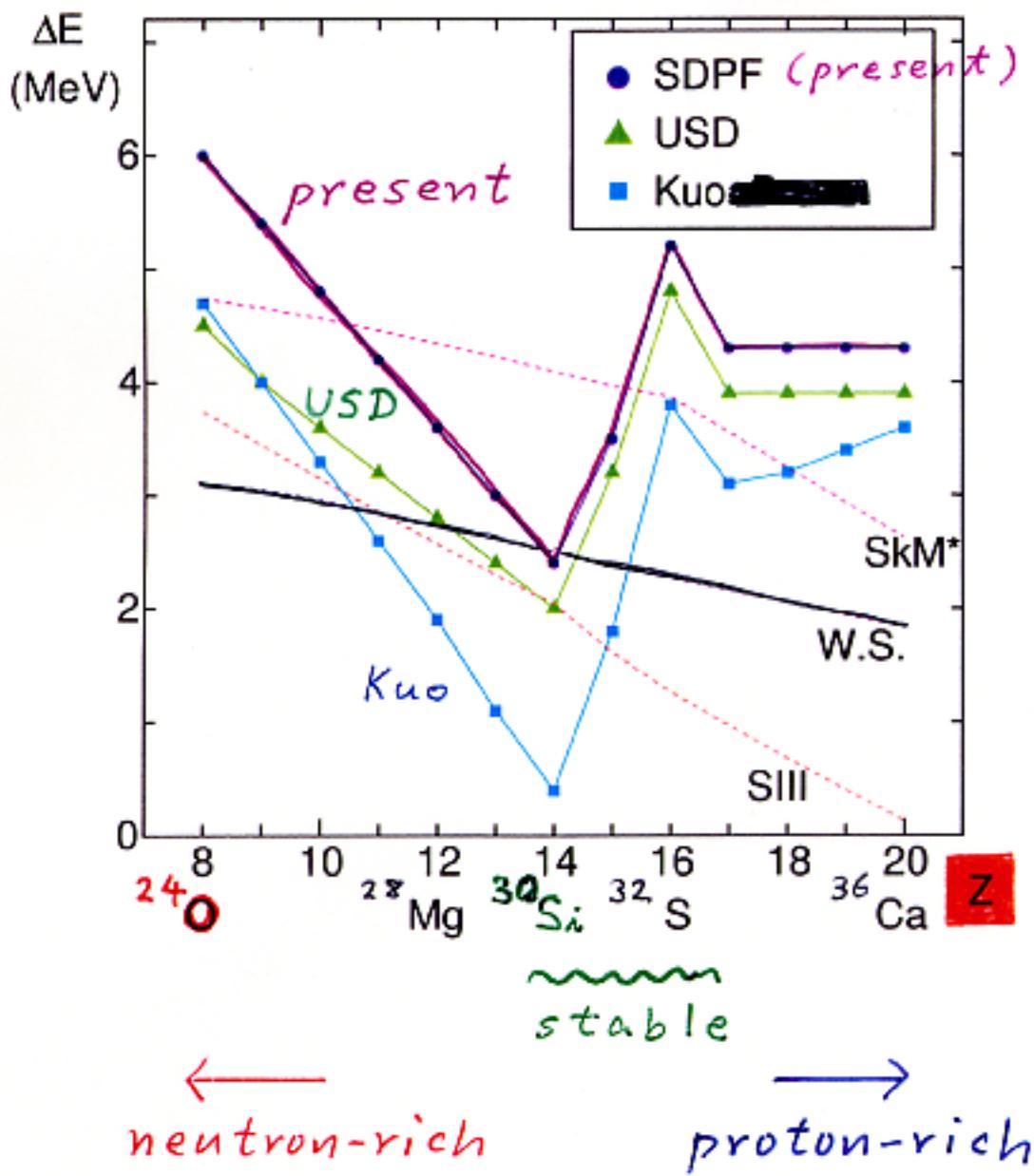
$d_{5/2} \, d_{3/2} \quad p_{3/2} \, p_{1/2}$

- This interaction contains strong attractive monopole component in general.

Strongest in one major shell

- G-matrix p, sd, pf shells
- USD, GXPF1/2

effective d_{3/2}-s_{1/2} gap
for neutrons at N=16



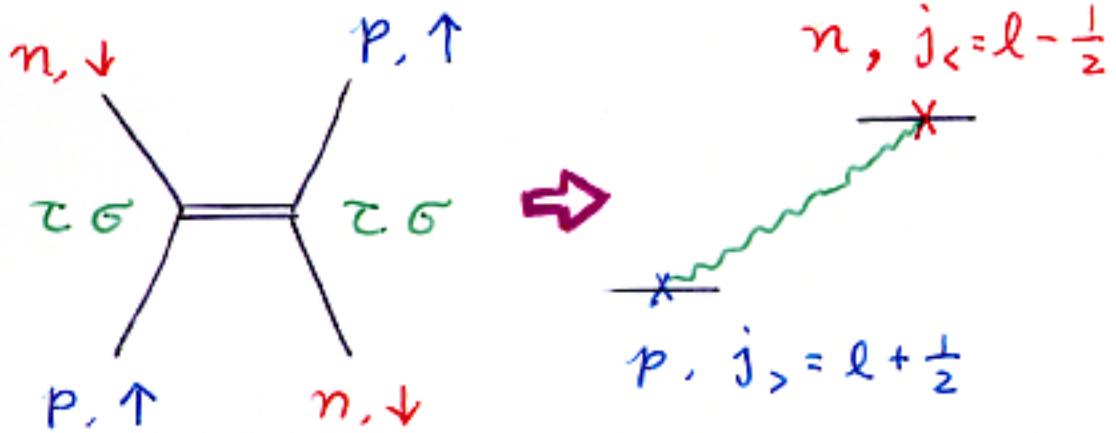
A major mechanism producing
this j_s - j_s coupling

$$V_{\tau\sigma} = (\tau \cdot \tau) (\sigma \cdot \sigma) f_{\tau\sigma}(\vec{r})$$

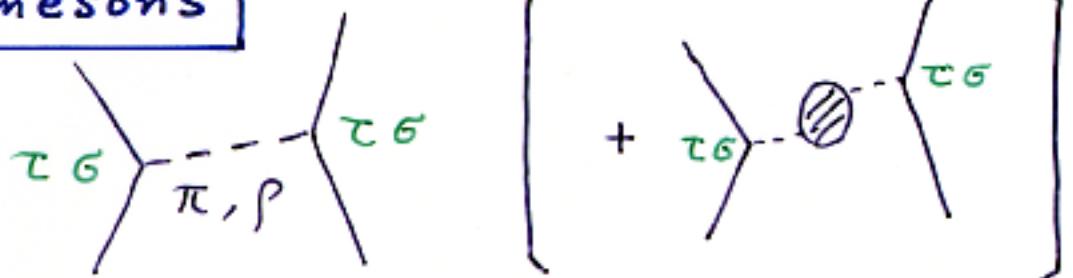
long range limit of $f_{\tau\sigma}(\vec{r})$

$$\Rightarrow V_{\tau\sigma} \propto (\tau \cdot \tau) (\sigma \cdot \sigma)$$

This
favors



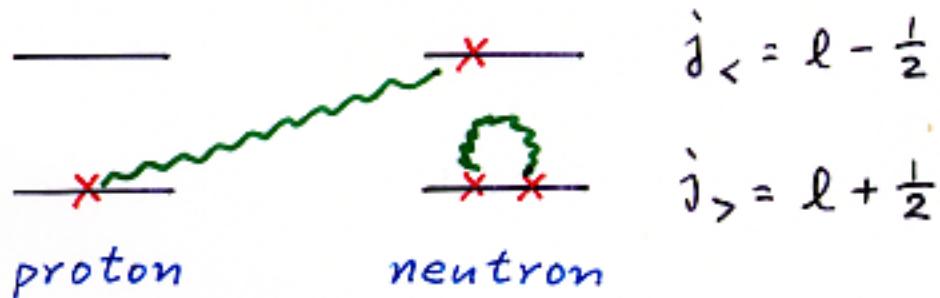
origin in mesons



$$V_{OBEP} \propto (\tau \cdot \tau) \left\{ (\sigma \cdot \sigma) + \dots \right\} f(r)$$

π : longest range

$$\overset{\circ}{V}_{\tau\sigma} = (\tau \cdot \tau) (\sigma \cdot \sigma)$$



Monopole interaction for $\overset{\circ}{V}_{\tau\sigma}$

$$\frac{\sum_J (2J+1) \langle jj'; JT | \overset{\circ}{V}_{\tau\sigma} | jj'; JT \rangle}{\sum_J (2J+1)}$$

| j | j' | $T=0$ | $T=1$ |
|-------------------|-------------------|-----------------------------|--------------------------|
| $l + \frac{1}{2}$ | $l + \frac{1}{2}$ | $-\frac{3}{2l+1}$ | $-\frac{2l+3}{(2l+1)^2}$ |
| $l + \frac{1}{2}$ | $l - \frac{1}{2}$ | $-\frac{6}{2l+1}$ | $-\frac{2}{2l+1}$ |
| $l - \frac{1}{2}$ | $l - \frac{1}{2}$ | $-\frac{3(2l-1)}{(2l+1)^2}$ | $-\frac{1}{2l+1}$ |

Relative magnitude for $l \gg 1$

| j | j' | pn | nn, pp |
|-------------------|-------------------|------|----------|
| $l + \frac{1}{2}$ | $l + \frac{1}{2}$ | -2 | -1 |
| $l + \frac{1}{2}$ | $l - \frac{1}{2}$ | -4 | -2 |
| $l - \frac{1}{2}$ | $l - \frac{1}{2}$ | -2 | -1 |

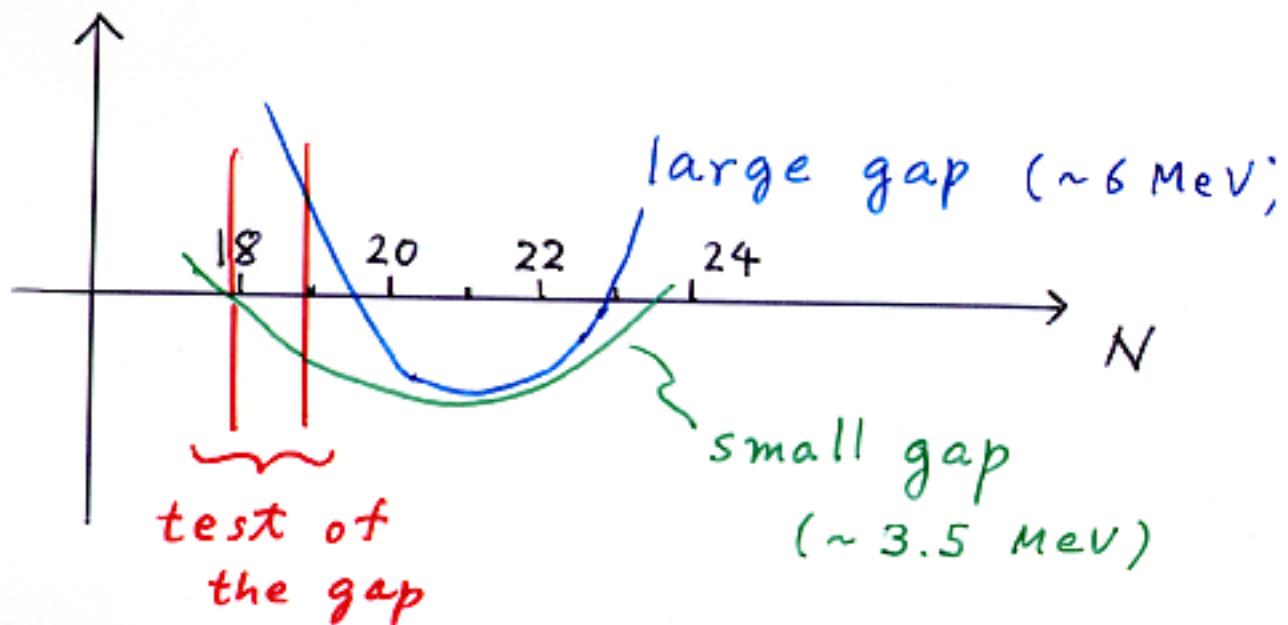
^{11}Na isotopes

^{31}Na spectrum

Quadrupole and Magnetic
moments

Na isotopes

$E(\text{intruder}) - E(\text{normal})$



- At $N=20$, the correlation energy is minimum for normal state because of neutron closed shell.
- At $N=22$, the deformation energy becomes largest.

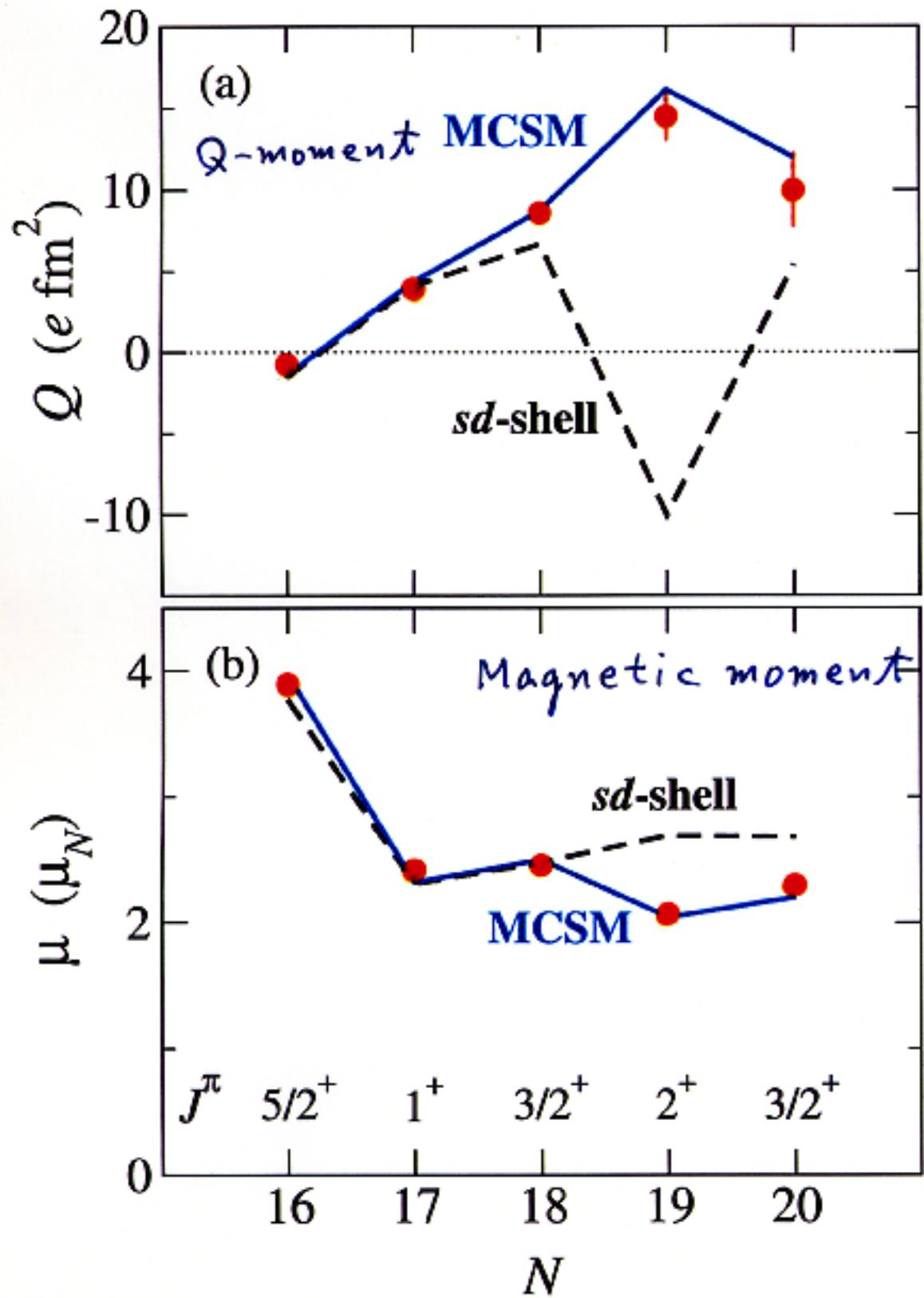
Present Hamiltonian \Rightarrow small gap for Na

Utsuno et al PRC 60, 054315 (1999)

$sd + f_{7/2} p_{3/2}$

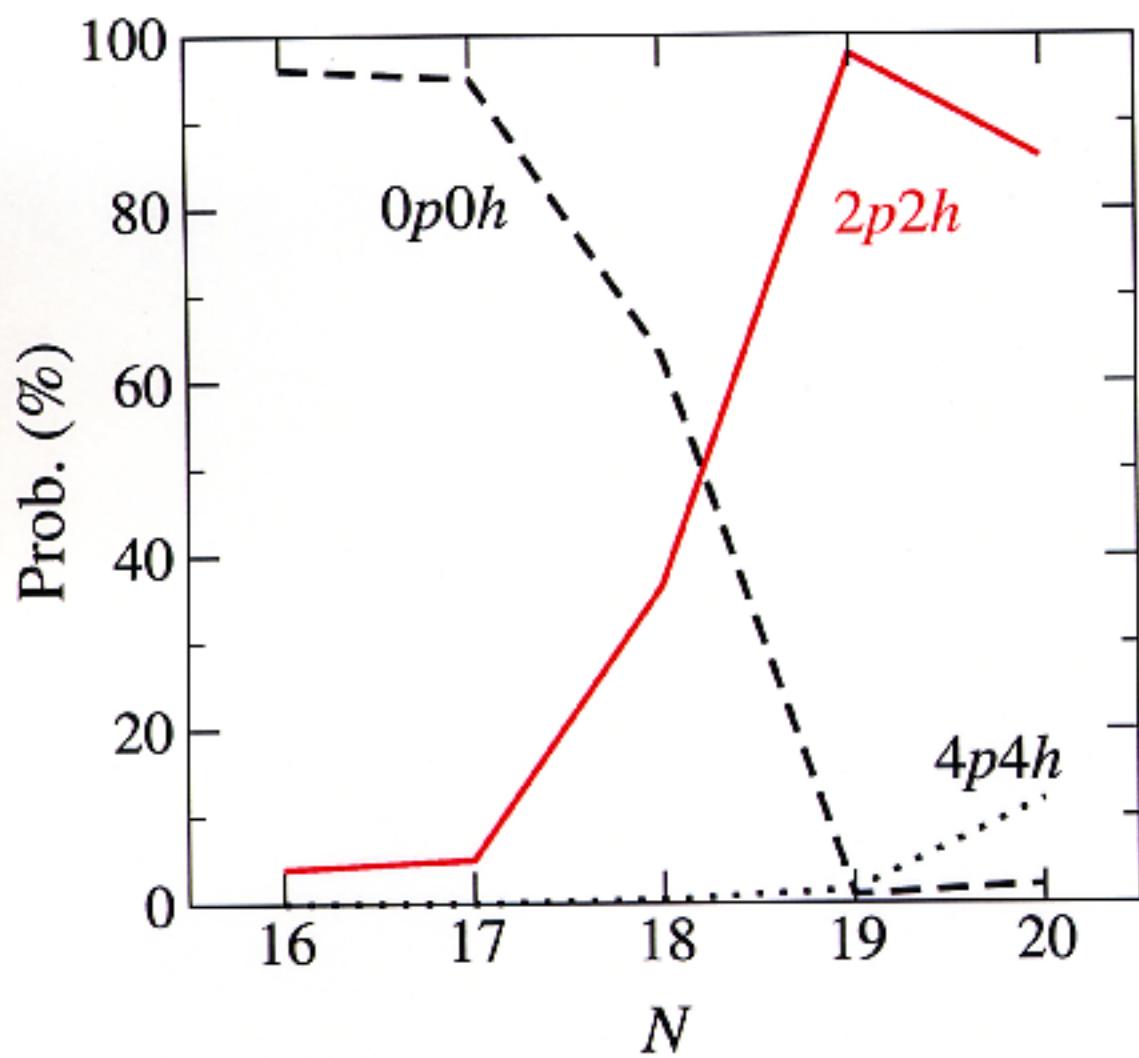
good for F, Ne, Na, ..., Al, Ar

Na isotopes



Ref.) M. Keim *et al.*, in *Proc. of "Exotic Nuclei and Atomic Masses"* (1998);
Eur. Phys. J A **8**, 31 (2000).

Ground-state configurations in Na



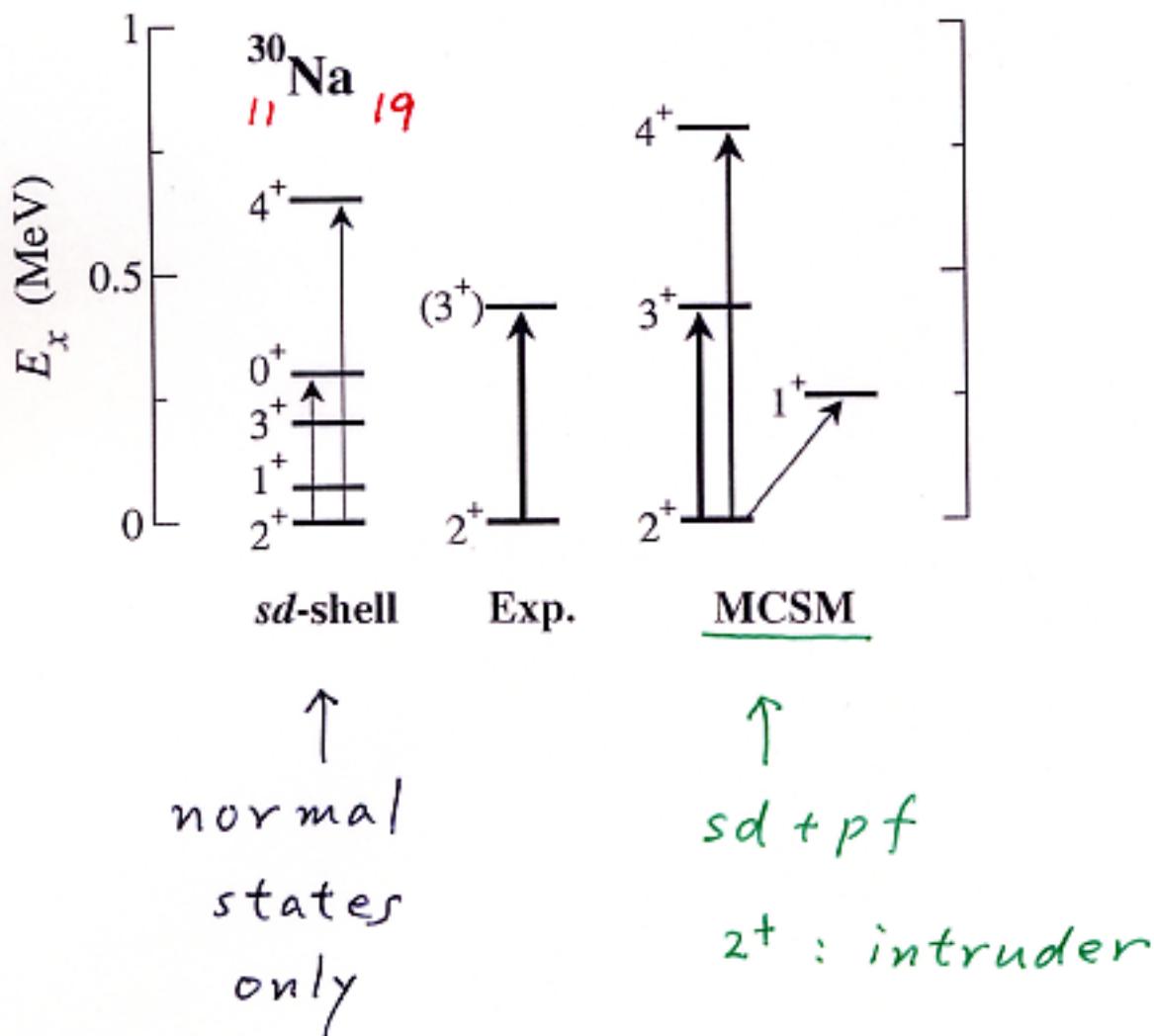
Exp. Pritychenko et al.

MSUCL 1233 (2002); Phys. Rev. C

$$B(E2; 2^+ \rightarrow 3^+) = 130 \pm 90 \text{ (e}^2\text{fm}^4)$$

$$168 \text{ (e}^2\text{fm}^4)$$

present calc. (MCSM)



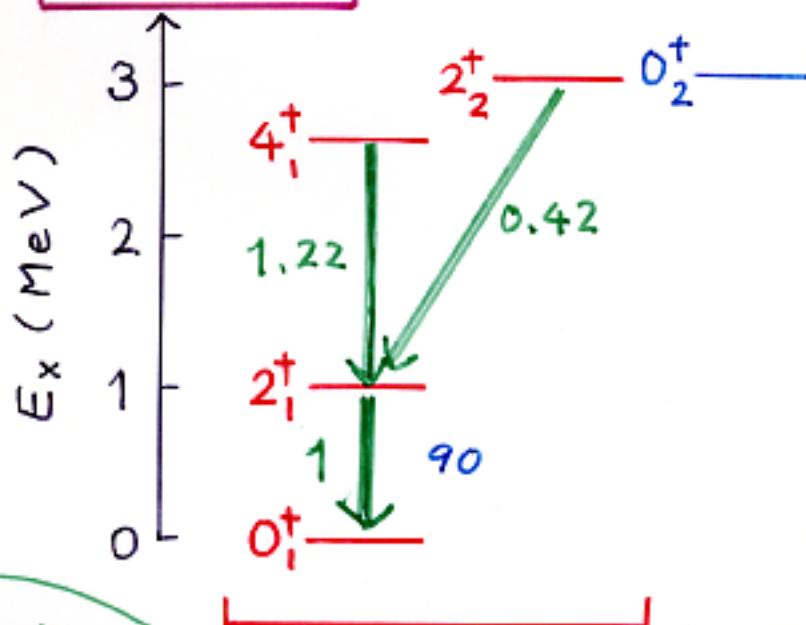
Shape Evolution of Exotic Mg Isotopes

$^{32}_{12}\text{Mg}_{20}$

$$\frac{Ex(4^+_1)}{Ex(2^+_1)} = 2.65 \quad \text{triaxial (?)}$$

$\gamma \sim 20^\circ$

(Davydov - Filippov)



$(4^+_1) -$

$2^+_1 -$

$0^+_1 -$

$2^+_2 -$

$0^+_2 -$

$B(E2)$

relative \downarrow absolute
($e^2 fm^4$)

intruder
 $\langle n \rangle > 2$
 $n \neq n_h$

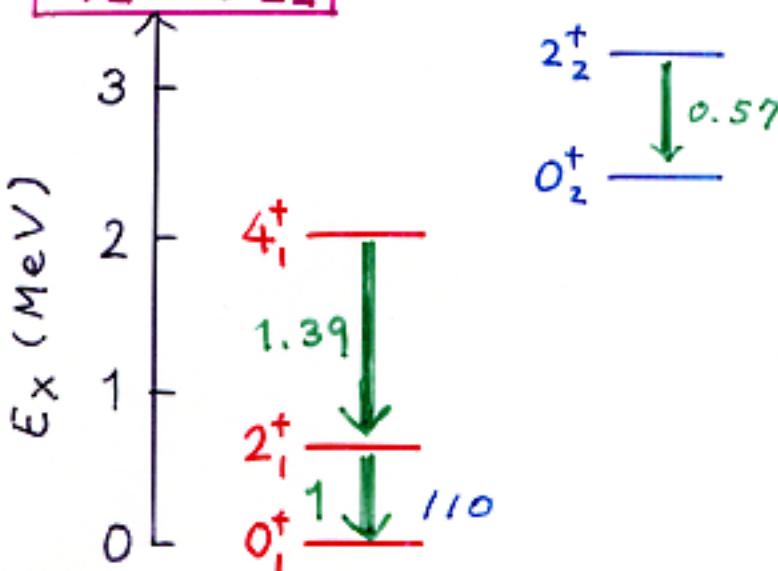
normal
(mixed)
 $\sim 25\%$

exp.

G. Mueller et al. 1989
Azaiez et al. 1998

$^{34}_{12}\text{Mg}_{22}$

$$\frac{Ex(4^+_1)}{Ex(2^+_1)} = 3.1 \quad \text{axially sym. rotor}$$



intruder
 $\langle n \rangle \sim 2$

$n \neq n_h$

mixed
 $\sim 35\%$

$4^+_1 -$

$2^+_1 -$

$0^+_1 -$

$2^+_2 -$

$0^+_2 -$

126 ± 22
Iwasaki
et al. 2001

exp.

Yoneda et al.
PL B499 (2001) 233



CNS Report

Large collectivity of ^{34}Mg

H. Iwasaki, T. Motobayashi, H. Sakurai, K. Yoneda,
T. Gomi, N. Aoi, N. Fukuda, Zs. Fülöp, U. Futakami,
Z. Gacs, Y. Higurashi, N. Imai, N. Iwasa, T. Kubo,
M. Kuniba, M. Kurokawa, Z. Liu, T. Minemura, A. Saito,
M. Serata, S. Shimoura, S. Takeuchi, Y. X. Watanabe,
K. Yamada, Y. Yanagisawa, and M. Ishihara

submitted to *Physics Letters B*

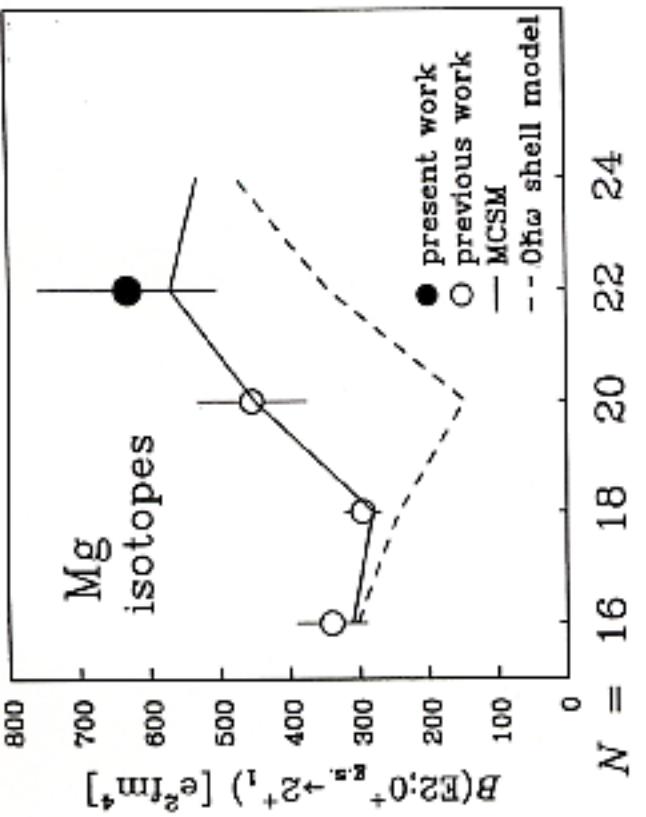
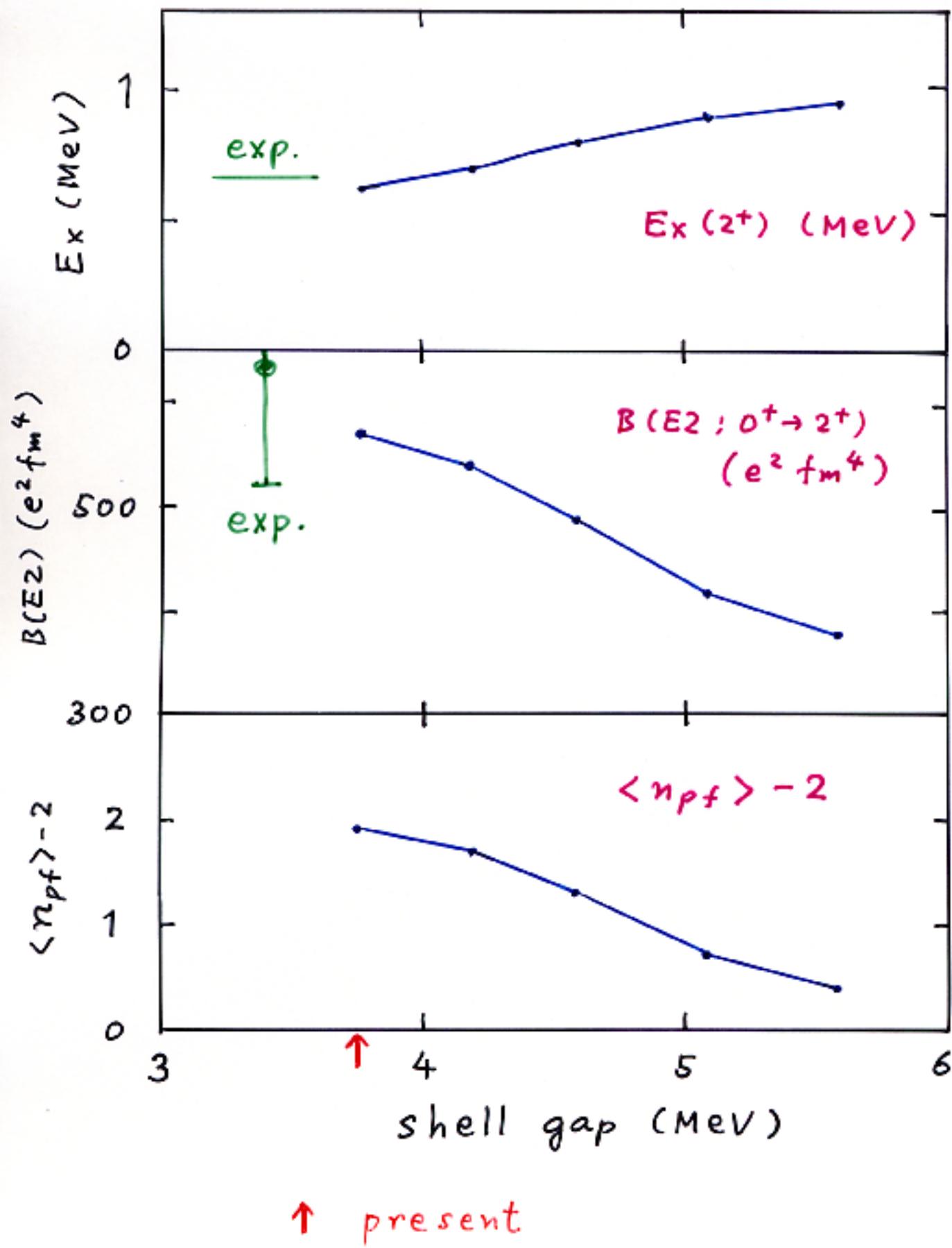


FIG. 3. Measured $B(E2; 0_1^+ \rightarrow 2_1^+)$ values for ^{24}Mg [30], ^{26}Mg [25], and ^{28}Mg [8], as well as the present result on ^{34}Mg are compared with two shell model calculations. Predictions by the Monte Carlo shell model (MCSM) [13] and the $\Omega\omega$ shell model calculation in Ref. [12] are indicated by the solid and dashed lines, respectively.

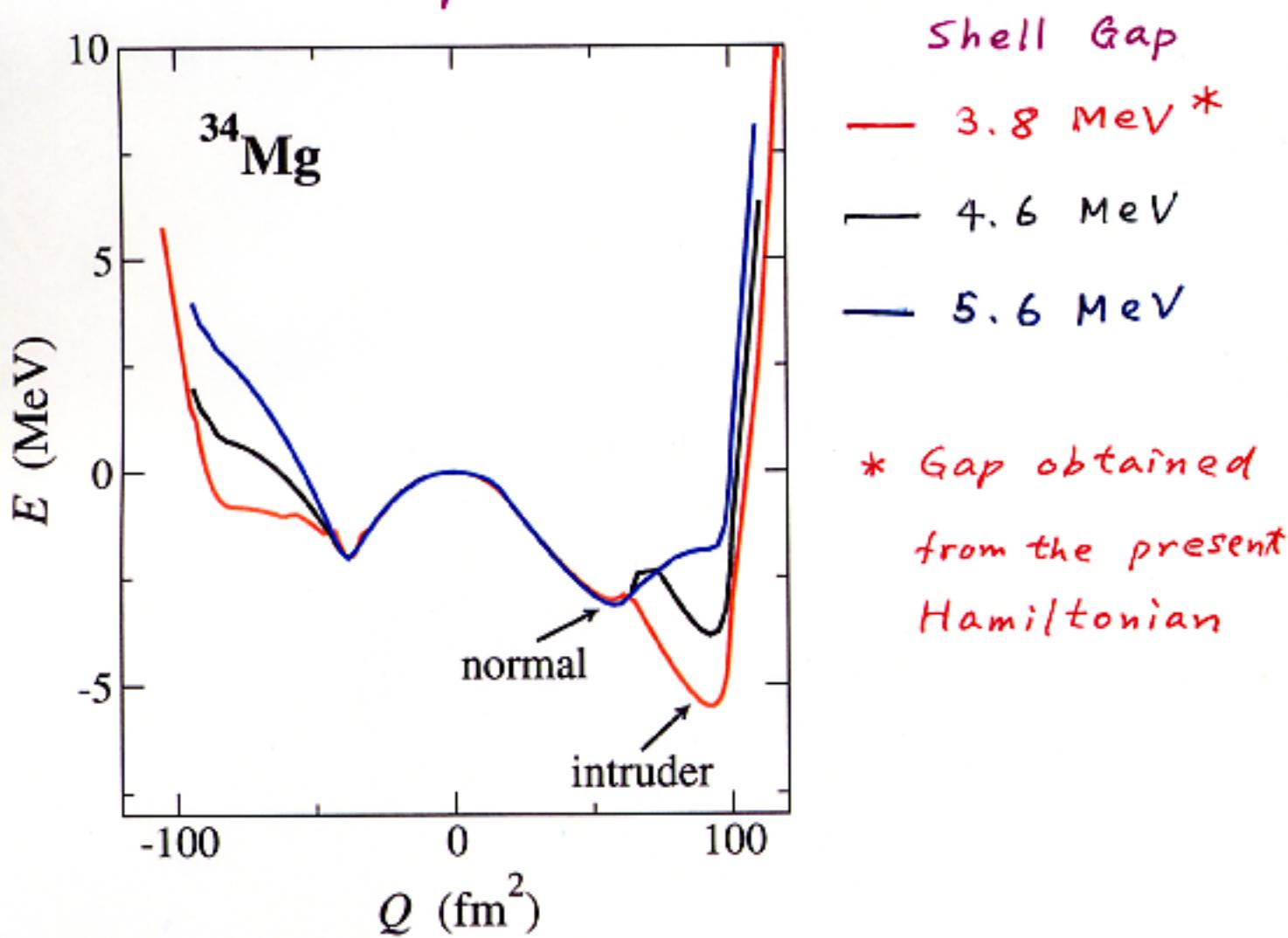
Center for Nuclear Study (CNS)

Graduate School of Science, the University of Tokyo
Wako Branch at RIKEN, Hirosawa 2-1, Saitama 331-0198, Japan
Correspondence: cnsoffice@cns.s.u-tokyo.ac.jp

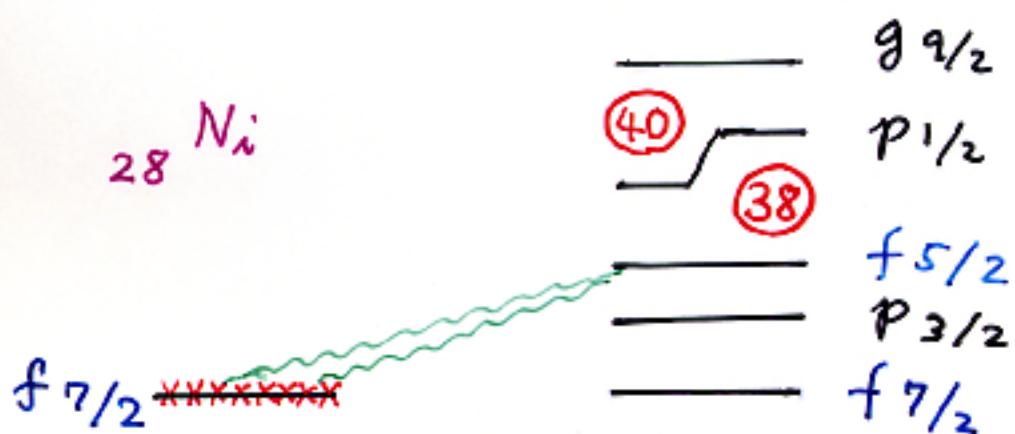
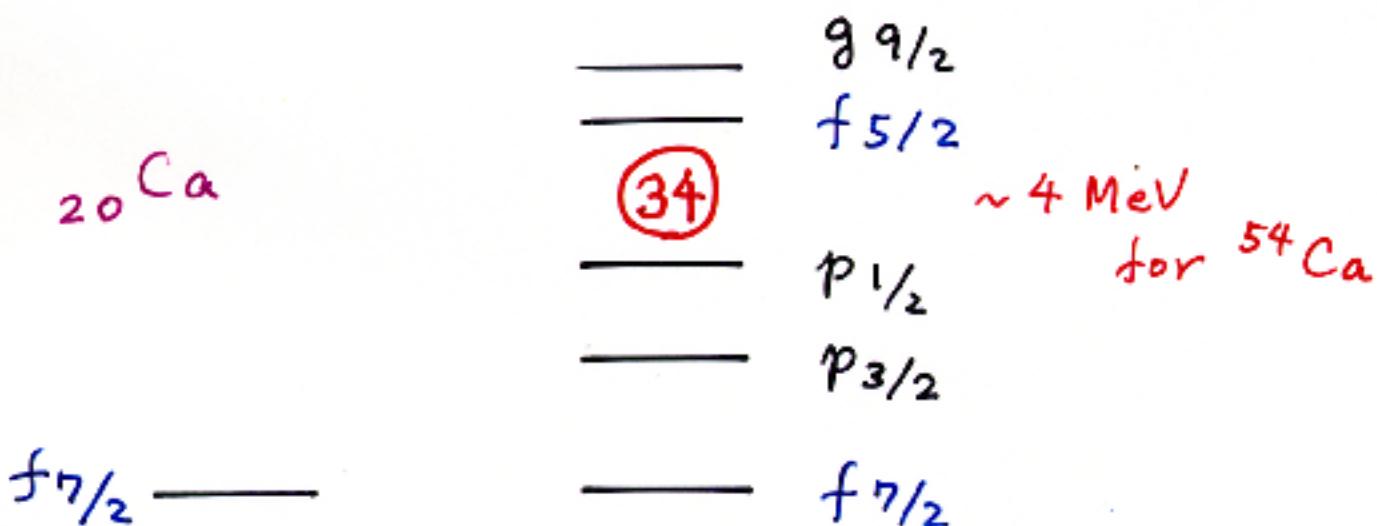
Shell gap dependence in ^{34}Mg



Potential Energy Surface
for various values of the
Shell Gap at $N=20$



$N = 34$ magic structure



Note: A new effective interaction

GXF1 (2) by Honma et al.

⇒ strongest attractive

diagonal matrix elements

are for $|f_{7/2} \otimes f_{5/2}; J, T=0\rangle$

New effective interaction GXPF1/2

- effective interaction for full pf-shell calculations

p shell Cohen-Kurath 1965

sd shell Wildenthal-Brown 1988

- 195 two-body matrix elements
4 (bare) single particle energies

- G-matrix with core polarization correction by Hjorth-Jensen
⇒ starting point

- χ^2 -fit

70 (or 60) best determined
linear combinations

⇒

699 (623) data from 87 nuclei
($^{47}\text{Ca} \sim ^{65}\text{Ge}$)

699 = 490 yrast + 198 yrare + 11 higher

- rms error 168 (188) keV
for mass, ~ 130 keV

Energy Levels of $^{56,57,58}\text{Ni}$

Full pf-shell calculation by MCSM



FIG. 2. Energy levels of $^{56,57,58}\text{Ni}$. Experimental data are taken from ref. [17]. Above 4MeV, experimental levels are shown only for yrast states for $^{57,58}\text{Ni}$.

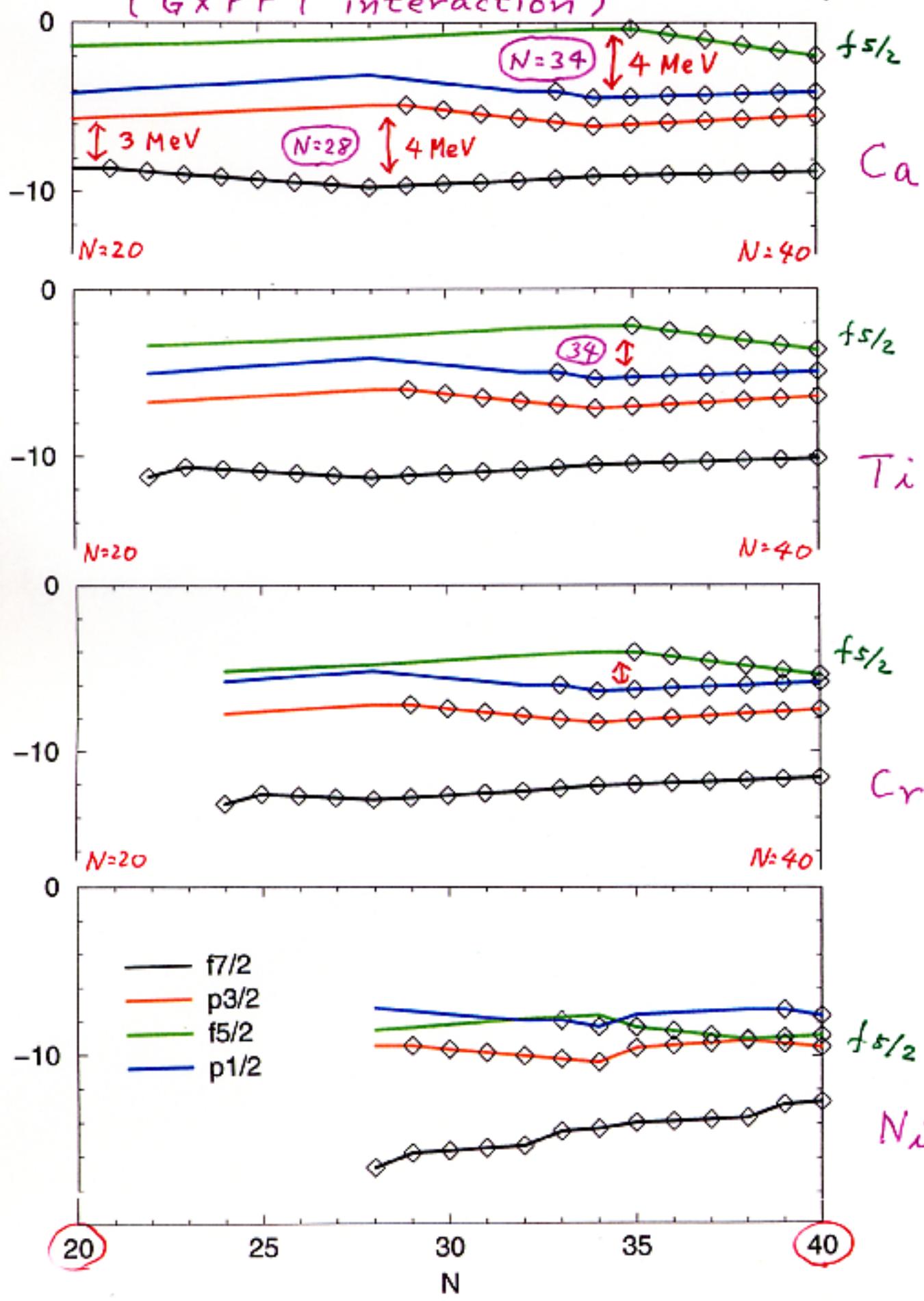
GXPF1 interaction

Honma et al.

Phys. Rev. C 65, 061301 (R) (2002)

Effective single-particle
energies for neutrons
(GXPF1 interaction)

$f_{5/2}$
 $p_{1/2}$
 $p_{3/2}$
 $f_{7/2}$



Full pf-shell calculations with GXPF1 interaction

2^+ levels eff. s.p.e's

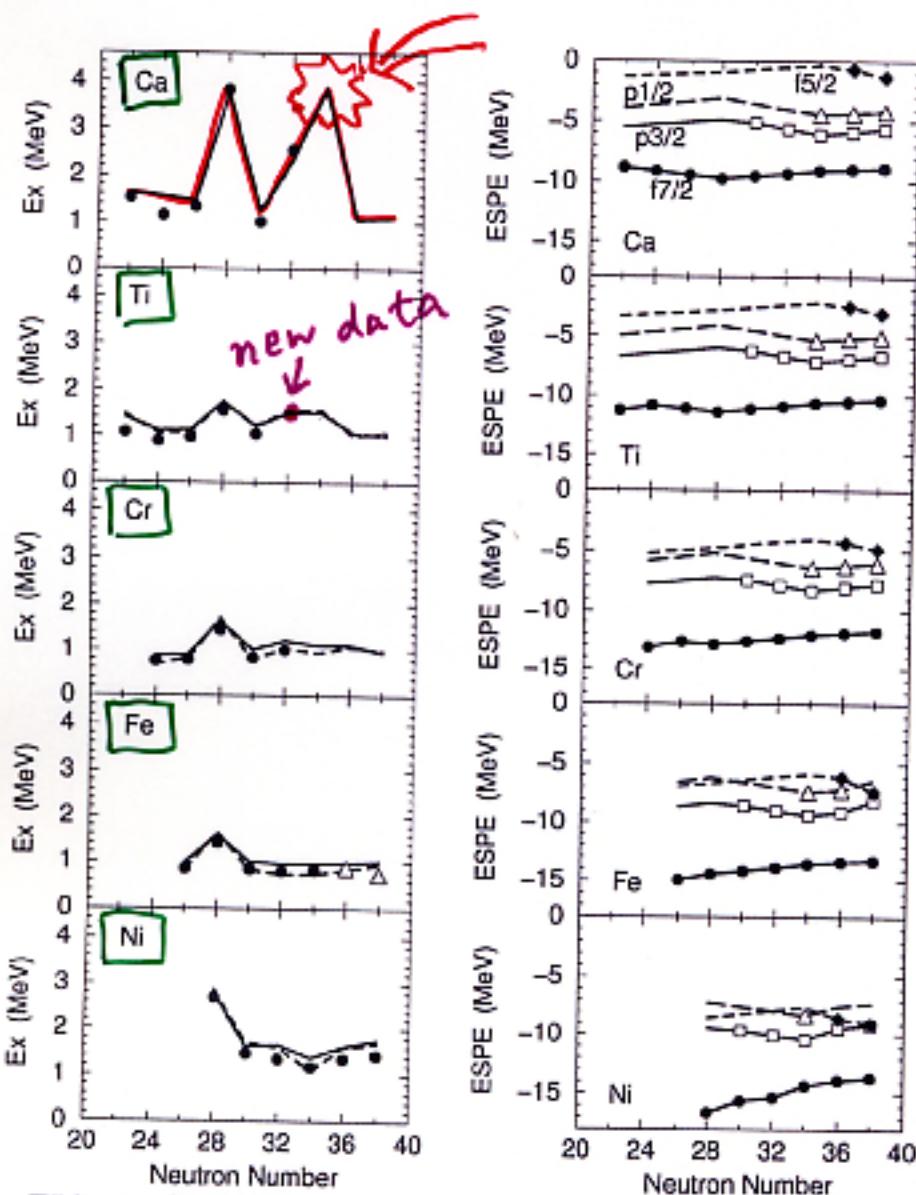


FIG. 1. (Left) Excitation energies of the first 2^+ states. Experimental data are shown by filled circles [17] and open triangles [18]. Theoretical values obtained by shell model calculations are shown by solid lines. The truncation order t (the maximum number of nucleons which are allowed to excite from $f_{7/2}$ to higher orbits: $p_{3/2}$, $p_{1/2}$, $f_{5/2}$) is 5 for ^{56}Fe and $^{58,60,62}\text{Ni}$, 6 for $^{52,54}\text{Fe}$ and ^{56}Ni , and 7 for $^{58,60}\text{Fe}$. Other results are exact. Dashed lines show the results obtained by the FDA*. (Right) Effective single particle energies for neutron orbits. Symbols indicate that the corresponding orbit is occupied by at least one nucleon in the lowest filling configuration.

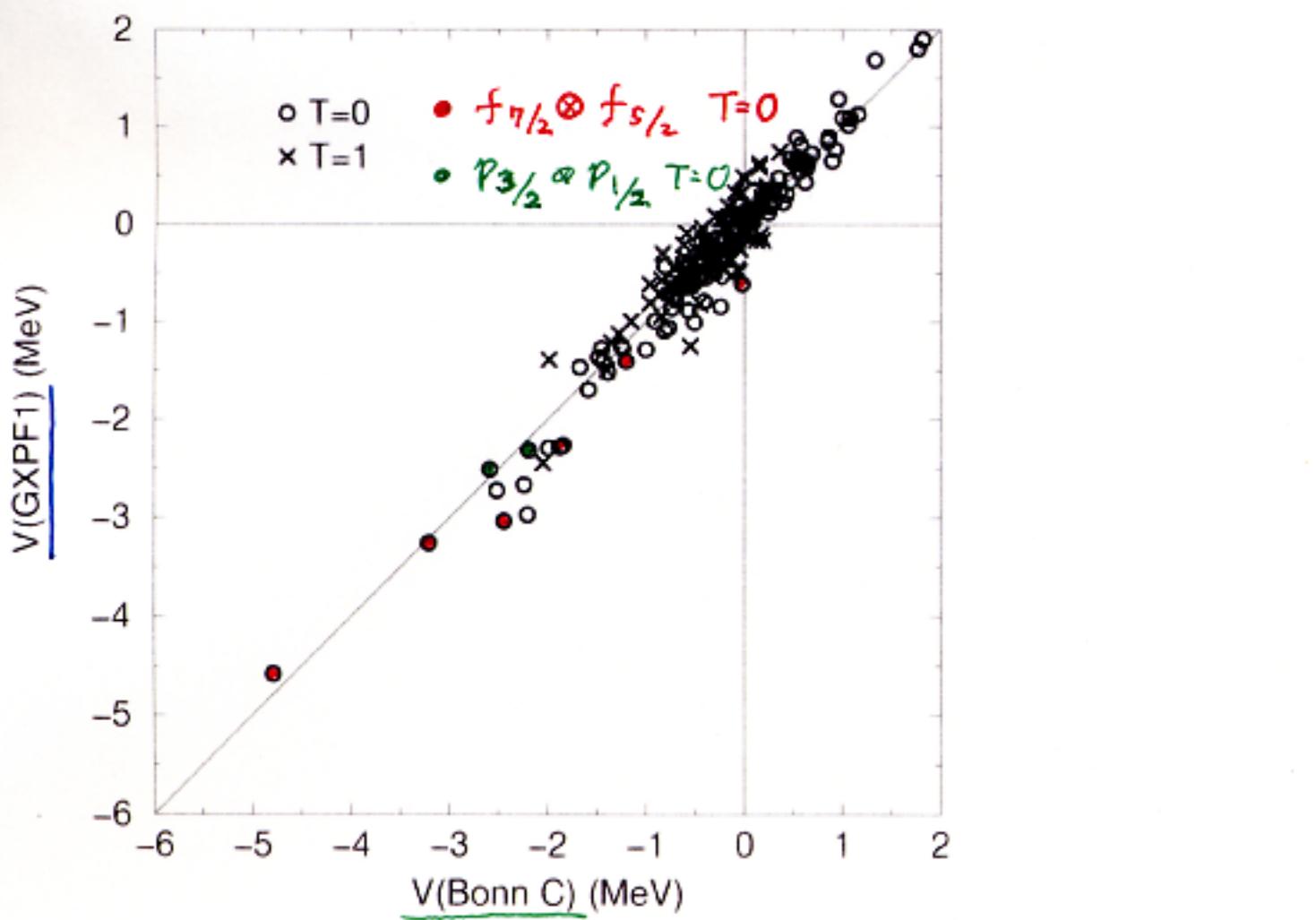
Honma et al.
Phys. Rev.
C 65, 061301 (R)
(2002)

pf-shell interactions
(2-body matrix elements)

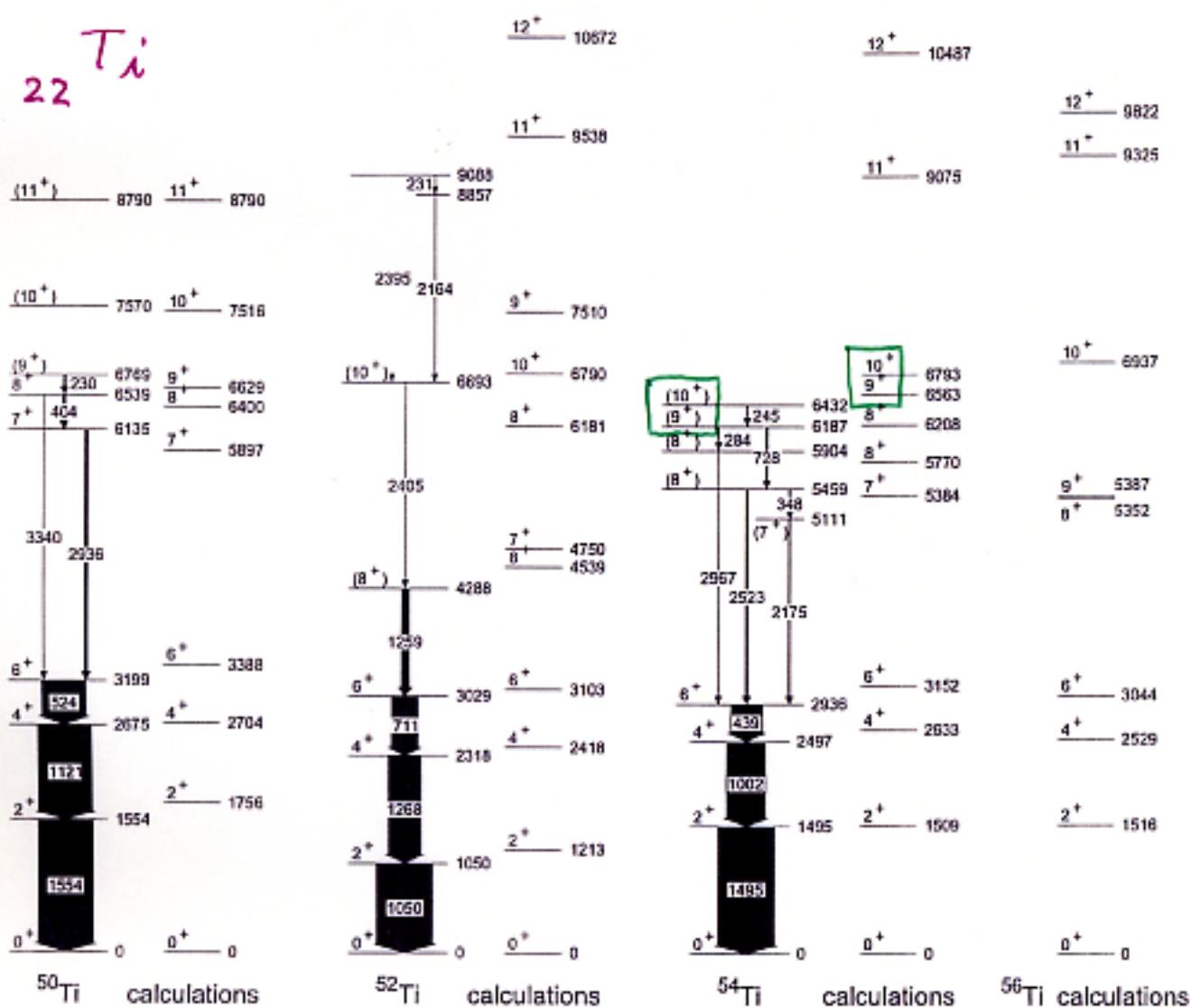
GXPF1 : empirically determined
two-body interaction

vs.

G-matrix with core-polarization
corrections from Bonn-C (H.-Jensen)



Ti
22



$N = 28$

30

32

34

$7^+, 8^+$

calc.

$$\sim \pi (f_{7/2})^2 J_p = 6$$

$$\otimes \nu (p_{3/2})^3 (p_{1/2}) J_n = 2$$

$9^+, 10^+$

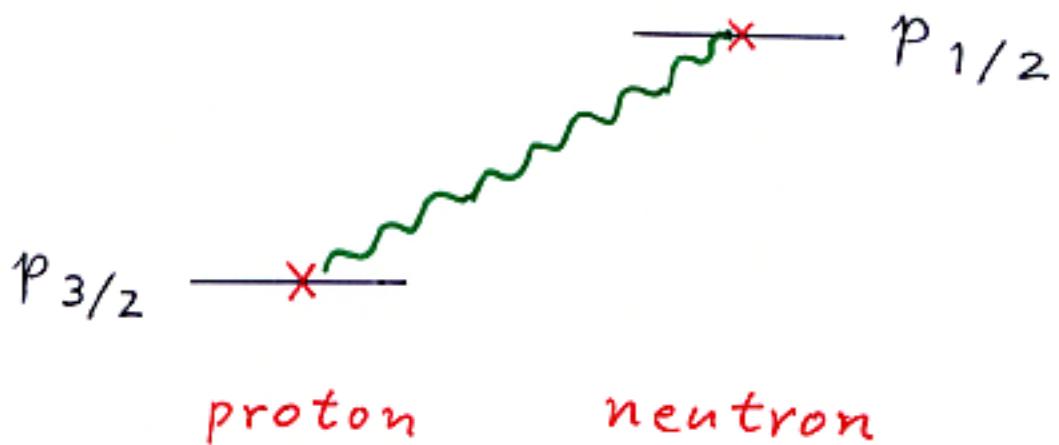
$$\sim \pi (f_{7/2})^2 J_p = 6$$

$$\otimes \nu (p_{3/2})^3 (f_{5/2}) \underline{J_n = 3, 4}$$

$$N = 8 \rightarrow 6$$

stable exotic

in p shell



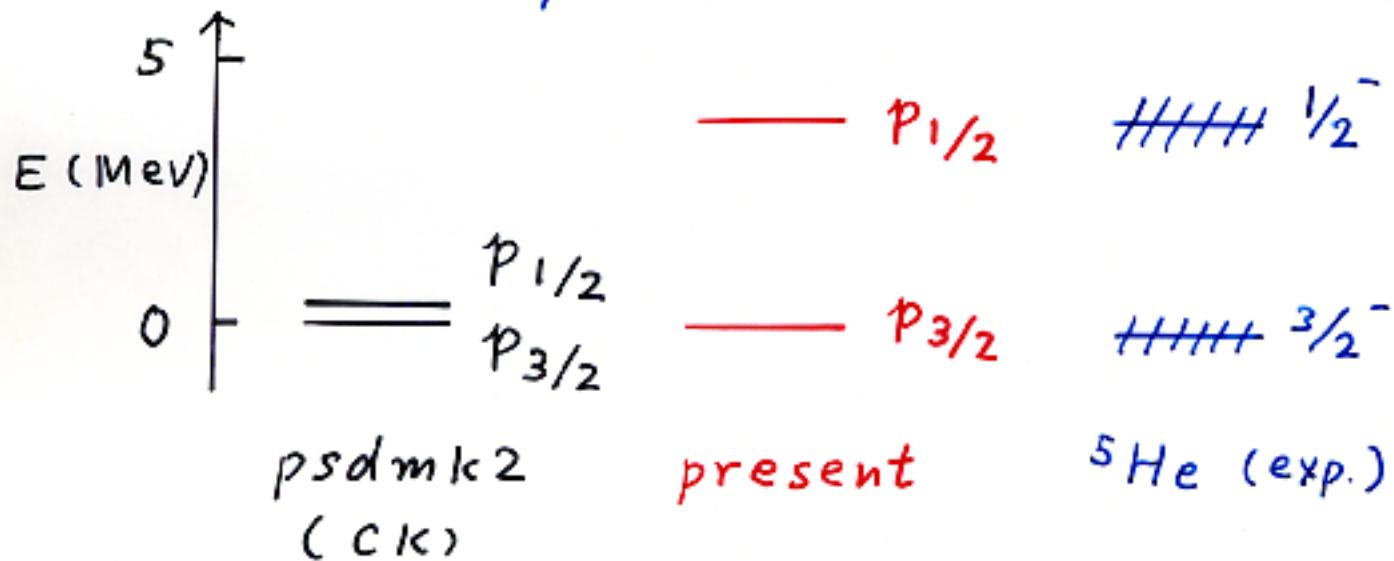
Modification of Shell-model Hamiltonian

Starting from psdmk2 (CK)

CK : Cohen-Kurath

N.P. 73, 1 (65)

Bare single particle energies
on top of the ${}^4\text{He}$ core



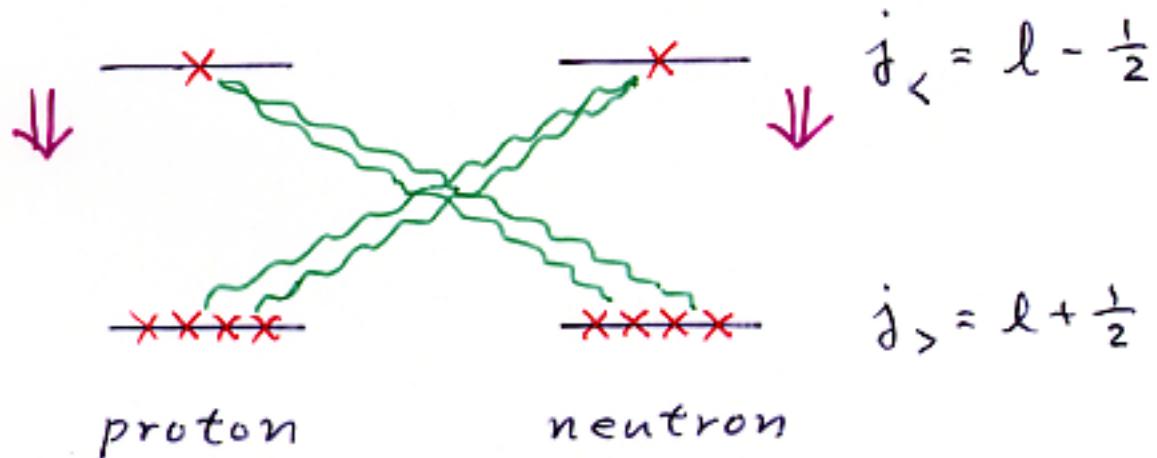
Two-body matrix elements (MeV)
(diagonal ones)

| J | T | psdmk2 (CK) | present | G-matrix (H.-Jensen) |
|---|-----|-------------|---------|----------------------|
| $\text{P}_{3/2} \otimes \text{P}_{1/2}$ | 1 0 | -6.22 | -8.22 | -10.02 |
| | 2 0 | -4.00 | -6.00 | -9.41 |

↑
monopole 2 MeV stronger
than psdmk2

Cohen-Kurath vs. Present Interactions

$N \approx Z$ nuclei



high $\epsilon(j_<)$ + strong $V_{j_>-j_<}$
|| present

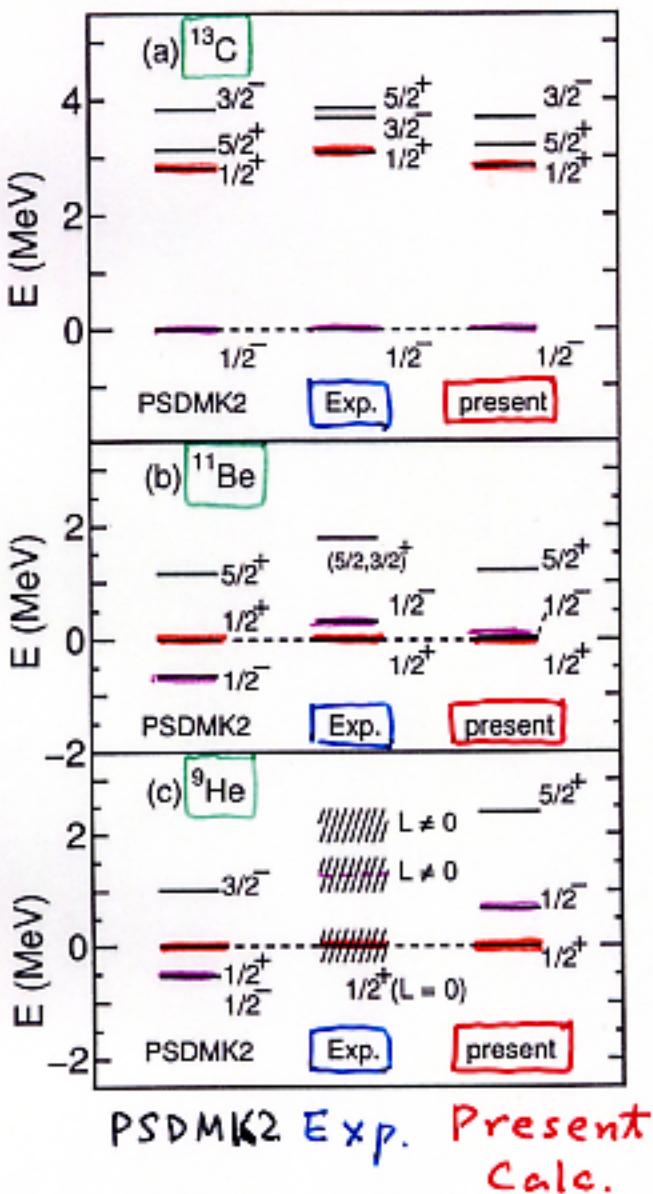
low $\epsilon(j_<)$ + weak $V_{j_>-j_<}$
Cohen-Kurath

$N \gg Z$ or $N \ll Z$

no such similarity

Energy Levels of ^{13}C , ^{11}Be & ^9He relative to experimental ground state

$^{13}_{\text{C}} \gamma$
 stable
 $^{11}_{\text{Be}} \gamma$
 unstable
 ^9He
 unstable
 (unbound)



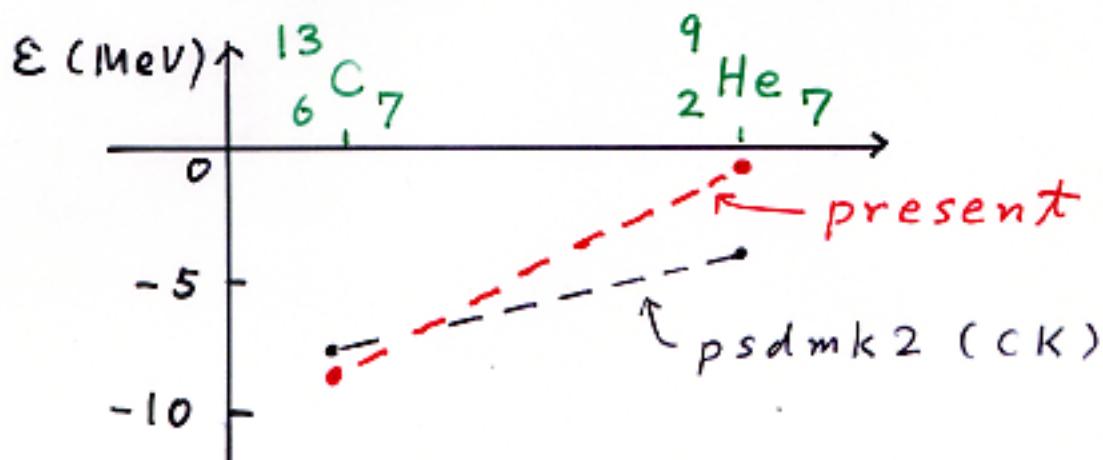
spin and GT properties are preserved or improved

S-factor of $2S_{1/2}$ in the $(1/2)_1$ state

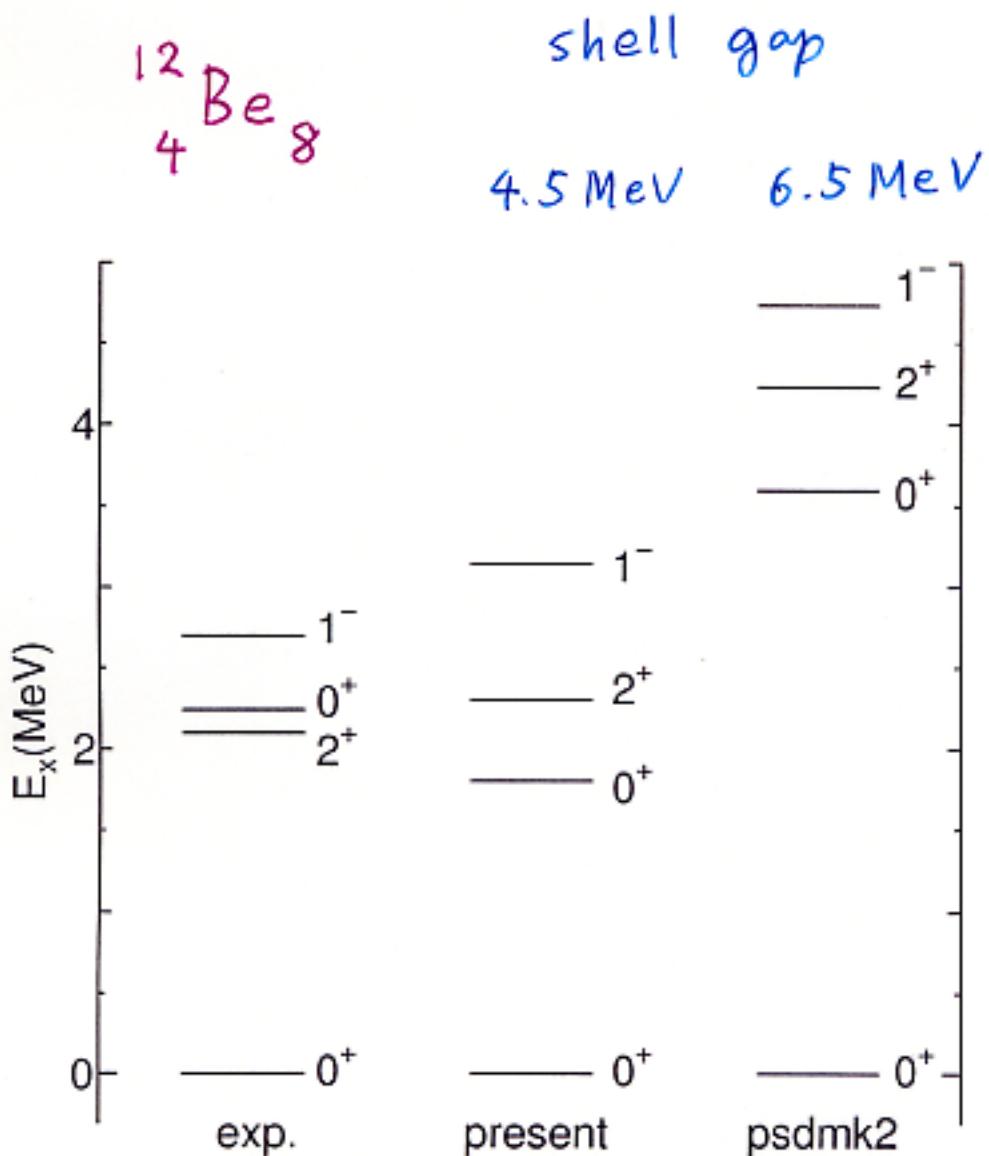
$\sim 75\%$

- magnetic mom.
Nengant et al.
- Transfer reaction
Fortier et al.
- $B(GT; 3/2^- ({}^{11}\text{B}) \rightarrow 1/2^- ({}^{11}\text{Be}))$
Ohnishi et al.

Effective single-particle energy of $1p_{1/2}$ relative to $2S_{1/2}$



$1s_{1/2} - 0p_{1/2}$



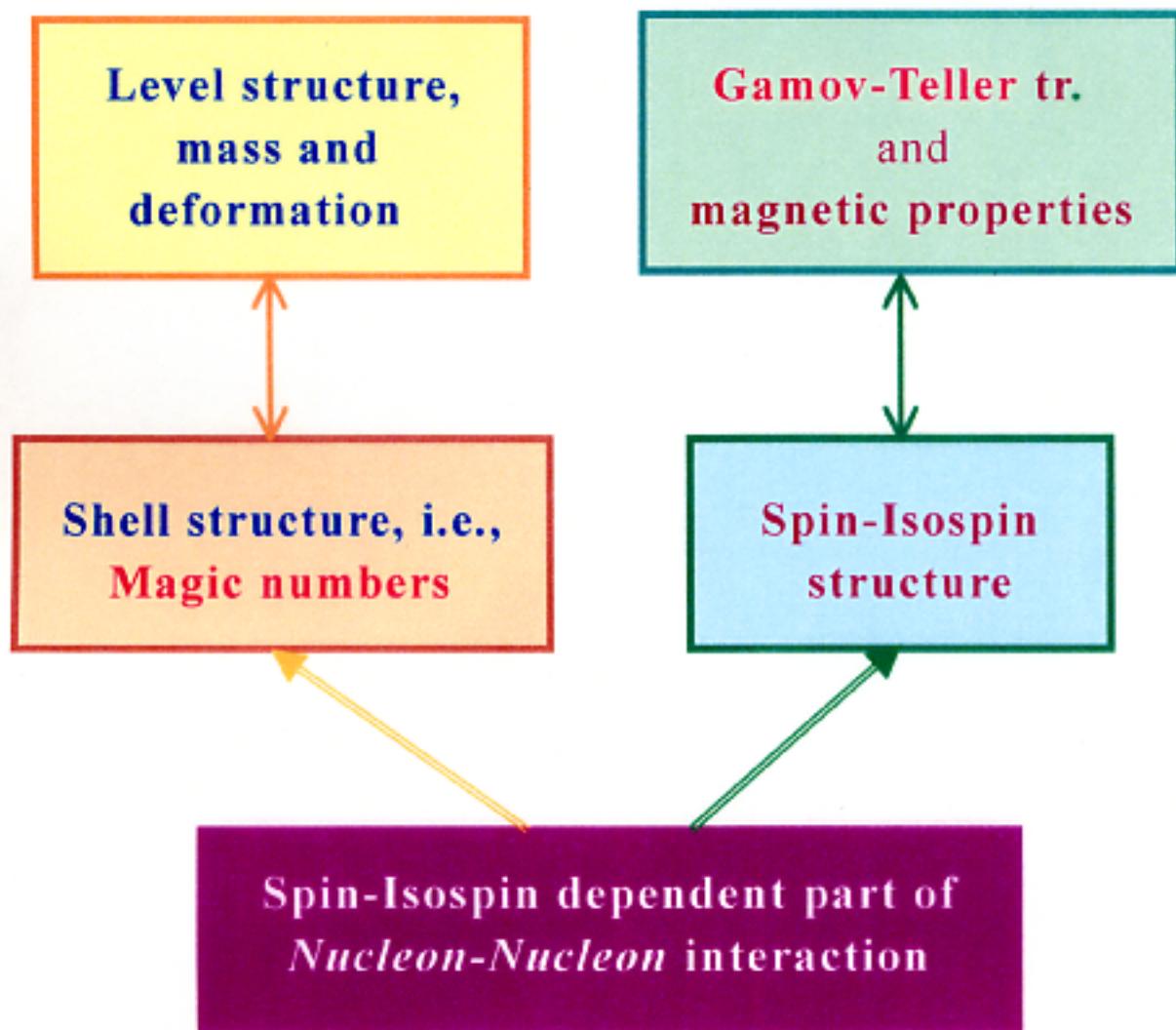
$1^- > CNS \text{ exp.}$

Iwasaki et al. PLB 491, B (2000)

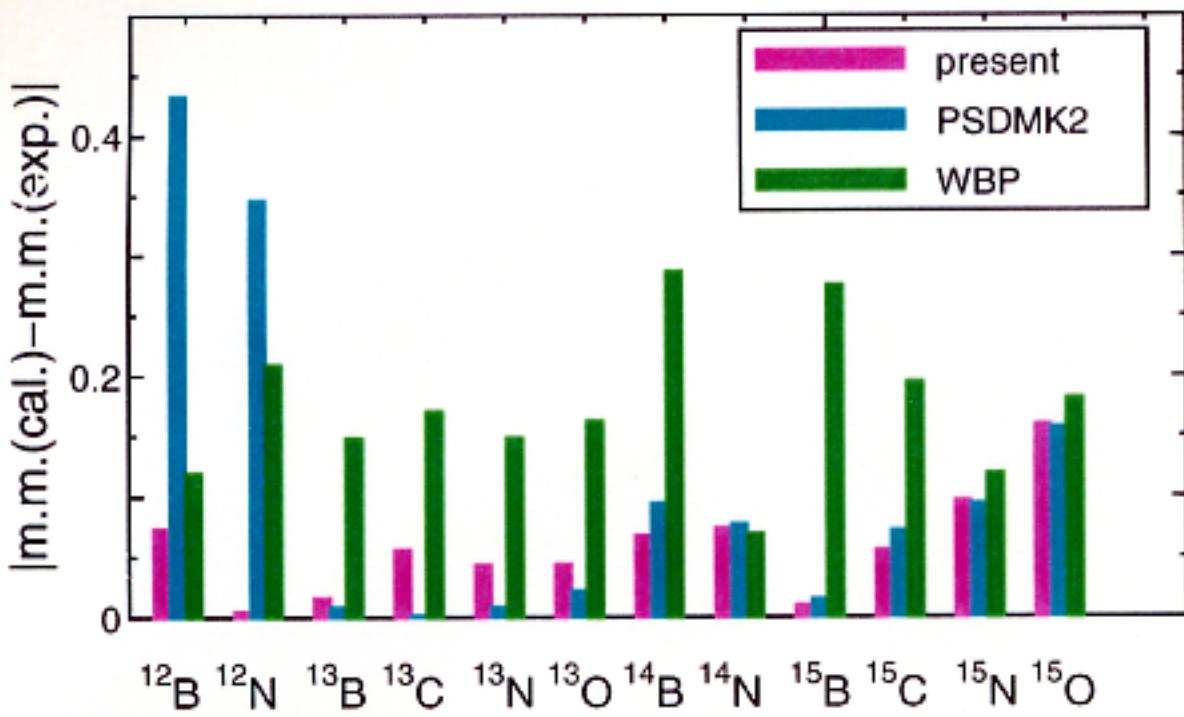
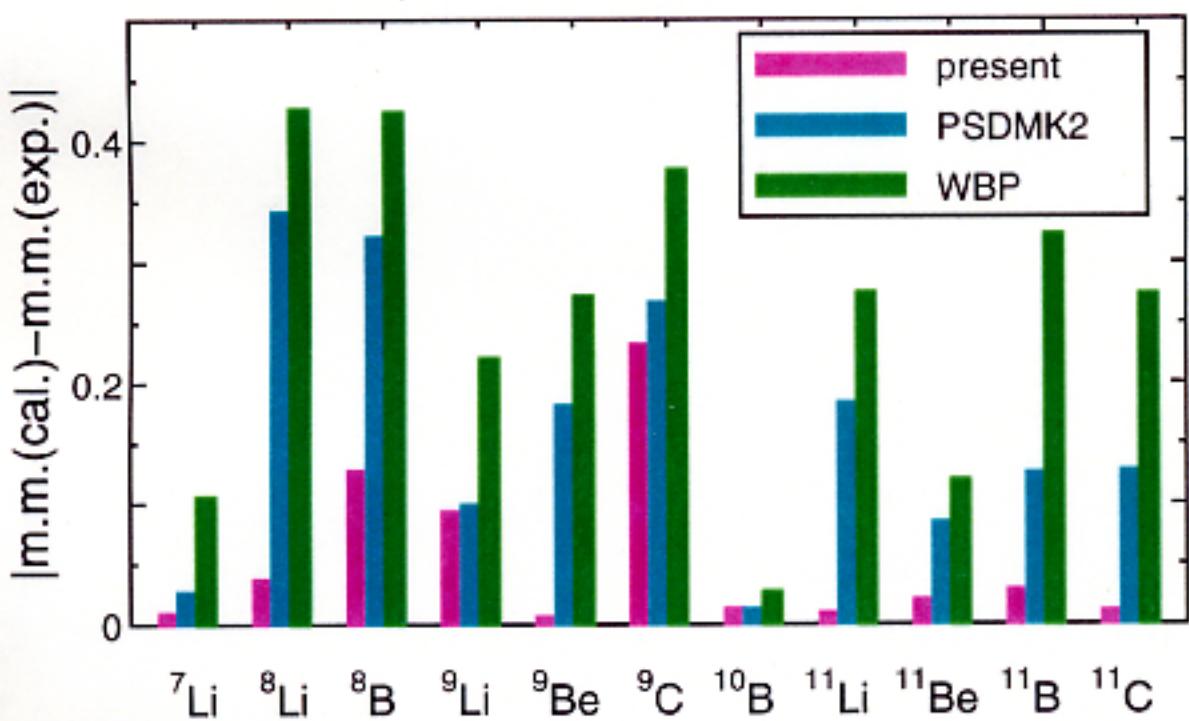
Shimoura et al. to be published.

outline

Stable and Exotic nuclei



Magnetic moment of p-shell nuclei $|\mu(\text{calc.}) - \mu(\text{exp.})|$



Summary

In some exotic nuclei, the magic numbers do change from those for stable nuclei.

stable 2, 8, 20, 28, 38 / 40, ...

exotic 2, 6, 16, 28, 34, ...

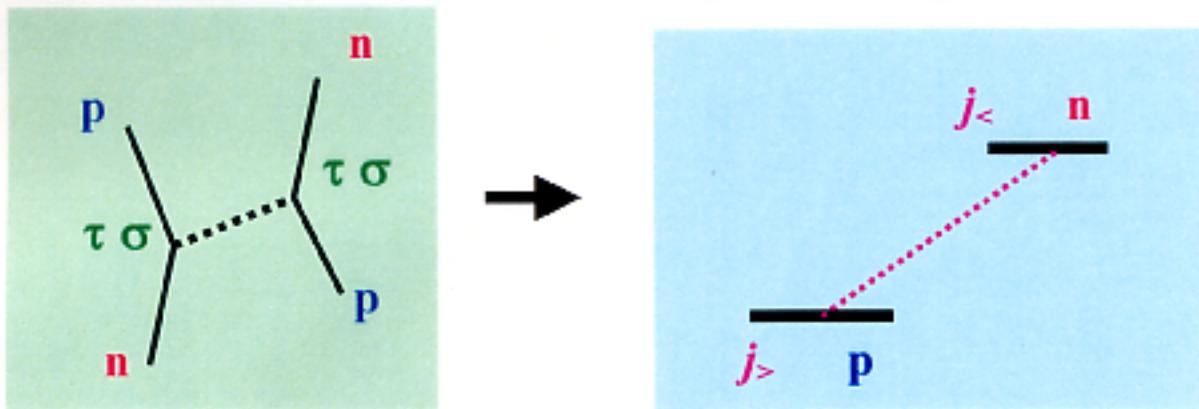
Origin : strong $j_>$ - $j_<$ coupling in $T=0$ channel

as confirmed by G-matrix and empirical fit

A major basic mechanism producing this coupling :

$$(\tau\tau)(\sigma\sigma)f(r)$$

meson exchange and $1/N_c$ expansion of QCD



This explains various structures of exotic nuclei.

Paradigm of Shell Evolution

* * * * *

The same interaction affects spin-isospin properties.

Magnetic moments, GT transitions, etc.

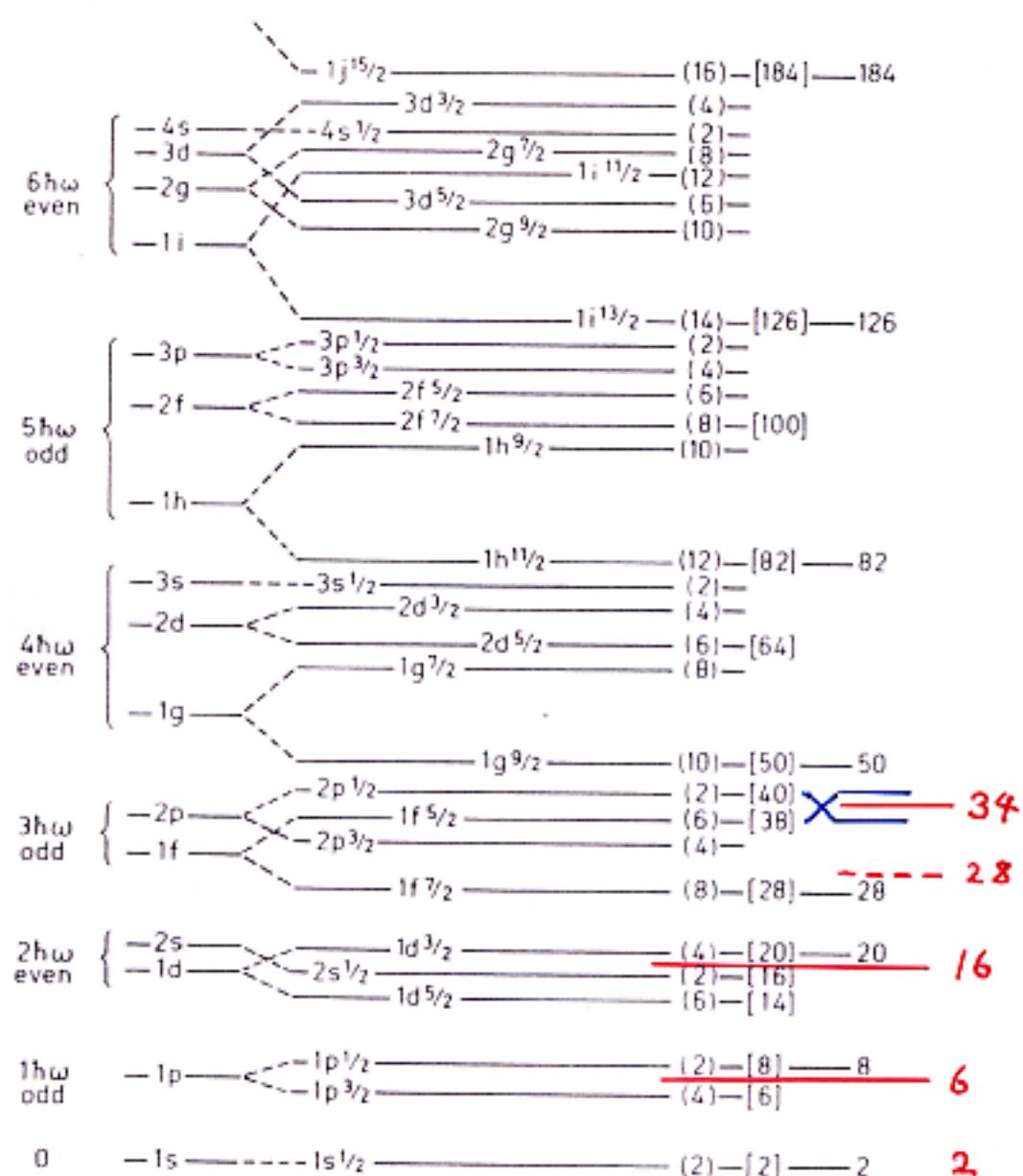


Figure 2-23 Sequence of one-particle orbits. The figure is taken from M. G. Mayer and J. H. D. Jensen, *Elementary Theory of Nuclear Shell Structure*, p. 58, Wiley, New York, 1955.

Magic Numbers in Exotic Nuclei and Spin-Isospin Properties of the NN Interaction

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⁵Center for Mathematical Sciences, University of Aizu, Tsuruga, Ikkimachi, Aizu-Wakamatsu, Fukushima 965-8580, Japan

⁶Department of Law, Senshu University, Higashimita, Tama, Kawasaki, Kanagawa, 214-8580, Japan

(Received 31 March 2001; published 3 August 2001)

The magic numbers in exotic nuclei are discussed, and their novel origin is shown to be the spin-isospin dependent part of the nucleon-nucleon interaction in nuclei. The importance and robustness of this mechanism is shown in terms of meson exchange, G-matrix, and QCD theories. In neutron-rich exotic nuclei, magic numbers such as $N = 8, 20$, etc. can disappear, while $N = 6, 16$, etc. arise, affecting the structure of the lightest exotic nuclei to nucleosynthesis of heavy elements.

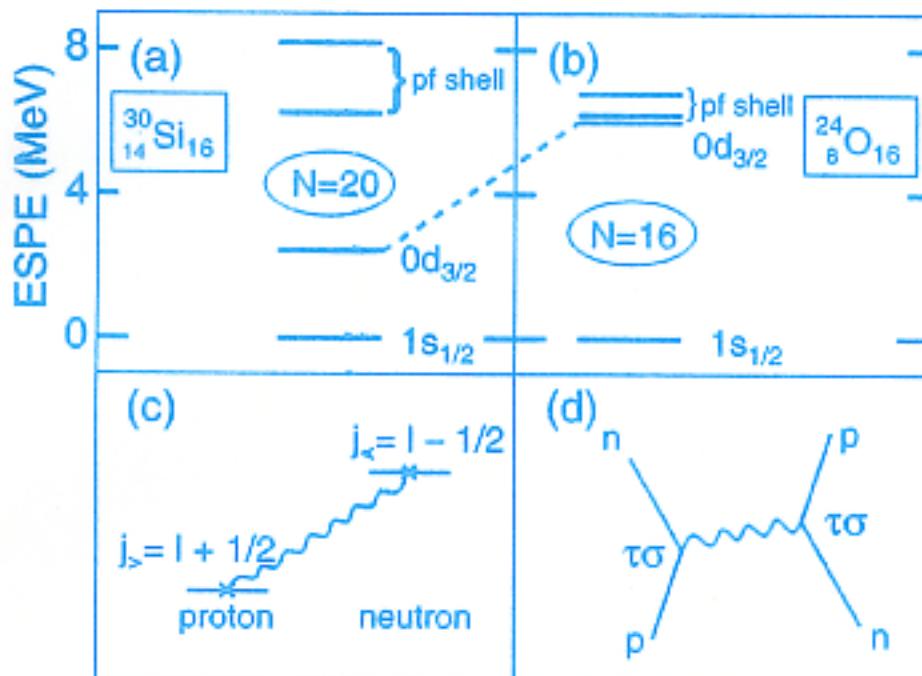


FIG. 1. Neutron ESPE's for (a) ^{30}Si and (b) ^{24}O , relative to $1s_{1/2}$. The dotted line connecting (a) and (b) is drawn to indicate the change of the $0d_{3/2}$ level. (c) The major interaction producing the basic change between (a) and (b). (d) The process relevant to the interaction in (c).